



**UK Research
and Innovation**

Horizon Europe guarantee guidance

First published 17 December 2021

Last updated 23 May 2022

**Please direct any questions on the guarantee guidance to
eugrantsfunding@ukri.org**

Horizon Europe guarantee guidance

On 29 November 2021, the government announced a new scheme that guarantees funding for successful applicants to the first wave of Horizon Europe grant awards regardless of the outcome of the UK's efforts to associate to Horizon Europe.

The UK government has stated that its priority remains association to Horizon Europe, as both the UK and the EU agreed under the Trade and Cooperation Agreement last year, and that it stands ready to formalise UK association. However, ongoing delays in this process have led to uncertainty for researchers, businesses and innovators based in the UK.

The guarantee is a short-term measure intended to address the delays in the formalisation of the UK's association to Horizon Europe. To provide reassurance, the government has guaranteed funding for the first two waves of eligible, successful applicants to Horizon Europe if they are unable to sign their grant agreements with the EU.

Please note: the scope of the funding guarantee has been expanded. Please see the updated list of calls in scope in the Annex to this document.

Funding routes for successful applicants to calls in the second wave and 2022 EIT KICs are opening from May 2022, as follows:

EU call type	UKRI host system	Opening date of UKRI funding route
Collaborative R&D grants in scope of guarantee (Pillar 2 and some Pillar 1 and Pillar 3 calls)	Innovation Funding Service (IFS)	Monday 16 May 2022
EIT KICs 2022	IFS	Monday 23 May 2022
MSCA Postdoctoral Fellowships 2021	Joint Electronic Submissions (Je-S)	Tuesday 31 May 2022
MSCA and Citizens 2022	Je-S	Tuesday 31 May 2022
ERC Proof of Concept 2022- PoC1	Je-S	Tuesday 31 May 2022
ERC Consolidator/ Advanced 2021	Je-S	Tuesday 14 June 2022
MSCA Doctoral Networks 2021	Je-S	July (date tbc)

If you have any queries about the scope of the guarantee, please contact us at EUGrantsFunding@ukri.org.

Please note also that systems are already open to applications for ERC Starting Grants 2021 guarantee funding as part of the first wave of calls. For detailed guidance on how to apply, please see the [Horizon Europe guarantee notice and guidance page](#).



Funding overview

The guarantee funding is delivered through UK Research and Innovation (UKRI) and this notice provides guidance on eligibility, scope and what you need to do to receive funding if eligible.

As stated in [‘Questions and Answers on the UK’s Participation in Horizon Europe’](#) (European Commission), UK entities are already eligible to apply ahead of the UK’s formal association to Horizon Europe while the UK is in the process of associating. The UK government continues to encourage UK researchers to take advantage of this opportunity.

However, UKRI and the UK government are aware that successful UK applicants may be unable to access funding from the EU if the formalisation process of the UK’s membership of Horizon Europe has not been completed by the time they are required to sign a grant agreement with the EU.

In this scenario, for the first two waves of grant awards (calls listed in the Annex to this guidance), the UK government has committed to provide funding for all successful eligible UK bids. Funding will be made available in pounds sterling.

The funding guarantee will be delivered by UKRI with payments made quarterly in arrears as standard, with the exception of projects carried out under the European Institute of Innovation and Technology (EIT) Knowledge and Innovation Communities (KICs) 2021 Business Plans, where UK entities have already incurred costs in 2021. These EIT-KIC projects will be funded through two payments, one on registration of the project and a second payment once assurance checks on final project spend have been completed.

Scope of the guarantee

This guarantee is intended to support the first two waves (full call list in Annex) of Horizon Europe awardees for excellent science, research and innovation in the event that they cannot sign a grant agreement with the European Commission or delegated party because the UK’s association has not been formalised in time, and therefore cannot receive funding.

Both for mono-beneficiary and multi-beneficiary grants, this funding will be provided for the lifetime of the grant, subject to UKRI terms and conditions.

The guarantee has been extended to include those calls for which the last legal date for signing grant agreements is expected to pass before 31 December 2022. For the list of calls covered by the guarantee, please see the Annex to this document, which includes both the first wave of calls announced in December 2021 and the second wave of calls announced in March 2022.

The UK government has stated that it continues to monitor the situation closely and may consider whether it is appropriate to change the scope of the guarantee, in which case it will provide updated guidance.

EIT-KICs

The guarantee also covers the costs of EIT-eligible activities delivered by UK participants of the KICs that would otherwise have been covered by grant funding awarded by EIT. The guarantee applies retrospectively for EIT-eligible activities where relevant costs were incurred in calendar year 2021. The call for this element of the guarantee has now closed.

Additionally, the guarantee also covers costs incurred in 2022 for EIT-KIC activities delivered by UK participants where these costs would otherwise have been covered by grant funding awarded by EIT. For the costs of these activities, access to funding under the guarantee is open from 23 May 2022. This part of the guarantee will cover costs incurred in the calendar year 2022 only.

For both 2021 and 2022, the guarantee only replaces grant funding that would have been awarded by EIT. It does not replace funding from non-EIT sources or loans and does not cover activities that involve a 'success fee' on completion. In addition, it does not cover funding under arrangements that place share-transfer or other equity conditions on UK partners. For such cases, relevant KICs would need to remove these conditions for funding to be capable of falling within the scope of the guarantee.

COST Actions

The UK remains a full member of the European Co-operation on Science and Technology (COST) Association and, subject to its usual rules, UK applicants are eligible to participate and receive funding in its interdisciplinary research networks (COST Actions) irrespective of the UK's association to Horizon Europe. As a result, UK participants in COST Actions are eligible for reimbursements via COST in the usual way and COST Actions are therefore not covered by this guarantee.

