



**UK Research
and Innovation**

FOIA Section 40 Personal Information removed

**RAND Europe Community Interest
Company Westbrook Centre Milton Road
Cambridge
CB4 1YG**

Attn: FOIA Section 40 Personal Information removed

By email to: FOIA Section 40 Personal Information removed

Date: 10th June 2022

Your ref: 22110

Our ref: UKRI-1745

Dear FOIA Section 40 Personal Information removed

Award of contract for the supply of Future Leaders Fellowships Programme Evaluation

Following your tender/ proposal for the supply of Future Leaders Fellowships Programme Evaluation to UKRI, we are pleased to award this contract to you.

This letter ("**Award Letter**") and its Schedule(s) set out the terms of the Contract between:

- (1) **United Kingdom Research and Innovation**, a statutory corporation whose registered office is at Polaris House, North Star Avenue, Swindon, England, SN2 1FL ("**UKRI**"); and
- (2) **RAND Europe Community Interest Company**, a company incorporated and registered in England and Wales with company number 2728021 and registered VAT number 599625179 whose registered office is at Westbrook Centre, Cambridge CB4 1YG (the "**Supplier**").

Unless the context otherwise requires, capitalised expressions used in this Award Letter have the same meanings as in the terms and conditions of contract set out in Schedule 1 to this Award Letter (the "**Conditions**"). Please do not attach any Supplier terms and conditions to this Award Letter as they will not be accepted by UKRI and may delay conclusion of the Contract. For the purposes of the Contract, UKRI and the Supplier agree as follows: **Term**

- 1 Commencement Date: 13th June 2022
- 2 Expiry Date: 12^h June 2024
- 3 UKRI may extend this Contract for a period of up to 1 (one) year by giving not less than three months' notice in writing to the Supplier prior to the Expiry Date. The terms and conditions of this Contract shall apply throughout any such extended period.

Description of Goods and/or Services

4 The Specification of the Goods and/or Services to be delivered is as set out in Schedule 2.

Charges & Payment

5 The Charges for the Goods and/or Services shall be as set out in Schedule 3.

- 6 All invoices should be sent, quoting a valid purchase order number (PO Number) provided by UKRI, to: UK Research and Innovation c/o UK SBS Ltd, Polaris House, North Star Avenue, Swindon SN2 1FF.
- 7 To avoid delay in payment it is important that the invoice is compliant and that it includes a valid PO Number, PO Number item number (if applicable) and the details (name and telephone number) of your UKRI contact (i.e. Contract Manager). Non-compliant invoices will be sent back to you, which may lead to a delay in payment. If you have a query regarding an outstanding payment please contact our Accounts Payable section either by email to finance@uksbs.co.uk or by telephone 01793 867000 between 09:00-17:00 Monday to Friday.

Supplier's Liability

- 8 Pursuant to clause 20.4, the Supplier's Limit of Liability under this Contract shall be: 125% of the total Charges paid and payable to the Supplier under this Contract

Insurances

- 9 The Supplier is not required to maintain the following insurance policies referred to in clause 19.1 of the Conditions:
- (a) Clause 19.1(b)
 - (b) Clause 19.1(c)
 - (c) Clause 19.1(e)

Notices

- 10 The address for notices of the Parties are:

UKRI

Polaris House, North Star Avenue,
Swindon, England, SN2 1FL

Attention: FOIA Section 40 Personal Information

FOIA Section 40 Personal Information

Email: FOIA Section 40 Personal Information

RAND Europe Community Interest Company

Westbrook Centre, Cambridge CB4 1YG

Attention: FOIA Section 40 Personal Information

Email: FOIA Section 40 Personal Information

Liaison & Disputes

- 11 For general liaison your contact will continue to be the FOIA Section 40 Personal Information
FOIA Section 40 Personal Information

- 12 Pursuant to Clause 32.3, Disputes shall be escalated to the following individuals:

- (d) Stage 1 escalation:

UKRI: FOIA Section 40 Personal Information

Supplier: FOIA Section 40 Personal Information

(e) Stage 2 escalation:

UKRI: FOIA Section 40 Personal Information

Supplier: FOIA Section 40 Personal Information

We thank you for your co-operation to date and look forward to forging a successful working relationship resulting in a smooth and successful supply of the Goods and/or Services. Please confirm your acceptance of the award of this contract by signing and returning the enclosed copy of this letter to FOIA Section 40 Personal Information at the above address. No other form of acknowledgement will be accepted. Please remember to quote the reference number above in any future communications relating to this contract.

Yours faithfully,

Signed for and on behalf of United Kingdom Government

Signature:

FOIA Section 40 Personal Information

Name:

Position:

Date:

16th June 2022

We accept the terms set out in this Award Letter and the Schedule(s).

