Dear [Name],

Freedom of Information request: 2019/0040 IUK

Thank you for your Freedom of Information request received on 8th February 2019 in which you requested the following:

Your Request:

For Open grant funding competition: round 3. Please can you provide the following information?

- What was the % score, above which applications received funding, in each project length category?
- How many applications received funding, in each project length category?
- For each project length category, what was the total GBP amount that received funding in?
- For each project in each project length category, what was the GBP per project?

For each of the above, if the funding is conditional on project length, please provide the information for each project length category.

Our response:

I can confirm UK Research and Innovation hold information relevant to your request.

The information requested for the Open round 3 competition is as below:

<table>
<thead>
<tr>
<th></th>
<th>6-18 months</th>
<th>19-36 months</th>
</tr>
</thead>
<tbody>
<tr>
<td>Funding threshold</td>
<td>80.2%</td>
<td>86.5%</td>
</tr>
<tr>
<td>Number of successful applicants</td>
<td>48</td>
<td>3</td>
</tr>
<tr>
<td>Total funded GBP amount</td>
<td>£10,009,657</td>
<td>£3,684,028</td>
</tr>
</tbody>
</table>

In your request you have asked for the GBP per successful project. For the projects in the 6-18 months duration this ranges between £44,220.00 and £349,483.00. For projects between 19-36 months all projects were awarded over £1 million. The list of GBP per successful project will be added to Innovate UK’s transparency data, which can be found on the links below.

As this is the case the information is exempt from disclosure as it is intended for future publications. We are not obliged under Section 22 of the FOIA to provide information that is intended for future publication. Section 22 is a qualified exemption meaning it is subject to the public interest test to determine whether the...
public interest is sufficient for immediate disclosure. The outcome of this test was that there isn’t an immediate need to disclose the information.

To explain further, Innovate UK regularly publish the details of successful applicants to their competitions and this information can be found on the transparency data on the below links. However, before a successful project can be put in the public domain due diligence check must be completed and the grant offer accepted. Currently Innovate UK are in the process of carrying out the due diligence checks on the successful projects. If a company does not pass the due diligence check then they will not be funded. As this is the case it would be premature to disclose any individual details on any of the projects that were successful in the Open round 3 competition.

We understand that over £13 million is being used from public funding on this competition and it is therefore in the public interest to ensure that these funds are being used effectively. However, as explained above, checks are still underway and we therefore cannot release the data until this has been completed.

The details successful projects in the Open round 3 competition will be published in the below links in due course, however we cannot provided a definitive date as the due diligence varies for each project:

Innovate UK funded projects since 2004\(^1\)
Innovate UK funding competition winners 2019\(^2\)

In addition to the above request, we also extend our apologies that the request you submitted on the 10th October 2018 was not acknowledged or responded to. We have been unable to locate the original request therefore we believe this was mistakenly deleted and due to an administrative error. The information you have requested can be found at Annex 1 below. Please note that your request for “MODE” is not a viable function for this data as it relies on multiple applications requesting identical funding which is rare in this type of competition.

If you have any queries about this response please contact me, or if you are unhappy with the service you have received in relation to your request and wish to request a review of our decision, please write to:

Complaints Officer
UK Research and Innovation
Polaris House
North Star Avenue
Swindon
SN2 1FL
Email: foi@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at:

Information Commissioner
Wycliffe House,
Water Lane
Wilmslow
Cheshire
SK9 5AF

\(^1\) [https://www.gov.uk/government/publications/innovate-uk-funded-projects](https://www.gov.uk/government/publications/innovate-uk-funded-projects)
Enquiry/Information Line: Between 9am and 5pm Monday to Friday 0303 123 1113 or 01625 545745
Further information about the Office of the Information Commissioner can be found at
http://www.ico.gov.uk/

Yours sincerely,

UK Research and Innovation, Information Governance Team
Email: foi@ukri.org
### Annex 1

<table>
<thead>
<tr>
<th>Competition Close Date</th>
<th>Name of Competition if there is a round number</th>
<th>Overall average percentage above which funding was awarded</th>
<th>Total number of applicants &quot;Application submitted&quot;</th>
<th>Number of applicants marked as &quot;Ineligible&quot;</th>
<th>Number of applicants marked as &quot;Unsuccessful&quot;</th>
<th>Number of applicants marked as &quot;Successful&quot;</th>
<th>Mean value in GBP of &quot;Successful&quot; application</th>
<th>Median value in GBP of &quot;Successful&quot; application</th>
<th>Mode value in GBP of &quot;Successful&quot; application</th>
<th>Total Value (GBP) award during the Competition</th>
</tr>
</thead>
<tbody>
<tr>
<td>7th September 2016</td>
<td>Open Programme Round 1</td>
<td>83.0</td>
<td>557</td>
<td>27</td>
<td>397</td>
<td>133</td>
<td>£277,115.15</td>
<td>£99,998.00</td>
<td>N/A</td>
<td>£23,110,470.00</td>
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<tr>
<td>8th February 2017</td>
<td>Open Programme Round 2</td>
<td>83.0</td>
<td>669</td>
<td>63</td>
<td>556</td>
<td>50</td>
<td>£479,015.84</td>
<td>£379,012.50</td>
<td>N/A</td>
<td>£23,950,792.00</td>
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<tr>
<td>9th August 2017</td>
<td>Open programme round 3</td>
<td>82.9</td>
<td>678</td>
<td>30</td>
<td>587</td>
<td>60</td>
<td>£263,119.00</td>
<td>£204,398.00</td>
<td>N/A</td>
<td>£15,787,154.00</td>
</tr>
<tr>
<td>28th February 2018</td>
<td>December sector competition: Open</td>
<td>80.1</td>
<td>484</td>
<td>37</td>
<td>394</td>
<td>53</td>
<td>£332,646.00</td>
<td>£281,149.00</td>
<td>N/A</td>
<td>£17,630,233.00</td>
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<tr>
<td>9th May 2018</td>
<td>March 2018 sector competition: Open</td>
<td>81.6</td>
<td>488</td>
<td>48</td>
<td>381</td>
<td>59</td>
<td>£366,283.75</td>
<td>£349,986.00</td>
<td>N/A</td>
<td>£21,610,741.00</td>
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<tr>
<td>11th July 2018</td>
<td>Open programme funding competition round 1</td>
<td>82.7</td>
<td>554</td>
<td>66</td>
<td>428</td>
<td>59</td>
<td>£282,106.00</td>
<td>£265,016.00</td>
<td>N/A</td>
<td>£16,644,281.00</td>
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<tr>
<td>12th September 2018</td>
<td>Open grant funding competition: round 2</td>
<td>83.5</td>
<td>719</td>
<td>122</td>
<td>520</td>
<td>77</td>
<td>£292,062.00</td>
<td>£282,105.00</td>
<td>N/A</td>
<td>£22,488,787.00</td>
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<tr>
<td>14th November 2018</td>
<td>Open grant funding competition: round 3</td>
<td>82.6</td>
<td>803</td>
<td>106</td>
<td>646</td>
<td>50</td>
<td>£268,492.00</td>
<td>£199,737.00</td>
<td>N/A</td>
<td>£13,424,596.00</td>
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</table>