Eligibility

Successful UK applicants will be eligible to register with UKRI for the Horizon Europe guarantee if:

- They are a UK-based organisation and a member of a consortium which has received notification of a successful proposal evaluated under a Horizon Europe call under the first or second waves (as listed in the Annex) and which meets the required European Commission eligibility criteria to deliver the project.
- or
- They are a UK-based organisation or researcher who has received notification of a successful proposal evaluated under a mono-beneficiary Horizon Europe call under the first or second waves (as listed in the Annex).
- or
- They are a researcher who has received notification of a successful ERC proposal with a UK host institution for an ERC call under the first or second waves.
- or

- They are a researcher who has received notification of a successful ERC proposal for an ERC call under the first or second waves with a non-UK host institution and wishes to move their grant to a UK host institution before having signed the Grant Agreement.

or

- They are a UK-based organisation which has carried out a project under a KIC 2021 Business Plan and they have incurred relevant costs during the 2021 calendar year, or they carry out a project included in a KIC 2022 Business Plan and they incur relevant costs during the 2022 calendar year.

and

- They are ineligible to receive funding from Horizon Europe because they were unable to sign the grant agreement as an applicant from an Associated Country due to the UK's association status not being formalised in time (as per guidance issued by the European Commission: [‘Questions and Answers on the UK’s Participation in Horizon Europe’](#)).
- If the UK's association had been formalised in time, they would have been able to sign the grant agreement as an applicant from an Associated Country and would have received funding from Horizon Europe or a delegated party.

The requirements set out elsewhere in this document will also need to be met, and additional terms and conditions will apply, including (without limitation) onboarding checks, award holder reporting requirements and the application of currency exchange rates.

What do I need to do to receive funding if eligible?

In order to receive funding through the guarantee, your grant details will need to be submitted to UKRI systems, in order for UKRI to provide you with a UK grant. For most grant types, registration will be via Innovate UK's [Innovation Funding Service](#) (IFS), while funding of ERC and MSCA grants will be provided via the [Joint Electronic Submissions](#) (Je-S) system.

For the first wave of the guarantee UKRI is currently opening funding routes for specific calls once it is clear that a European Commission grant agreement will not be signed for that call, allowing eligible, successful UK applicants to obtain a UKRI grant. These windows are announced for each call. For multi-beneficiary grants for calls in the second wave the system will remain open, but UKRI will only accept and process applications which include the consortium's signed grant agreement listing the UK partner as an Associated Partner.

Guidance for how to apply for wave 1 EIC and 2021 EIT KICs guarantee funding, as well as ERC Starting Grants guarantee funding, may be found on the [Horizon Europe guarantee notice and guidance page](#).

The funding routes for calls covered in the second wave of the guarantee and 2022 EIT KICs are opening from May (see table on p.2, which will be updated as needed). Further guidance on how to apply for this funding will be published as appropriate when each route opens.



Since applications will have been evaluated through the European Commission's trusted peer review systems, no further application quality assessment will be undertaken by UKRI.

For EIT-KICs, UKRI systems closed for 2021 grants in April 2022. For 2022 grants, UKRI systems will open in May 2022. You will need to provide additional supporting information from your KIC, details of which are given in Q&A section below.

Once registration of an eligible Horizon Europe grant with UKRI is complete, awardees will receive an offer of funding from UKRI. Payments will be made directly to each UK beneficiary organisation.

Terms of the guarantee

As set out above, in addition to the provisions of this document, further terms and conditions will apply to the Horizon Europe guarantee, including (without limitation) onboarding checks, award holder reporting requirements and the application of currency exchange rates. Further details may be found in the Q&A below and the additional material published alongside this guidance. The full terms and conditions for the grants to be hosted on IFS are published alongside this document for reference. UKRI reserves the right to modify any of the terms and conditions of the Horizon Europe guarantee.

Technical questions and answers

Questions regarding the guarantee announcement

1. Should I still apply for Horizon Europe calls that are outside of the scope of the guarantee?

Yes. As stated in [‘Questions and Answers on the UK’s Participation in Horizon Europe’](#) (European Commission), UK entities are already eligible to apply ahead of the UK’s formal association to Horizon Europe. The UK government states that it is committed to securing association and continues to encourage UK researchers to take advantage of this opportunity.

2. What funding is covered on successful projects?

100% of the applied-for EU eligible costs will be paid (i.e., 100% of the requested EU contribution to eligible costs), subject to exchange rate and any changes in tasks undertaken by the UK participants in the process of finalising the EU grant agreement. This includes, for example, the costs of financial reporting to UKRI and costs requested for PhD studentships.

3. Are UK participants still able to lead consortia?

The European Commission has published clear guidance that during the application process UK participants should be treated as if the UK were already associated to Horizon Europe. This means that they can apply for funding and participate in and lead consortia for collaborative projects. If the European Commission formalises the UK’s association before a grant agreement must be signed, then UK participants on that grant will be funded by the European Commission and will be able to fulfil their role as lead or participant as set out in their proposal.

While Horizon Europe regulations generally require a participant to be based in a Member State or an Associated Country in order to lead a project, UK participants in successful consortia should follow the guidance of the European Commission on eligibility, project roles and signing the grant agreement.

4. What happens to projects that have already been submitted by UK participants while they are being treated as an Associated Country if there is an extended delay to the UK formalising their association?

As stated in [‘Questions and answers on the UK’s participation in Horizon Europe’](#) (European Commission), UK entities are already eligible to apply ahead of the UK’s formal association to Horizon Europe, and the UK Government expect the European Commission to continue evaluating these applications.

If UK applicants to the first or second wave of calls reach a point where they are required to sign the grant agreement before the EU has formalised the UK’s association, then the process to follow will depend on the nature of the European grant applied for:

Consortium-style grants

For consortium-style grants within the first or second wave of calls, the guarantee is in place to fund eligible UK participants' roles in these projects. The European Commission may require a UK partner to change the status of their participation to 'Associated Partner'.

Associated Partners implement action tasks without receiving EU funding and do not sign the grant agreement. While Associated Partners are not required to have a capital or legal link to a specific beneficiary, the other beneficiaries in the consortium take on the responsibility (through consortium agreements or contractual arrangements) to ensure proper implementation of the Associated Partners' elements of the project, as well as compliance with specific obligations of the grant agreement which are extended to Associated Partners. The UK partner will be required to provide proof of the successful grant to UKRI and undertake the steps outlined in this document, and UKRI will provide the UK partner's share of the funding under the guarantee.