Signed for and on behalf of RAND Europe Community Interest Company

Signature:

FOIA Section 40 Personal Information

Name:

Position:

Date:

15 JUNE 2022

Schedule 1 - The Conditions

1 INTERPRETATION

1.1 **Definitions.** In the Contract (as defined below), the following definitions apply:

Award Letter: means the letter from UKRI to the Supplier printed above these terms and conditions;

Change in Law: any change in Law which impacts on the performance of the Goods and/or Services which comes into force after the Commencement Date;

Charges: the charges payable by UKRI for the supply of the Goods and/or Services as specified in Schedule 3;

Commencement Date: means the date for the start of the Contract as set out in the Award Letter;

Confidential Information: means:

- (a) all confidential information and data which is acquired from or made available (directly or indirectly) by the Disclosing Party or the Disclosing Party's representatives however conveyed or presented, including but not limited to any information or document relating to the Disclosing Party's business, affairs, operations, budgets, policies, processes, initiatives, plans, product information, pricing information, technical or commercial know-how, trade secrets, specifications, strategies, inventions, designs, software, market opportunities, personnel, customers or suppliers (whether relating to this Contract or otherwise) either orally, in writing, or in whatever form obtained or maintained;
- (b) any information or analysis derived from the Confidential Information;
- (c) anything marked as confidential and any other information notified by or on behalf of the Disclosing Party to the Receiving Party as being confidential;
- (d) the existence and terms of this Contract and of any subsequent agreement entered into in relation to this Contract;
- (e) the fact that discussions and negotiations are taking place concerning this Contract and the status of those discussions and negotiations; and
- (f) any copy of any of the information described in (a), (b), (c), (d), or (e) above, which shall be deemed to become Confidential Information when it is made. For the purposes

of this definition, a copy shall include, without limitation, any notes or recordings of the information described in (a), (b), (c), (d), or (e) above (howsoever made); but not including any information which:

- (i) was in the possession of the Receiving Party without a breach of an obligation of confidentiality prior to its disclosure by the Disclosing Party;
- (ii) the Receiving Party obtained on a non-confidential basis from a third party who is not, to the Receiving Party's knowledge or belief, bound by a confidentiality agreement with the Disclosing Party or otherwise prohibited from disclosing the information to the Receiving Party;
- (iii) was already generally available and in the public domain at the time of disclosure otherwise than by a breach of this Contract or breach of a duty of confidentiality;
- (iv) was independently developed without access to the Confidential Information; or
- (v) relates to the Supplier's performance under this Contract or failure to pay any sub-contractor as required pursuant to clause 10.9;

Contract: means the contract between UKRI and the Supplier constituted by the Supplier's countersignature of the Award Letter and includes the Award Letter and Schedules;

Cyber Essentials Questionnaire: UKRI's questionnaire for suppliers regarding their cyber security arrangements, a copy of which is available from UKRI on request;

Data Protection Legislation: means, for the periods in which they are in force, all laws giving effect or purporting to give effect to the GDPR, the Data Protection Act 2018, or otherwise relating to Data Protection, including the Regulation of Investigatory Powers Act 2000, the Telecommunications (Lawful Business Practice) (Interception of Communications) Regulations 2000 (SI 2000/2699), the Electronic Communications Data Protection Directive (2002/58/EC), the Privacy and Electronic Communications (EC Directive) Regulations 2003 (SI 2426/2003), the GDPR and all applicable laws and regulations relating to the processing of personal data and privacy, including where applicable the guidance and codes of practice issued by the Information Commissioner, in each case as amended or substituted from time to time;

Declaration of Ineffectiveness: a declaration made by a Court under regulation 98 which has any of the consequences described in regulation 101 of the Public Contracts Regulations 2015 (as amended) or which is made under an equivalent provision implementing Directive 2014/23/EU in England, Wales & Northern Ireland and which has consequences which are similar to any of the consequences described in regulation 101 of the Public Contracts Regulations 2015 (as amended);

Deliver: means hand over of the Goods to UKRI at the address(es) specified in the Specification (or otherwise agreed in writing by the Parties) and on the Delivery Date, which shall include unloading and any other specific arrangement agreed in accordance with clause 6. "Delivered", "Delivery" and "Deliveries" shall be construed accordingly;

Deliverables: all Documents, products and materials developed by the Supplier or its agents, contractors and employees as part of, or in relation to, the Services in any form, including computer programs, data, reports and specifications (including drafts);

Delivery Date: the date for delivery of the Goods specified by UKRI in writing and if no such date is specified, within 28 days of the date of UKRI's written request;

Delivery Note: means a note produced by the Supplier accompanying each delivery of the Goods which shows the date of the order, the order number (if any), the type and quantity of the Goods (including the code number of the Goods, where applicable), special storage instructions (if any) and, if the Goods are being delivered by instalments, the outstanding balance of Goods remaining to be delivered;

Disclosing Party: means a Party that makes a disclosure of Confidential Information to another Party;

Dispute: means any dispute, conflict or disagreement arising out of or in connection with this Contract;

Document: includes, in addition to any document in writing, any drawing, map, plan, diagram, design, picture or other image, tape, disk or other device or record embodying information in any form.

