

Apply for a No-Derivatives Licence Exception

UKRI's licensing requirements

The [UKRI Open Access Policy](#), which applies from 1 April 2022, requires full and immediate open access for in scope research articles.¹ UKRI requires that the Creative Commons Attribution Licence² (CC BY) is applied to the open access version of the research output. A Creative Commons No-derivatives Licence³ (CC BY-ND) is permissible via a case-by-case exception.

What is a CC BY licence?

CC BY is an internationally accepted, machine-readable licence widely used in scholarly publishing. It removes barriers to reuse of research outputs, such as uncertainty about how information can be used or the need to seek permission, while preserving the moral rights of authors in line with established scholarly norms. A CC BY licence requires attribution to the original author.

UKRI considers CC BY to be an appropriate licence in most cases. We recognise however that some research may require a more restrictive licence, for which a CC BY-ND licence may be granted.

What does a CC BY-ND licence do?

A CC BY-ND licence introduces a barrier to reuse of research. Under this licence the creation and sharing of a derivative work must be authorised by the copyright holder,⁴ this can include translations and adaptations. For example, a CC BY-ND licence prevents the following based on a licensed work:

- The unauthorised publication of a translated document
- The unauthorised dissemination of a modified figure or graph
- The unauthorised dissemination of educational resources

Some examples of what a CC BY-ND licence does not prevent are:

- Activities covered under exceptions to copyright, such as text and data mining for non-commercial research; criticism, review and quotation; caricature, parody or pastiche⁵
- Commercialisation
- Reproduction, distribution, or public communication

Both CC BY and CC BY-ND licences protect the moral rights of an author. UKRI encourages authors to give due consideration to not restrict opportunities for sharing and reuse of publicly funded research.

How UKRI will make decisions on the exception

The decision to grant an exception will be dependent on the reasons articulated to UKRI within the context of research and will be assessed on a case-by-case basis.

Further information

For further information about the licences, see [About The Licenses - Creative Commons](#)

¹ UKRI's open access licence requirements do not apply to third-party materials.

² [Creative Commons Attribution Licence](#)

³ [Creative Commons No-derivatives Licence](#)

⁴ The copyright holder may be different to the original author, such as the institution or the publisher.

⁵ Further information about exceptions to copyright is available at: [Exceptions to copyright - GOV.UK \(www.gov.uk\)](#)



No-Derivatives Exception Form

UKRI will provide the final form and accept exception requests from 14 March 2022.

Using this form

This form is for the licence exception in the [UKRI Open Access Policy](#), which applies from 1 April 2022.

Email the completed form to openaccess@funding.ukri.org with 'ND exception – *your publication title*' in the subject line to apply for a no-derivatives licence exception. UKRI aims to respond to requests within 10 working days of receipt of the completed form. All fields must be completed for the form to be considered complete.

Who should complete this form

The form may be completed by the author or the research organisation on behalf of the author. In the 'email address' field of the form, please supply the email address of the person(s) UKRI should liaise with on the exception request. You may provide up to two email addresses. In the 'UKRI grant number' field of the form, please supply the UKRI grant acknowledged in the research publication.

A research organisation may have internal policies for their staff on publishing their research and licences. UKRI recommends that authors contact their research office, library or equivalent to find out about any local requirements.

Author name(s)

Research organisation

Email address

UKRI grant number

Title of publication

Name of journal or publishing platform



Why are you seeking this exception? (200 words maximum)

Click or tap here to enter text.

SAMPLE - NOT FOR USE



Version control

Version Control				
Version Number	Status	Revision Date	Author(s)	Summary of changes
1.0	Complete	February 2022	UKRI Open Research Team	New form created