Mono-beneficiary-style grants

For mono-beneficiary-style grants within the guaranteed list of calls, an eligible UK entity will provide proof of success in the evaluation process to UKRI and undertake the steps outlined in this document, and UKRI will provide the entity with funding under the guarantee.

5. What happens if the European Commission stops evaluating applications for my call?

While the UK is in the process of concluding its association status, the European Commission allows entities in the UK to apply for Horizon Europe funding as if the UK were an Associated Country, which the European Commission has made clear in ['Questions and answers on the UK's participation in Horizon Europe'](#) (European Commission). It states that: "The first calls for proposals are expected to be published before UK association enters into force. The General Annexes attached to the main Horizon Europe work programme (2020-2021), as well as the ERC and EIC work programmes (to which the General Annexes will apply once the latter are adopted), will ensure that UK applicants are treated as if the UK is an Associated Country throughout the process, from admissibility and eligibility to evaluation, up until the signature of grant agreements. However, grant agreements can only be signed once the association has come into force."

6. If successful UK applicants have to become Associated Partners in their project consortium, do they still sign a grant agreement with the European Commission or delegated party?

Associated Partners implement action tasks without receiving EU funding and do not sign the grant agreement. While Associated Partners are not required to have a capital or legal link to a specific beneficiary, the other beneficiaries in the consortium take on the responsibility (through consortium agreements or contractual arrangements) to ensure proper implementation of the Associated Partners' elements of the project, as well as compliance with specific obligations of the grant agreement which are extended to Associated Partners.

7. For calls open to Third Countries, will UK participants funded through the guarantee be required to provide the European Commission with reports against deliverables in the grant agreement?

If the EU has not formalised the UK's association at the point where the grant agreement must be signed, then the status of the UK participants on the grant would change to 'Associated Partner'. Associated Partners implement action tasks without receiving EU funding and do not sign the grant agreement, meaning that they have no direct obligation to provide reports against deliverables. The beneficiaries in the consortium will have responsibility for submitting deliverables to the EU and, depending on the tasks to be delivered by the Associated Partner, would agree the Associated Partner's expected contribution to reporting through consortium agreements or contractual arrangements.

8. What post-award reporting will be required for the UKRI guarantee grant?

For grants hosted by Innovate UK's IFS system, except for 2021 KICs grants, a Monitoring Officer will be appointed to the project in line with standard practice. The Monitoring Officer may request to meet with the project team at regular intervals (typically quarterly) to monitor the progress of the UK's partner's contribution to the project against the stated deliverables. Only reports on the UK partner's activities will be required; the details of this will depend on the individual project needs and will be discussed with the appointed Monitoring Officer. UKRI will take into account reports provided to the EU project consortium wherever available to minimise duplication of reporting requirements. A final report of the UK partner's contribution to the project will also be required at the end of the project.

For 2021 KICs grants, financial checks only will be carried out to verify costs incurred in 2021. For 2022 KICs grants, a Monitoring Officer will be appointed as described above.

For grants hosted on Je-S grant holders will be expected to report research outcomes through [Researchfish](#). Grants may fall under the regular programme of assurance checks carried out on Research Council grants; however, UKRI reserves the right to carry out additional monitoring as required.

9. What will happen to awards where the eligibility of the consortium is compromised in the event that the UK is unable to associate to Horizon?

The minimum eligibility requirements for a consortium, including the number of Member State or Associated Country participants, are conditions set out by the European Commission in the legal agreements of Horizon Europe and are specific to the type of call. The eligibility of any individual consortium is therefore a decision for the European Commission to make, and projects should follow guidance from the European Commission.

10. Will my guarantee funding be jeopardised if my consortium reallocates the EU funding originally assigned to my UK institution to fund additional activities?

For consortium-style projects, the guarantee will fund UK participants for the activities that were assigned to them as a beneficiary in the original proposal that are still assigned to them as an Associated Partner in the final signed EU grant agreement. Guarantee funding will only be reduced if the UK participant is no longer performing an activity it was assigned in the original proposal.

11. How can UK participants in successful projects submit their funding request to UKRI?

Procedures will vary depending on the call and will make use of existing systems and processes used by UKRI. For most grant types, registration will be via Innovate UK's [Innovation Funding Service](#) (IFS). Funding of ERC and MSCA Grants will generally be provided via the [Joint Electronic Submissions](#) (Je-S) system. Further details may be found in the [Horizon Europe guarantee notice and guidance page](#) once the call opens.

12. What exchange rate will be accepted to convert the EUR grant to GBP?

The Euro value of the grant must be converted to pounds sterling and the UKRI grant under the guarantee will be for a pound sterling value. A maximum exchange rate of £1: €1.160354 will be accepted, which reflects the average exchange rate between January 2021 (at the start of the Horizon Europe programme) and October 2021 (following the closure of the last call which is in scope for the first wave). Payments will then be made on the basis of the GBP grant value.

UKRI may change the GBP grant value in response to significant fluctuations in international exchange rates by applying an exchange rate that is different to the one it initially applied, but it shall not:

- i. do so more frequently than once in a 12-month period; or
- ii. apply any such change retrospectively to affect payments already made.

13. Will proposals submitted to UKRI be re-evaluated/reassessed?

Since applications will have been evaluated through the European Commission's trusted peer review systems, no further application quality assessment will be undertaken by UKRI. Organisational validation and due diligence checks will be carried out in line with UKRI business as usual processes.

14. How will grant payments via UKRI be made?

Grants will be funded in pounds sterling. UKRI will typically make payments quarterly in arrears in line with standard processes.

For grants hosted on IFS, payment will be made based on claims according to Innovate UK standard processes, where evidence of expenditure incurred and defrayed in the claim period will be required. The level of independent oversight required will depend upon the total grant value of the UKRI guarantee grant provided (not the total original EU grant value): for guarantee grants over £100k in value an independent accountant's report will be required for the first and last claim (last claim only for lower value grants); for guarantee grants over £500k in value additional independent accountant's reports will be required annually; for guarantee grants over £2M each claim must be supported by an independent accountant's report.