EIR: the Environmental Information Regulations 2004 (or if applicable the Environmental Information Regulations (Scotland) 2004) together with any guidance and/or codes of practice issued by the Information Commissioner or relevant government department in relation to such regulations;

Expiry Date: means the date for expiry of the Contract as set out in the Award Letter;

FOIA: the Freedom of Information Act 2000 (or if applicable the Freedom of Information (Scotland) Act 2002) and any subordinate legislation made under the Act from time to time, together with any guidance and/or codes of practice issued by the Information Commissioner or relevant government department in relation to such legislation;

Force Majeure Event: shall be limited to one or more of the following events: hurricanes, tempest, acts of state or public enemy, wars, revolutions, uprisings, hostilities, civil disturbances, riots, civil war, insurrection and invasion. For the avoidance of doubt, strikes, lockouts and shutdowns of a Party (or of any person engaged by any of them) shall not be a force majeure event for that Party;

GDPR: means:

- (a) the General Data Protection Regulations (Regulation (EU) 2016/679) which came into force on 25 May 2018; or
- (b) any equivalent legislation amending or replacing the General Data Protection Regulations (Regulation (EU) 2016/679);

General Change in Law: a Change in Law where the change is of a general legislative nature (including taxation or duties of any sort affecting the Supplier) or which affects or relates to the supply of goods and/or services to another customer of the Supplier that are the same or similar to any of the Goods and/or Services;

Good Industry Practice: means all relevant practices and professional standards that would be expected of a well-managed, expert service provider performing services substantially similar to the Services or supplies substantially similar to the Goods to customers of a substantially similar size and nature to UKRI;

Goods: means the goods to be supplied by the Supplier to UKRI, under the Contract as set out in the Specification;

Information: has the meaning given under section 84 of FOIA;

Intellectual Property Rights: all patents, rights to inventions, utility models, copyright and related rights (including moral rights), trademarks, service marks, trade, business and domain names, rights in trade dress or get-up, rights in goodwill or to sue for passing off, unfair competition rights, rights in designs, rights in computer software, database right, topography rights, rights in confidential information (including know-how and trade secrets) and any other intellectual property rights, in each case whether registered or unregistered

and including all applications for and renewals or extensions of such rights, and all similar or equivalent rights or forms of protection in any part of the world;

Key Personnel: means any persons specified as such in Schedule 4 or otherwise notified as such by UKRI to the Supplier in writing;

Law: means any law, statute, subordinate legislation within the meaning of section 21(1) of the Interpretation Act 1978, bye-law, enforceable right within the meaning of section 2 of the European Communities Act 1972 and section 4 of the European Union (Withdrawal Act 2018, regulation, order, mandatory guidance or code of practice, judgment of a relevant court of law, or directives or requirements of any regulatory body, with which UKRI and the Supplier (as the context requires) is bound to comply;

Limit of Liability: means the Supplier's limit of liability identified in the Award Letter;

Notifiable Breach: has the meaning set out at clause 8.3;

Party: the Supplier or UKRI (as appropriate) and "Parties" shall mean both of them;

Personal Data: has the meaning given to this term by the Data Protection Legislation;

Personal Data Breach: shall have the same meaning as in the Data Protection Legislation;

PO Number: means UKRI's unique number relating to the supply of the Goods and/or Services;

Public Body: any part of the government of the United Kingdom including but not limited to the Northern Ireland Assembly and Executive Committee, the Scottish Executive and the National Assembly for Wales, local authorities, government ministers and government departments and government agencies;

Public Procurement Termination Event: UKRI exercises its right to terminate the Contract in one or more of the circumstances described in either regulation 73(1) of the Public Contracts Regulations 2015 (as amended from time to time), or equivalent provisions implementing Directive 2014/23/EU in England, Wales & Northern Ireland (as amended from time to time);

Receiving Party: means a Party to which a disclosure of Confidential Information is made by another Party;

Remediation Plan: means a report identifying:

- (a) the nature of the Notifiable Breach described at clause 8.3, its cause and its anticipated duration and impact on the Contract; and
- (b) the procedures and resources the Supplier proposes to apply to overcome and rectify the Notifiable Breach and to ensure the impact of the Notifiable Breach is minimised and future performance of the Contract is not adversely affected;

Request for Information: a request for Information or an apparent request under FOIA or EIR;

Services: the services, including without limitation any Deliverables, to be provided by the Supplier to UKRI under the Contract as set out in the Specification;

SME: as defined by EU recommendation 2003/361/EC;

Specification: the description of the Goods and / or Services to be provided under this Contract as set out in Schedule 2;

Specific Change in Law: a Change in Law that relates specifically to the business of UKRI and which would not affect the supply of goods and/or services to another customer of the Supplier that are the same or similar to any of the Goods and/or Services;

Supplier's Associate: any individual or entity associated with the Supplier including, without limitation, the Supplier's subsidiary, affiliated or holding companies and any employees, agents or contractors of the Supplier and / or its subsidiary, affiliated or holding companies or any entity that provides Goods and or Services for or on behalf of the Supplier;

