Freedom of Information request: 2018/0254

Thank you for your Freedom of Information request received on 19th December 2018 in which you requested the following:

Your Request:

1) a) Please state the number of occasions that funding was provided to an individual or institution that was later found to have been fraudulently obtained or used in each of the last five financial years, and the current financial year to 19/12/18

   b) Please provide the amount obtained fraudulently in each case

   c) Please provide a summary of each incident.

2) Please state the total amount of funding that has been written off as fraudulently granted or used and irrecoverable in each of the last five financial years, and the current financial year to 19/12/18.

3) Please state the total amount of funding that has been fraudulently granted and then reclaimed in each of the last five financial years, and the current financial year to 19/12/18.

Our response:

I can confirm UK Research and Innovation hold information relevant to your request.

We have previously provided you with information relevant to your request which covered the period from 2016 to November 2018 (calendar years).

Please find attached additional information relevant to your request covering 2014 to 2015, and any new information from November 2018 to December 2018.

If you have any queries about this response please contact me, or if you are unhappy with the service you have received in relation to your request and wish to request a review of our decision, please write to:

Complaints Officer
UK Research and Innovation
Polaris House
North Star Avenue

6 February 2019
Please quote the reference number above in any future communications.

If you are still not content with the outcome of the review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at:

Information Commissioner
Wycliffe House,
Water Lane
Wilmslow
Cheshire
SK9 5AF

Enquiry/Information Line: Between 9am and 5pm Monday to Friday 0303 123 1113 or 01625 545745
Further information about the Office of the Information Commissioner can be found at
http://www.ico.gov.uk/

Yours sincerely,

[Signature]
UK Research and Innovation, Information Governance Team
Email: foi@ukri.org
<table>
<thead>
<tr>
<th>Date</th>
<th>Description/nature of the fraud</th>
<th>Amount</th>
<th>Action Taken</th>
</tr>
</thead>
<tbody>
<tr>
<td>2014</td>
<td>Detective controls for Expenses identified one minor case of fraud</td>
<td>Unknown</td>
<td>A full investigation was completed, the staff member dismissed and the police notified. An additional approval step was added into the process to reduce the likelihood of reoccurrence.</td>
</tr>
<tr>
<td>Feb-15</td>
<td>Petty Cash taken for personal use.</td>
<td>£2,579</td>
<td>Employment contract terminated and value partially recovered. Practices were reviewed.</td>
</tr>
</tbody>
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