For grants hosted on Je-S/Siebel systems payment will be made via profiled payments according to Research Council standard processes.

15. How long will it take for the grant offers to be issued after submission?

For grants hosted on IFS the anticipated turnaround time is around 30 days, if all the required information is submitted correctly. The process may take up to 90 days if additional information is required, depending on how quickly the additional information is provided. UKRI will always aim to prioritise those whose requested grant start date is due soonest, as far as possible. For Je-S grants the anticipated time to grant offer is around 7 weeks; again, those looking to start their awards soonest will be prioritised, as far as possible.

16. What happens to my grant if the UK formally associates to Horizon Europe after the UKRI guarantee has been applied to my project?

UK participants who have received a UKRI grant under the guarantee will remain on the UKRI grant for the lifetime of the grant, subject to UKRI terms and conditions.

17. Will UK-based Affiliated Entities be covered by the guarantee?

Yes, costs for UK-based Affiliated Entities in consortia will be covered by the guarantee in the same way as costs for beneficiaries. The EU will treat UK-based Affiliated Entities as Associated Partners if the UK doesn't associate in time, and they will be covered by the guarantee.

18. What is the closing date for the UKRI guarantee funding routes?

For wave 2, the guarantee systems will remain open indefinitely. This includes both IFS- and Je-S-hosted calls. If any of the calls need to be closed for operational reasons, guarantee funding applicants will be given due notice.

Specific questions for grants hosted on the Innovation Funding Service (IFS)

19. What start date will I be able to enter onto IFS?

You will be required to enter a future date into the start date section on IFS initially, although UKRI can manually adjust this if required. If your project has already started at time of submission to UKRI, you will be asked to note the actual start date of the project. The Grant Offer Letter will be issued listing this date as the project start date and using the duration entered in Application Details. We recommend that you wait until you receive the Grant Offer Letter before you start incurring costs against the grant, and if costs are incurred before this point, it is at your own risk.

20. What if our EU grant's start date comes before we can expect to receive funding from UKRI?

The expected processing time through the IFS calls will be a maximum of 90 days. There is scope for this to be faster, but that will be the maximum. The processing team will do their best to prioritise those with sooner start dates, but this will be dependent on the volume of grants in total.

21. My project includes more than one UK partner. Do we need to submit our details separately or as one application for funding?

Please submit your details separately in IFS; each participant registered on the signed EU grant agreement as a separate Associated Partner will receive a separate grant from UKRI. UKRI will link multiple grants from the same EU grant together for monitoring purposes, i.e., the same Monitoring Officer will be assigned to look after all the UK components of the same EU project.

22. Are Co-funded European Partnerships within the scope of the guarantee?

Co-funded European Partnerships within the calls listed in the Annex are within the scope of the guarantee. However, given the more complex nature of the grant agreement, please contact UKRI (eugrantsfunding@ukri.org) letting us know the UK partners involved so that we can arrange a call to discuss the details.

23. Are calls run by Joint Undertakings within the scope of the guarantee?

Calls run by Joint Undertakings that are listed in the Annex are within the scope of the guarantee.

24. Are calls run by the European Partnership on Metrology within the scope of the guarantee?

The 2021 call run by the European Partnership on Metrology is within the scope of the guarantee.

EIC Accelerator/Transitions/Pathfinder awards

25. Are EIC Accelerator and single applicant EIC Transition awards within scope for the guarantee?

Yes, eligible successful UK applicants to the initial rounds of the EIC Accelerator and EIC Transition (2021) calls are included within the first wave of Horizon Europe calls and thus covered by the Horizon Europe guarantee. If the awardee is unable to proceed with signing the grant agreement for their EIC award, proof of success in the EC evaluation process will need to be submitted to UKRI which will provide funding under the guarantee.

NB. The specific call for these grants has now closed, but awardees who did not get to submit to this call can submit to the general wave 2 call opening in May 2022 instead.

26. Are EIC Pathfinder awards and multi-beneficiary EIC Transition awards within scope for the guarantee?

Yes, funding for eligible UK applicants involved in eligible consortia successfully evaluated under the first EIC Pathfinder or EIC Transition (2021) calls, who are unable to proceed with signing a grant agreement with the European Commission, is within scope for the guarantee. The final signed grant agreement, listing the UK partner as an Associated Partner, will need to be submitted to UKRI, which will provide funding under the guarantee to enable continued participation in the project.

NB. The specific call for these grants has now closed, but awardees who did not get to submit to this call can submit to the general wave 2 call opening in May 2022 instead.

EIT-KIC awards

27. I was unable to sign a grant agreement and/or receive funds for an approved EIT-KIC project during 2021 and have incurred costs 'at risk'. How quickly will I receive the guarantee funding?

The UKRI call to register your 2021 EIT-KIC project has now closed. Once your submission has been validated you will receive a payment of 50% of your claim upfront. You will be able to claim the remainder of the funding once you have completed financial reporting to your KIC and they have verified your incurred costs. You will need to submit this verification to UKRI alongside your claim to receive the balance.

28. Does the guarantee cover EIT-KIC activities where costs are being incurred in 2022?

Eligible UK participants involved in EIT-KIC activities with costs being incurred in 2022 are covered by the guarantee, provided that Third Country participation is allowed.

This covers the costs of EIT-eligible activities delivered by UK participants where these activities have been included in 2022 KIC Business Plans and the costs would otherwise have been covered by grant funding awarded by EIT. For the costs of these activities, access to funding under the guarantee will open in May 2022. This part of the guarantee will cover costs incurred in the calendar year 2022 only.

29. What supporting documents will I need to provide to UKRI when registering for funding under the guarantee for EIT-KIC activities carried out in 2022?