Supplier Dispute: means any disputes, claims, litigation, mediation or arbitration whether threatened or pending in relation to any incident involving the Supplier's, or another party's, provision of the Goods and/or Services;

Staff: means all directors, officers, employees, agents, consultants and contractors of the Supplier and/or of any sub-contractor of the Supplier engaged in the performance of the Supplier's obligations under the Contract;

Staff Vetting Procedures: means vetting procedures that accord with good industry practice or, where requested by UKRI, UKRI's procedures for the vetting of personnel as provided to the Supplier from time to time;

Term: means the period from the Commencement Date to the Expiry Date as such period may be extended or terminated in accordance with the terms and conditions of the Contract;

TUPE: the Transfer of Undertakings (Protection of Employment) Regulations 2006 as amended or replaced from time to time;

Working Day: a day (other than a Saturday, Sunday, public holiday or 27, 28, 29, 30 and 31 December) when banks in London are open for business.

1.2 In this Contract, unless the context requires otherwise, the following rules apply:

- (a) A person includes a natural person, corporate or unincorporated body (whether or not having separate legal personality).
- (b) A reference to a party includes its personal representatives, successors or permitted assigns.
- (c) A reference to any Law is a reference to Law as amended or re-enacted. A reference to a Law includes any subordinate legislation made under that Law, as amended or reenacted.
- (d) Any phrase introduced by the terms including, include, in particular or any similar expression shall be construed as illustrative and shall not limit the sense of the words preceding those terms.
- (e) The headings in the Contract are for ease of reference only and do not affect the interpretation or construction of the Contract.
- (f) A reference to writing or written includes e-mails.
- (g) A reference to numbered clauses are references to the relevant clause in this Contract.
- (h) Any obligation on any Party not to do or omit to do anything shall include an obligation not to allow that thing to be done or omitted to be done.

2 BASIS OF CONTRACT

2.1 The Contract comprises of the Award Letter and its Schedules, to the exclusion of all other terms and conditions, including any other terms that the Supplier seeks to impose or incorporate (whether in any quotation, confirmation of order, invoice, in correspondence or in any other context), or which are implied by trade, custom, practice or course of dealing.

2.2 If there is any conflict or inconsistency between the Award Letter and its Schedules, the provisions of the Award Letter will prevail followed by the Conditions in this Schedule 1 to the extent necessary to resolve that conflict or inconsistency.

3 TERM

3.1 This Contract shall take effect on the Commencement Date and shall expire on the Expiry Date, unless it is otherwise extended or terminated in accordance with the terms and conditions of this Contract.

4 SUPPLY OF SERVICES

4.1 In consideration of UKRI's agreement to pay the Charges, the Supplier shall for the Term provide the Services to UKRI in accordance with the terms of this Contract.

4.2 The Supplier shall meet any performance dates for the Services (including the delivery of Deliverables) specified in the Specification or notified to the Supplier by UKRI.

4.3 In providing the Services, the Supplier shall:

- (a) co-operate with UKRI in all matters relating to the Services, and comply with all instructions of UKRI using reasonable endeavours to promote UKRI's interests;
- (b) perform the Services with reasonable skill, care and diligence in accordance with Good Industry Practice in the Supplier's industry, profession or trade;
- (c) use Staff who are suitably skilled and experienced to perform tasks assigned to them, and in sufficient number to ensure that the Supplier's obligations are fulfilled in accordance with this Contract;
- (d) ensure that the Services and Deliverables will conform with the Specifications and that the Deliverables shall be fit for any purpose expressly or impliedly made known to the Supplier by UKRI;
- (e) provide all equipment, tools and vehicles and such other items as are required to provide the Services;
- (f) use goods, materials, standards and techniques, and ensure that the Deliverables, and all goods and materials supplied and used in the Services or transferred to UKRI are of a quality in line with Good Industry Practice and are free from defects in workmanship, installation and design;

- (g) obtain and at all times maintain all necessary licences and consents, and comply with all applicable laws and regulations;
- (h) not do or allow anything to be done that would, or would be likely to, bring UKRI into disrepute or adversely affect its reputation in any way;
- (i) observe all health and safety rules and regulations and any other security requirements that apply at any of UKRI's premises; and
- (j) not do or omit to do anything which may cause UKRI to lose any licence, authority, consent or permission on which it relies for the purposes of conducting its business, and the Supplier acknowledges that UKRI may rely or act on the Services.

4.4 UKRI's rights under this Contract are without prejudice to and in addition to the statutory terms implied in favour of UKRI under the Supply of Goods and Services Act 1982 and any other applicable legislation as amended.

