Dear [Name],

Freedom of Information request: Due Diligence - ref UKRI – 2018/0061 AH

Thank you for your Freedom of Information request submitted on the 20th June 2016 in which you requested the following:

Your Request:

1. Does the Arts and Humanities Research Council use the Thomson Reuters due diligence service World-Check to carry out its due diligence or other screening activities in accordance with its legal or regulatory obligations or risk management procedures designed to combat financial crime?

2. Does the Arts and Humanities Research Council use World-Check to carry out due diligence specifically relating to its requirements under anti-money laundering legislation?

3. Does the Arts and Humanities Research Council use World-Check to carry out due diligence specifically relating to its requirements under counter-terrorist financing legislation?

4. If the Arts and Humanities Research Council does use World-Check for due diligence, for what specific programmes or regular capabilities does it do so? Please provide examples.

5. If the Arts and Humanities Research Council does use World-Check for due diligence, please provide some examples of independent third parties on which it has used World-Check to carry out due diligence in the past five years.

Our response:

I can confirm that UKRI does not hold the information that you have requested. Our response is detailed below:

We do not use Thomson Reuters due diligence service World-Check, but carry out due diligence on all overseas research organisations that we directly fund. This includes carrying out a number of online checks, including checking the corruption index for the country concerned and also...
requiring the overseas organisation to complete a due diligence questionnaire. Once the information is received, it is assessed and assigned a risk rating.

Further information on this can be found on the UKRI website: https://www.ukri.org/funding/how-to-apply/eligibility/

I hope this answers your questions.

If you have any queries about this response please contact me, or if you are unhappy with the service you have received in relation to your request and wish to request a review of our decision, please write to:

**Complaints Officer**
UK Research and Innovation
Polaris House
North Star Avenue
Swindon
SN2 1FL
Email: foi@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at:

Information Commissioner
Wycliffe House,
Water Lane
Wilmslow
Cheshire
SK9 5AF

Enquiry/Information Line: Between 9am and 5pm Monday to Friday 0303 123 1113 or 01625 545745. Further information about the Office of the Information Commissioner can be found at http://www.ico.gov.uk/

Yours sincerely,

**Information Manager**
UK Research and Innovation, Information Governance Team
Email: foi@ukri.org