You will need to submit the following supporting documents:

- **A letter from your KIC confirming the details of your project/activities.** A template letter that must be used for this purpose has been provided to each of the KICs by UKRI. Your KIC will fill in the template and give you a signed copy to submit when registering your project. (For information, you can see the [template letter](#) and you can download it if you need to share it with your KIC).
- **A 2022 grant agreement between you and the KIC and/or an alternative proof of success.** Suitable alternative evidence could include an official letter or email from the KIC confirming that your project was accepted as part of the 2022 business plan for your KIC.
- **If your KIC has removed 'success fee', share-transfer or other equity conditions in order for your claim to be within scope of the guarantee,** you will need to submit confirmation of the details of this from your KIC.

30. I am participating in a multi-year EIT-KIC project, how will guarantee funding be administered?

In line with EIT-KIC processes, the guarantee covers costs by calendar year. The UKRI call for EIT-KIC projects where costs were incurred during 2021 closed in April 2022. For costs incurred in 2022, you can register your project along with supporting documents in UKRI systems from 23 May. The guarantee does not extend to costs incurred in 2023.

31. I received ‘exceptional status’ from EIT for my participation in an EIT-KIC project. Do I need to claim anything under the guarantee?

No, the guarantee only covers projects that have not been able to receive funding from the EU. You should continue with your project as normal and receive funds from EIT.

Specific questions for calls hosted on Joint Electronic System (Je-S)

32. Why are the Je-S calls being hosted by the Engineering and Physical Sciences Research Council (EPSRC)?

Due to system constraints, EPSRC will be formally hosting these awards on behalf of UKRI on Je-S. EPSRC will not be involved in the processing of the awards and any queries should be directed to EUGrantsFunding@ukri.org and not to EPSRC.

33. What will my MSCA/ERC guarantee grant be referred to as?

The guarantee will use the scheme and call names given in the following table. For the purposes of applying for UK visas, the Je-S scheme names will be included on the list of approved schemes for the Global Talent Visa, where appropriate.

Call	Je-S Scheme Name	Je-S Call Name
ERC Starting Grant	UKRI Frontier Research Guarantee	Horizon Europe Guarantee ERC Starting Grants 2021 (invite only)
ERC Consolidator Grant		Horizon Europe Guarantee ERC Consolidator Grants 2021 (invite only)
ERC Advanced Grant		Horizon Europe Guarantee ERC Advanced Grants 2021 (invite only)
MSCA Postdoctoral Fellowships (PF)	UKRI Postdoctoral Fellowships Guarantee	Horizon Europe Guarantee MSCA Postdoctoral Fellowships 2021 (invite only)
MSCA Doctoral Networks (DN)	UKRI Doctoral Networks Guarantee	Horizon Europe Guarantee MSCA Doctoral Networks 2021 (invite only)
ERC Proof of Concept	ERC and MSCA Other Guarantee Calls	Horizon Europe Guarantee ERC Proof of Concept Grants 2021 (invite only)
MSCA Citizens		Horizon Europe Guarantee MSCA Citizens Grants 2021 (invite only)

34. What start date will I be able to enter onto Je-S?

You will be required to enter a future date into the start date section on Je-S initially, however UKRI can manually adjust this if required. Please email EUGrantsfunding@ukri.org with your justification if you need to do so.

The anticipated time to grant offer is up to 7 weeks from the date of submission; we will aim to prioritise those looking to start their awards soonest, as far as possible. We recommend that you wait until you receive the Grant Offer Letter before you start incurring costs against the grant, and if costs are incurred before this point it is at your own risk.

European Research Council (ERC) awards

35. Are ERC grants within the scope of the guarantee?

Yes, the guarantee will provide funding for all eligible successful awardees of ERC Grants that fall within the first or second wave. This guarantee will allow awardees to receive the full value of their funding and continue their research in the UK.

Awardees do not need to move abroad to an EU Member State or to an Associated Country to Horizon Europe to access this funding.

36. Will my ERC guarantee grant be portable?

Grants funded through the UK guarantee will be portable within the UK according to UKRI standard terms and conditions. Awardees of ERC Starting, Consolidator and Advanced grants through calls included in the first or second wave of the guarantee who applied to be hosted outside the UK may move their project to the UK and be funded through the guarantee, subject to two conditions:

- i. They have not signed an ERC grant agreement to be hosted elsewhere.
- ii. They can demonstrate through submission of a host institution letter that a UK research organisation is prepared to host them for the lifetime of the grant.

Applicants who have applied to be hosted outside the UK and wish to move their project to a UK institution are advised to contact us at EUGrantsFunding@ukri.org before applying.

37. What terms and conditions will be attached to my ERC guarantee grant?

The UKRI research grant terms and conditions will be attached to these grants (available to view [here](#)), with a small number of additional conditions, which will be listed in the specific call guidance. The additional terms will be the same for Starting, Consolidator and Advanced guarantee grants. As noted above, no pre-financing will be paid on these grants.

38. What documentation will I need to provide to UKRI to access funding for my successful ERC Starting/Consolidator/Advanced/Proof of Concept grant?

The Je-S form has been made as simple as possible to complete. You will need to complete some details about the project for administrative purposes only. The information submitted will not be reassessed by any peer reviewers for scientific merit. You will need to submit PDF copies of:

- The original ERC proposal
- The confirmation that the proposal was successful

- The evaluation summary report
- A completed cost conversion tool (a template will be available to download for specific calls once the calls have opened on Je-S)

39. Will PhD studentships applied for on my original ERC proposal be covered by the guarantee?

Yes, PhD students will be covered by the guarantee. For reporting purposes, project student costs will be removed from the original application during processing and added to a training grant. The Principal Investigator listed on the original application will be the grant holder on the training grant.

40. I applied for the additional funding, which was approved by the ERC. Will this be covered by the guarantee?

Yes, the total funding approved by the ERC will be paid through UKRI's guarantee process. The additional funding will be wrapped into the overall award and paid on the same profiled schedule as the rest of the grant.

41. What documentation will I need to provide to UKRI to access funding for my successful ERC Starting/ Consolidator/ Advanced/ Proof of Concept grant?