5 SUPPLY OF GOODS

5.1 In consideration of UKRI's agreement to pay the Charges, the Supplier shall supply all Goods in accordance with the Contract. In particular, the Supplier warrants that the Goods shall:

- (a) conform with their description in the specifications (including the Specification), drawings, descriptions given in quotations, estimates, brochures, sales, marketing and technical literature or material (in whatever format made available by the Supplier) supplied by, or on behalf of, the Supplier;
- (b) be of satisfactory quality (within the meaning of the Sale of Goods Act 1979) and fit for any purpose held out by the Supplier or made known to the Supplier by UKRI, expressly or by implication, and in this respect UKRI relies on the Supplier's skill and judgement. The Supplier acknowledges and agrees that the approval by UKRI shall not relieve the Supplier of any of its obligations under this sub-clause;
- (c) where applicable, be free from defects (manifest or latent), in materials and workmanship and remain so for 12 months after Delivery;
- (d) be free from design defects;
- (e) comply with all applicable statutory and regulatory requirements relating to the manufacture, labelling, packaging, storage, handling and delivery of the Goods;

- (f) be supplied in accordance with all applicable legislation in force from time to time; and
 - (g) be destined for supply into, and fully compliant for use in, the United Kingdom (unless specifically stated otherwise in the Specification).
- 5.2 In supplying the Goods, the Supplier shall co-operate with UKRI in all matters relating to the supply of the Goods and comply with all of UKRI's instructions.
- 5.3 The Supplier shall ensure that at all times it has and maintains all the licences, permissions, authorisations, consents and permits that it needs to carry out its obligations under the Contract.
- 5.4 UKRI and its representatives shall have the right to inspect and test the Goods at any time before Delivery.
- 5.5 If following such inspection or testing UKRI considers that the Goods do not conform or are unlikely to comply with the Supplier's undertakings at clause 5.1, UKRI shall inform the Supplier and the Supplier shall immediately take such remedial action as is necessary to ensure compliance.
- 5.6 Notwithstanding any such inspection or testing, the Supplier shall remain fully responsible for the Goods and any such inspection or testing shall not reduce or otherwise affect the Supplier's obligations under this Contract, and UKRI shall have the right to conduct further inspections and tests after the Supplier has carried out its remedial actions.
- 5.7 UKRI's rights under the Contract are without prejudice to and in addition to the statutory terms implied in favour of UKRI under the Sale of Goods Act 1979, the Supply of Goods and Services Act 1982 and any other applicable legislation as amended.

6 DELIVERY

- 6.1 Unless otherwise agreed in writing by UKRI, the Supplier shall Deliver the Goods to UKRI on the Delivery Date (with the carriage paid) to the address(es) specified in the Specification and in accordance with any other Delivery instructions provided to the Supplier.
- 6.2 Delivery of the Goods shall be completed once the completion of unloading the Goods from the transporting vehicle at the Delivery address has taken place (as well as any other specific arrangement agreed by the Parties has taken place) and UKRI has signed for the Delivery. The Supplier will unload the Goods at its own risk as directed by UKRI. The Goods will remain at the risk of the Supplier until Delivery to UKRI (including unloading) is complete and the Supplier has obtained sign-off of the Delivery Note by or on behalf of UKRI.

- 6.3 Unless otherwise stipulated by UKRI in writing to the Supplier, Deliveries shall only be accepted by UKRI on Working Days and during normal business hours.
- 6.4 The Supplier shall ensure that:
- (a) the Goods are properly packed and secured in such manner as to enable them to reach their destination in good condition:
 - (b) each delivery of the Goods is accompanied by a Delivery Note; and
 - (c) if the Supplier requires UKRI to return any packaging material to the Supplier, that fact is clearly stated on the Delivery Note. Any such packaging material shall be returned to the Supplier at the Supplier's cost.
- 6.5 If the Supplier delivers to UKRI more than the quantity of Goods ordered, UKRI will not be bound to pay for the excess and any excess will remain at the Supplier's risk and will be returnable to the Supplier at the Supplier's expense.
- 6.6 If the Supplier delivers less than the quantity of Goods ordered, and UKRI accepts the delivery, a pro rata adjustment shall be made to the invoice for the Goods.
- 6.7 The Supplier shall not deliver the Goods in instalments without prior written consent from UKRI. Where it is agreed that the Goods are to be delivered in instalments, they may be invoiced and paid for separately.
- 6.8 The Supplier shall:
- (a) obtain, at its risk and expense, any export and import licences or other authorisations necessary for the export and import of the Goods and their transit through any country or territory; and
 - (b) deal with all customs formalities necessary for the export, import and transit of the Goods, and will bear the costs of complying with those formalities and all duties, taxes and other charges payable for export, import and transit.
- 6.9 Without prejudice to UKRI's statutory rights, UKRI will not be deemed to have accepted any Goods until it has had at least 14 Working Days after Delivery to inspect them and UKRI also has the right to reject any Goods as though they had not been accepted for 14 Working Days after any latent defect in the Goods has become apparent.
- 6.10 Without prejudice to clause 13.1, any access to UKRI's premises and any labour and equipment that may be provided by UKRI in connection with Delivery of the Goods shall be

provided without acceptance by UKRI of any liability in respect of any actions, claims, costs and expenses incurred by third parties for any loss or damages to the extent that such loss or damage is not attributable to the negligence or other wrongful act of UKRI, its servant or agent. The Supplier shall indemnify UKRI in respect of any actions, suits, claims, demands, losses, charges, costs and expenses, which UKRI may suffer or incur as a result of or in connection with any damage or injury (whether fatal or otherwise) occurring in the course of Delivery or installation to the extent that any such damage or injury is attributable to any act or omission of the Supplier or the Staff.

7 TITLE, RISK AND USE

7.1 Without prejudice to any other rights or remedies of UKRI, title and risk in the Goods shall pass to UKRI on completion of Delivery.

7.2 The Supplier warrants that:

- (a) it has full clear and unencumbered title to the Goods;
- (b) at the Delivery Date of any of the Goods it shall have full have unrestricted right, power and authority to sell, transfer and deliver all of the Goods to UKRI; and
- (c) on Delivery, UKRI shall acquire a valid and unencumbered title to the Goods.

8 REMEDIES

8.1 UKRI's rights and remedies under the Contract are in addition to its rights and remedies implied by statute and common law.

8.2 Where (i) the Supplier fails to Deliver the Goods or part of the Goods including any instalment(s) or (ii) the Goods or part of the Goods do not comply with the provisions of clause 5 then without limiting any of its other rights or remedies in this Contract or implied by statute or common law, UKRI shall be entitled to:

- (a) terminate the Contract in whole or in part without liability to the Supplier;
- (b) accept late delivery of the Goods;
- (c) require the Supplier, free of charge, to deliver substitute Goods within the timescales specified by UKRI;
- (d) require the Supplier, free of charge, to repair or replace the rejected Goods, or to provide a full refund of the price of the rejected Goods (if paid);

- (e) reject the Goods (in whole or part) and return them to the Supplier at the Supplier's own risk and expense and UKRI shall be entitled to a full refund on those Goods or part of Goods duly returned;
- (f) buy the same or similar goods from another supplier; and
- (g) recover any expenses incurred in respect of buying the Goods from another supplier which shall include but not be limited to administration costs, chargeable staff time and extra delivery costs.

8.3 Without prejudice to any of its other rights or remedies in this Contract or implied by statute or common law, in the event that:

- (a) UKRI considers the Supplier is in breach of, or is likely to breach, clause 4.2 and the breach is capable of remedy; or
- (b) the Supplier commits a breach of clause 4.3 which is capable of remedy,

(each a "**Notifiable Breach**"), the Supplier must as soon as practicable but in any event within 5 Working Days (or as otherwise agreed by UKRI) of being notified by UKRI of the Notifiable Breach, submit a draft Remediation Plan to UKRI for approval. UKRI may, acting reasonably, consider the draft Remediation Plan as inadequate to rectify the Notifiable Breach and reject the draft, in which case the Supplier shall submit a revised Remediation Plan to UKRI for review within 3 Working Days (or as otherwise agreed by UKRI) of UKRI's notice rejecting the draft. Once the Remediation Plan is approved, the Supplier shall immediately start work on the actions set out in the approved Remediation Plan.

8.4 Where the Supplier fails to provide a Remediation Plan in accordance with the timescales specified in clause 8.3 or fails to comply with any approved Rectification Plan, UKRI shall be entitled to:

- (a) terminate the Contract with immediate effect by giving written notice to the Supplier;
- (b) recover from the Supplier any costs incurred by UKRI in performing the Services itself or obtaining substitute services from a third party;
- (c) a refund of the Charges paid in advance for Services that have not been provided by the Supplier; and
- (d) claim damages for any additional costs, loss or expenses incurred by UKRI which are in any way attributable to the Notifiable Breach and the Supplier's failure as described in this clause 8.4.

8.5 This Contract shall apply to any repaired or replacement Goods and any substituted or remedial Services provided by the Supplier.

9 UKRI OBLIGATIONS

9.1 UKRI shall:

- (a) provide the Supplier with reasonable access at reasonable times to UKRI's premises for the purpose of providing the Goods and/or Services; and
- (b) provide such information to the Supplier as the Supplier may reasonably request and UKRI considers reasonably necessary for the purpose of providing the Goods and/or Services.

10 CHARGES AND PAYMENT

10.1 The Charges for the Goods and/or Services are set out in Schedule 3, and shall be the full and exclusive remuneration of the Supplier in respect of the supply of the Goods and/or Services. Unless otherwise agreed in writing by UKRI, the Charges shall include every cost and expense of the Supplier directly or indirectly incurred in connection with the provision of the Goods and/or performance of the Services.

10.2 All amounts stated are exclusive of VAT which shall be charged at the prevailing rate where applicable. UKRI shall, where applicable and following the receipt of a valid VAT invoice, pay to the Supplier a sum equal to the VAT chargeable in respect of the Goods and/or Services.