As well as filling in the Je-S form you will need to submit PDF copies of:

- The original ERC proposal
- The confirmation that the proposal was successful
- The evaluation summary report
- A completed cost conversion tool (template available to download on the website alongside this guidance document)

Marie Skłodowska-Curie Actions (MSCA) awards

42. What will the funding rate of my MSCA grant be?

Grants will be funded in pounds sterling by profiled payments based on UKRI standard processes. The total grant value will be based on the total value assigned to the UK participant in the original MSCA grant (calculated as the total applicable MSCA unit costs for the full grant duration multiplied by the UK country correction coefficient), taking into account the exchange rate. UKRI will typically make payments quarterly in arrears.

43. What terms and conditions will apply to the guarantee MSCA grants?

UKRI's standard research grant terms and conditions will apply (available to view [here](#)), with additional conditions which will be given in the specific call guidance.

44. Will the guarantee still require that "Contributions for the recruited researcher" be paid to the fellow/student in full?

The guarantee will still require that the full value of the unit costs under the heading "Contributions for the recruited researcher" in the original MSCA proposal (i.e., Living Allowance, Mobility Allowance, Family Allowance, Long-Term Leave Allowance, Special Needs Allowance) is paid to the student/fellow by the end of their studentship/fellowship.

45. If, over the course of my MSCA grant, I become eligible for the family allowance, can I add this to my guarantee grant?

Yes, you will need to provide evidence to UKRI to indicate that you are now eligible for the family allowance. You will need to provide a verification letter from your host organisation to indicate that you have become eligible. The additional allowance would then be added for the remainder of your grant.

If you need to add the family allowance to your grant, please submit a generic change request through Je-S. Please refer to the [Je-S helptext](#) for how to do this.

46. Will the MSCA Special Needs Allowance still be offered under the guarantee?

Yes, you can claim this either at the start of your grant when you submit your details to UKRI, or during the lifetime of your grant if your situation changes.

If you need to add a special needs allowance to your grant, please submit a generic change request through Je-S. Please refer to the [Je-S helptext](#) for how to do this.

47. Will my host institution receive long-term leave allowance for my MSCA guarantee grant if I take maternity/paternity/parental/sick/special leave?

Yes, following UKRI terms and conditions, which state that within the announced grant cash limit, the Grant may be used to meet the costs of extending the Grant to cover a period of sick leave for staff supported within the original grant period. At the end of the Grant Period, UKRI will reimburse you for any additional net sick leave costs that cannot be met within the announced Grant cash limit, except where you have already recovered these costs by claiming Statutory Sick Pay from HMRC. This will be payable only for the percentage of time that the staff are contracted on the Grant. Documentation will need to be provided to indicate the length of leave taken and the extension cannot exceed this duration.

Further information regarding maternity/paternity/parental/sick/special leave can be found in the UKRI's standard research grant terms and conditions: [UK research and innovation fEC grants: standard terms and conditions of grant](#)

48. What should I do if asked for proof of the guarantee commitment?

This document lists the eligible calls and sets out the process to be undertaken to receive funding from UKRI. This document should be used as evidence of the guarantee in the first instance.

49. In line with MSCA rules, will eligible costs include costs related to relocation, visas, English language courses and the NHS surcharge?

Yes, these will be eligible costs.

MSCA Postdoctoral Fellowships

50. Will the guarantee cover MSCA Global Postdoctoral Fellowships?

The UK Horizon Europe guarantee will cover MSCA Global Fellowships that have their return phase hosted by a UK institution in the original proposal.

51. Can I delay the start date of my UKRI Postdoctoral Fellowship guarantee grant?

UKRI will allow a latest start date of 1st September 2023. Further delay may be permitted on a case-by-case basis, with approval from UKRI.

52. If we apply for the UKRI Postdoctoral Fellowship guarantee grant with a specified start date for the fellow, is it possible to change that start date?

This is possible and may be permitted on a case-by-case basis, with approval from UKRI.

In order to request this, you should submit a generic change request through Je-S. Please refer to the [Je-S helptext](#) for how to do this.

53. Can I change my UKRI Postdoctoral Fellowship guarantee grant to a part-time arrangement and extend its duration?

Yes, you can apply for a no-cost extension to your UKRI Postdoctoral Fellowship to extend the duration of the grant and you can then reduce the percentage of working time spent on the grant accordingly to fit that increased duration.

54. Can UKRI Postdoctoral Fellowship/Doctoral Network guarantee funding be used to cover the costs incurred on secondments or placements to UK or non-UK institutions? Will it cover the costs for the outgoing host for Global fellowships?

Yes, all of these costs are eligible under the UK guarantee. This includes costs for secondments which are specifically set out in the original proposal and secondments where the details are determined during the lifetime of the grant.

MSCA Doctoral Networks

55. If the projects are funded through the guarantee, will it be possible for UK beneficiaries to transfer some of the UKRI funding to cover project-wide activities?

These costs will be eligible under the guarantee, provided that proof of payment can be provided in line with UKRI's standard terms and conditions. UKRI would expect your research organisation to keep an adequate record for your own internal auditing procedures.

56. Can UKRI guarantee funding be used towards the costs of going on secondment, including making payments to the secondment host directly to cover their related costs?

Yes, this includes secondments which are specifically set out in the original proposal and secondments where the details are determined during the lifetime of the grant.

57. Will the MSCA mobility rule (i.e., the fellow should have spent less than 1 of the last 3 years in the UK) apply for UK participants in Doctoral Networks funded by the guarantee?

Yes, the standard MSCA mobility rule will apply.

General definitions and information on Associated Partner status

58. What is an 'Associated Country'?

An Associated Country is a country that is not a Member State of the EU but has a formal agreement with the EU detailing the terms of its association to a European framework programme. Sixteen countries associated to Horizon 2020, and it is likely a similar number will associate to Horizon Europe. Entities from associated countries are eligible to participate in most elements of the programme under the same conditions as entities from Member States, with a few limited exceptions.

59. What is a 'Third Country' and 'Third Country participation'?

All countries that are neither an EU Member State nor an Associated Country are referred to as non-associated 'Third Countries'. Non-associated Third Countries have no agreements to associate to the EU Framework Programme. Participants from non-associated Third Countries are able to participate in some areas of the programme, predominantly in collaborative calls as Associated Partners, although they are not generally eligible to receive funding from the programme unless this is explicitly mentioned as an exception in the call documentation.

60. What is an 'Associated Partner'?

Associated Partners implement action tasks without receiving EU funding. They cannot lead a consortium, and do not sign the grant agreement. One example of this is where legal entities participate in a Horizon Europe grant but are not based in a Member State or Associated Country. While Associated Partners are not required to have a capital or legal link to a specific beneficiary, the other beneficiaries in the consortium take on the responsibility (through consortium agreements or contractual arrangements) to ensure proper implementation of the Associated Partners' elements of the project, as well as compliance with specific obligations of the grant agreement that are extended to Associated Partners.

61. What is the last legal signature date?

The 'last legal date for signing the grant agreement' refers to Article 31 of the EU's [Regulation Establishing Horizon Europe](#) document:

"The following periods shall apply [...] for signing grant agreements with applicants, a maximum period of eight months from the final date for submission of complete proposals".

This is the last date by which the Commission must sign the Grant Agreement. The Commission may choose to set a Grant Signature Date that is earlier than this last legal date, but they may not postpone beyond it. This applies to all calls except ERC and MSCA, for which the dates provided in the Work Programmes are used.

62. When do I become an Associated Partner?

Following successful evaluation, the Commission will notify you of your success and invite you to begin the process of grant agreement signature. If the UK has not completed association to Horizon Europe when the grant agreement signature is

imminent, your organisation could participate in the project as an Associated Partner (i.e., no longer classed as a beneficiary) as long as the topic you are responding to allows Third Country participation.

You should notify your coordinator and the Commission project officer that you would like to participate as an Associated Partner. The Commission will acknowledge this, and you will no longer be part of the grant agreement signature process. However, the coordinator should keep you up to date on the progress of the grant agreement signature.

63. How do I become an Associated Partner?

As an Associated Partner you do not sign the grant agreement, but you do sign the consortium agreement. You will need to accept certain Articles from the grant agreement (see the paragraph below) which should be inserted into the consortium agreement.

The grant agreement Articles that apply to Associated Partners are as follows:

- Article 11 (proper implementation)
- Article 12 (conflict of interests)
- Article 13 (confidentiality and security)
- Article 14 (ethics)
- Article 17.2 (visibility)
- Article 18 (specific rules for carrying out action)
- Article 19 (information)
- Article 20 (record- keeping).

You will also need to accept that the bodies mentioned in Article 25 can exercise their rights towards you.

The [Model Grant Agreement](#) gives further details at Article 9.1 (p39-40)

You should ask the coordinator to insert the provisions of Article 9.1 into the consortium agreement and say that they apply to you. Note that any financial provisions in the consortium agreement do not apply to you and you should ask the coordinator to exclude you from those provisions.

Many projects use the [DESCA Model Consortium Agreement](#) although your coordinator may have a different format.

The consortium agreement will be signed prior to the signature of the grant agreement. Once the grant agreement is signed the project can begin.

64. Can I continue to be the project Coordinator if I have to become an Associated Partner?

No. In order to be the coordinator of a project, a legal entity must sign the grant agreement. Only entities eligible for funding can sign the grant agreement. You will need to discuss this with your EC Project Officer and your consortium and agree that



an existing partner or a new partner will take on this role. The funding allocation within the consortium should then be adjusted accordingly.

Annex: List of calls in scope of UK Horizon Europe funding guarantee – first and second waves

Guarantee Wave 1

ERC-2021-StG
HORIZON-EIC-2021-ACCELERATOROPEN-01
HORIZON-CL6-2021-BIODIV-02*
HORIZON-EIC-2021-PATHFINDERCHALLENGES-01
HORIZON-EIC-2021-PATHFINDEROPEN-01
HORIZON-EIC-2021-TRANSITIONCHALLENGES-01
HORIZON-EIC-2021-TRANSITIONOPEN-01

Guarantee Wave 2

ERC-2021-AdG
ERC-2021-CoG
ERC-2022-PER
ERC-2022-PoC1
ERC-2022-PoC2
HORIZON-CL2-2021-DEMOCRACY-01
HORIZON-CL2-2021-HERITAGE-01
HORIZON-CL2-2021-HERITAGE-02
HORIZON-CL2-2021-TRANSFORMATIONS-01
HORIZON-CL2-2022-DEMOCRACY-01
HORIZON-CL2-2022-HERITAGE-01
HORIZON-CL2-2022-TRANSFORMATIONS-01
HORIZON-CL3-2021-BM-01
HORIZON-CL3-2021-CS-01
HORIZON-CL3-2021-DRS-01
HORIZON-CL3-2021-FCT-01
HORIZON-CL3-2021-INFRA-01
HORIZON-CL3-2021-SSRI-01
HORIZON-CL4-2021-DATA-01
HORIZON-CL4-2021-DIGITAL-EMERGING-01
HORIZON-CL4-2021-DIGITAL-EMERGING-02
HORIZON-CL4-2021-HUMAN-01
HORIZON-CL4-2021-RESILIENCE-01
HORIZON-CL4-2021-RESILIENCE-02
HORIZON-CL4-2021-SPACE-01
HORIZON-CL4-2021-TWIN-TRANSITION-01
HORIZON-CL4-2022-DATA-01
HORIZON-CL4-2022-DIGITAL-EMERGING-01
HORIZON-CL4-2022-HUMAN-01
HORIZON-CL4-2022-RESILIENCE-01