10.3 The Supplier shall invoice UKRI at the times specified in Schedule 3 and in accordance with this clause 10. If an invoicing schedule is not specified in Schedule 3, the Supplier shall invoice UKRI on or after the Delivery of the Goods or completion of the Services.

10.4 Each invoice shall include such supporting information required by UKRI to verify the accuracy of the invoice, including the relevant PO Number and a breakdown of the Goods and/or Services supplied in the invoice period as well as appropriate details in order to allow for payment via BACS transfer (sort code and bank account details).

10.5 In consideration of the supply of the Goods and/or Services by the Supplier, UKRI shall pay the invoiced amounts within 30 days of the date of a correctly rendered invoice after verifying that the invoice is valid and undisputed. Payment shall be made to the bank account nominated in writing by the Supplier unless UKRI agrees in writing to another payment method.

10.6 If UKRI fails to consider and verify an invoice in a timely fashion the invoice shall be regarded as valid and undisputed for the purpose of clause 10.5 after a reasonable time has passed (which shall be no less than 14 calendar days).

10.7 If there is a dispute between the Parties as to the amount invoiced, UKRI may reject the invoice in its entirety. The Supplier shall not suspend the supply of the Goods and/or Services unless the Supplier is entitled to terminate this Contract for a failure to pay undisputed invoice in accordance with clause 21.5. Any disputed invoices shall be resolved through the dispute resolution procedure detailed in Clause 32.

10.8 If a payment of an undisputed invoice is not made by UKRI by the due date, then UKRI shall pay the Supplier interest at the interest rate specified in the Late Payment of Commercial Debts (Interest) Act 1998, accruing on a daily basis from the due date up to the date of actual payment, whether before or after judgment.

10.9 Where the Supplier enters into a sub-contract, the Supplier shall include in that sub-contract:

- (a) provisions having the same effects as clauses 10.3 to 10.8 of this Contract; and
- (b) a provision requiring the counterparty to that sub-contract to include in any sub-contract which it awards provisions having the same effect as 10.3 to 10.9 of this Contract.
- (c) In this clause 10.9, "sub-contract" means a contract between two or more suppliers, at any stage of remoteness from UKRI in a subcontracting chain, made wholly or substantially for the purpose of performing (or contributing to the performance of) the whole or any part of this Contract.

10.10 The Supplier shall not be entitled to assert any credit, set-off or counterclaim against UKRI in order to justify withholding payment of any such amount in whole or in part. If any sum of money is recoverable from or payable by the Supplier under the Contract (including any sum which the Supplier is liable to pay to UKRI in respect of any breach of the Contract), that sum may be deducted unilaterally by UKRI from any sum then due, or which may come due, to the Supplier under the Contract or under any other agreement or contract with UKRI.

11 TAXATION OBLIGATIONS OF THE SUPPLIER

11.1 The Supplier shall be fully responsible for all its own tax including any national insurance contributions arising from supplying the Goods and/or Services.

11.2 The Supplier shall indemnify, and shall keep indemnified, UKRI in full against all costs, claims, expenses, damages and losses, including any interest, penalties, fines, legal and other professional fees and expenses awarded against or incurred or paid by UKRI as a result of the Supplier's failure to account for or pay any taxes including any national insurance contributions.

12 UKRI PROPERTY

12.1 The Supplier acknowledges that all information (including UKRI's Confidential Information), equipment and tools, drawings, specifications, data, software and any other materials supplied by UKRI (or its agents on behalf of UKRI) to the Supplier ("UKRI's Materials") and all rights in UKRI's Materials are and shall remain at all times the exclusive property of UKRI. The Supplier shall keep UKRI's Materials in safe custody at its own risk, maintain them in good condition until returned to UKRI, and not dispose or use the same other than for the sole purpose of performing the Supplier's obligations under the Contract and in accordance with written instructions or authorisation from UKRI.

12.2 UKRI's Materials shall be returned promptly to UKRI on expiry or termination of the Contract.

12.3 The Supplier shall reimburse UKRI for any loss or damage to UKRI's Materials (other than deterioration resulting from normal and proper use) caused by the Supplier or any Staff. UKRI's Materials supplied by UKRI (or its agents on behalf of UKRI) shall be deemed to be in a good condition when received by the Supplier or relevant Staff unless UKRI is notified otherwise in writing within 5 Working Days.

13 PREMISES

13.1 If, in connection with the supply of the Goods and/or Services, UKRI permits any Staff to have access to any of UKRI's premises, the Supplier will ensure that, whilst on UKRI's premises, the Staff comply with:

- (a) all applicable health and safety, security, environmental and other legislation which may be in force from time to time; and
- (b) any UKRI policy, regulation, code of practice or instruction relating to health and safety, security, the environment or access to and use of any UKRI laboratory, facility or equipment which is brought to their attention or given to them whilst they are on UKRI's premises by any employee or representative of UKRI.