HORIZON-CL4-2022-RESILIENCE-02-PCP
HORIZON-CL4-2022-SPACE-01
HORIZON-CL4-2022-TWIN-TRANSITION-01
HORIZON-CL5-2021-D1-01
HORIZON-CL5-2021-D2-01*
HORIZON-CL5-2021-D3-01*
HORIZON-CL5-2021-D3-02
HORIZON-CL5-2021-D3-03
HORIZON-CL5-2021-D4-01
HORIZON-CL5-2021-D4-02
HORIZON-CL5-2021-D5-01
HORIZON-CL5-2021-D6-01
HORIZON-CL5-2022-D1-02
HORIZON-CL5-2022-D3-01
HORIZON-CL5-2022-D5-01
HORIZON-CL5-2022-D6-01
HORIZON-CL6-2021-BIODIV-01
HORIZON-CL6-2021-CIRCBIO-01
HORIZON-CL6-2021-CLIMATE-01
HORIZON-CL6-2021-COMMUNITIES-01
HORIZON-CL6-2021-FARM2FORK-01
HORIZON-CL6-2021-GOVERNANCE-01
HORIZON-CL6-2021-ZEROPOLLUTION-01
HORIZON-CL6-2022-BIODIV-01
HORIZON-CL6-2022-CIRCBIO-01
HORIZON-CL6-2022-CLIMATE-01*
HORIZON-CL6-2022-COMMUNITIES-01
HORIZON-CL6-2022-FARM2FORK-01
HORIZON-CL6-2022-GOVERNANCE-01*
HORIZON-CL6-2022-ZEROPOLLUTION-01
HORIZON-EIC-2021-ACCELERATORCHALLENGES-01
HORIZON-EIC-2021-EEN-01
HORIZON-EIC-2021-NCP-01
HORIZON-EIE-2021-CONNECT-01
HORIZON-EIE-2021-INNOVSMES-01*
HORIZON-EIE-2021-SCALEUP-01
HORIZON-EIE-2022-CONNECT-01
HORIZON-EURATOM-2021-NRT-01
HORIZON-EUSPA-2021-SPACE-02
HORIZON-HLTH-2021-CARE-05
HORIZON-HLTH-2021-DISEASE-04
HORIZON-HLTH-2021-ENVHLTH-02
HORIZON-HLTH-2021-ENVHLTH-03*
HORIZON-HLTH-2021-IND-07
HORIZON-HLTH-2021-STAYHLTH-01
HORIZON-HLTH-2021-TOOL-06
HORIZON-HLTH-2022-CARE-08
HORIZON-HLTH-2022-CARE-10*



HORIZON-HLTH-2022-DISEASE-03*
HORIZON-HLTH-2022-DISEASE-07
HORIZON-HLTH-2022-ENVHLTH-04
HORIZON-HLTH-2022-IND-13
HORIZON-HLTH-2022-STAYHLTH-02
HORIZON-HLTH-2022-TOOL-11
HORIZON-INFRA-2021-DEV-01
HORIZON-INFRA-2021-DEV-02
HORIZON-INFRA-2021-EOSC-01
HORIZON-INFRA-2021-NET-01-FPA
HORIZON-INFRA-2021-SERV-01
HORIZON-INFRA-2021-TECH-01
HORIZON-INFRA-2022-DEV-01
HORIZON-INFRA-2022-EOSC-01
HORIZON-INFRA-2022-TECH-01
HORIZON-JU-SNS-2022-STREAM-A-01-01
HORIZON-JU-SNS-2022-STREAM-A-01-02
HORIZON-JU-SNS-2022-STREAM-A-01-03
HORIZON-JU-SNS-2022-STREAM-A-01-04
HORIZON-JU-SNS-2022-STREAM-A-01-05
HORIZON-JU-SNS-2022-STREAM-A-01-06
HORIZON-JU-SNS-2022-STREAM-A-01-07
HORIZON-JU-SNS-2022-STREAM-B-01-01
HORIZON-JU-SNS-2022-STREAM-B-01-02
HORIZON-JU-SNS-2022-STREAM-B-01-03
HORIZON-JU-SNS-2022-STREAM-B-01-04
HORIZON-JU-SNS-2022-STREAM-B-01-05
HORIZON-JU-SNS-2022-STREAM-C-01-01
HORIZON-JU-SNS-2022-STREAM-CSA-01
HORIZON-JU-SNS-2022-STREAM-CSA-02
HORIZON-JU-SNS-2022-STREAM-D-01-01
HORIZON-KDT-JU-1-IA-Focus-Topic-1
HORIZON-KDT-JU-2021-1-IA
HORIZON-KDT-JU-2021-2-RIA-Focus-Topic-1
HORIZON-KDT-JU-2021-2-RIA
HORIZON-KDT-JU-2021-3-CSA
HORIZON-MISS-2021-CIT-01
HORIZON-MISS-2021-CLIMA-01
HORIZON-MISS-2021-COOR-01
HORIZON-MISS-2021-NEB-01
HORIZON-MISS-2021-OCEAN-01
HORIZON-MISS-2021-SOIL-01
HORIZON-MISS-2021-SOIL-02
HORIZON-MISS-2021-UNCAN-01
HORIZON-MSCA-2021-COFUND-01
HORIZON-MSCA-2021-DN-01
HORIZON-MSCA-2021-INCO-01
HORIZON-MSCA-2021-NCP-01



HORIZON-MSCA-2021-PF-01
HORIZON-MSCA-2021-RR-01
HORIZON-MSCA-2021-SE-01
HORIZON-MSCA-2022-CITIZENS-01
HORIZON-WIDERA-2021-ACCESS-02
HORIZON-WIDERA-2021-ACCESS-03
HORIZON-WIDERA-2021-ACCESS-05
HORIZON-WIDERA-2021-ACCESS-06
HORIZON-WIDERA-2021-ERA-01
HORIZON-WIDERA-2022-ACCESS-04
HORIZON-WIDERA-2022-ACCESS-07
HORIZON-WIDERA-2022-ERA-01
HORIZON-WIDERA-2022-TALENTS-01
HORIZON-WIDERA-2022-TALENTS-02
HORIZON-WIDERA-2022-TALENTS-03
European Partnership on Metrology 2021 Call (EURAMET)

* These include topics to form co-funded European Partnerships. These are within the scope of the guarantee. If you are involved in a co-funded European Partnership, please contact UKRI (eugrantsfunding@ukri.org) letting us know the UK partners involved so that we can arrange a call to discuss the details.