- 13.2 All equipment, tools and vehicles brought onto UKRI's premises by the Supplier or the Staff shall be at the Supplier's risk.
- 13.3 If the Supplier supplies all or any of the Goods and/or Services at or from UKRI's premises, on completion of the Goods and/or Services or termination or expiry of the Contract (whichever is the earlier) the Supplier shall vacate UKRI's premises, remove the Supplier's plant, equipment and unused materials and all rubbish arising out of the provision of the Goods and/or Services and leave UKRI's premises in a clean, safe and tidy condition. The Supplier shall be solely responsible for making good any damage to UKRI's premises or any objects contained on UKRI's premises which is caused by the Supplier or any Staff, other than fair wear and tear.
- 13.4 If the Supplier supplies all or any of the Goods and/or Services at or from its premises or the premises of a third party, UKRI may, during normal business hours and on reasonable notice, inspect and examine the manner in which the relevant Goods and/or Services are supplied at or from the relevant premises.

14 STAFF AND KEY PERSONNEL

- 14.1 If UKRI believes that any of the Staff are unsuitable to undertake work in respect of the Contract, it may, by giving written notice to the Supplier:
- (a) refuse admission to the relevant person(s) to UKRI's premises;
 - (b) direct the Supplier to end the involvement in the provision of the Goods and/or Services of the relevant person(s); and/or
 - (c) require that the Supplier replace any person removed under this clause with another suitably qualified person and procure that any security pass issued by UKRI to the person removed is surrendered, and the Supplier shall comply with any such notice.
- 14.2 The Supplier shall:
- (a) ensure that all Staff are vetted in accordance with the Staff Vetting Procedures;
 - (b) ensure that no person who discloses that he/she has a conviction that is relevant to the nature of the Contract, relevant to the work of UKRI, or is of a type otherwise advised by UKRI (each such conviction a "**Relevant Conviction**"), or is found by the Supplier to have a Relevant Conviction (whether as a result of a police check, the Staff Vetting Procedures or otherwise) is employed or engaged in the provision of any part of the supply of the Goods and/or Services;

- (c) if requested, provide UKRI with a list of names and addresses (and any other relevant information) of all persons who may require admission to UKRI's premises in connection with the Contract; and
 - (d) procure that all Staff comply with any rules, regulations and requirements reasonably specified by UKRI.
- 14.3 Any Key Personnel shall not be released from supplying the Goods and/or Services without the agreement of UKRI, except by reason of long-term sickness, maternity leave, paternity leave, termination of employment or other extenuating circumstances.
- 14.4 Any replacement to the Key Personnel shall be subject to the prior written agreement of UKRI (not to be unreasonably withheld). Such replacements shall be of at least equal status or of equivalent experience and skills to the Key Personnel being replaced and be suitable for the responsibilities of that person in relation to the Goods and/or Services.

15 TUPE

- 15.1 The Supplier warrants that the provision of the Goods and/or Services shall not give rise to a transfer of any employees of the Supplier or any third party to UKRI pursuant to TUPE.

16 ASSIGNMENT AND SUB-CONTRACTING

- 16.1 The Supplier shall not without the written consent of UKRI assign, sub-contract, novate or in any way dispose of the benefit and/or the burden of the Contract or any part of the Contract. UKRI may, in the granting of such consent, provide for additional terms and conditions relating to such assignment, sub-contract, novation or disposal. The Supplier shall be responsible for the acts and omissions of its sub-contractors as though those acts and omissions were its own.
- 16.2 Where UKRI has consented to the placing of sub-contracts, the Supplier shall, at the request of UKRI, send copies of each sub-contract, to UKRI as soon as is reasonably practicable.
- 16.3 UKRI may (without any cost to or liability of UKRI) require the Supplier to replace any subcontractor where in the reasonable opinion of UKRI any mandatory or discretionary grounds for exclusion referred to in Regulation 57 of the Public Contracts Regulations 2015 (as amended) apply to the subcontractors.
- 16.4 UKRI may assign, novate, or otherwise dispose of its rights and obligations under the Contract without the consent of the Supplier provided that such assignment, novation or disposal shall not increase the burden of the Supplier's obligations under the Contract.

17 INTELLECTUAL PROPERTY RIGHTS

17.1 All Intellectual Property Rights in any materials created or developed by the Supplier pursuant to this Contract or arising as a result of the supply of the Goods and/or Services, including the Deliverables, shall vest in UKRI. If, and to the extent, that the ownership of any Intellectual Property Rights in such materials vest in the Supplier by operation of law, the Supplier hereby assigns ownership of such Intellectual Property Rights to UKRI by way of a present assignment of future rights that shall take place immediately on the coming into existence of any such Intellectual Property Rights, all its Intellectual Property Rights in such materials (with full title guarantee and free from all third party rights).

17.2 The Supplier shall obtain waivers of all moral rights in the products, including for the avoidance of doubt the Deliverables, of the Services to which any individual is now or may be at any future time entitled under Chapter IV of Part I of the Copyright Designs and Patents Act 1988 or any similar provisions of law in any jurisdiction.

17.3 The Supplier shall, promptly at the request of UKRI, do (or procure to be done) all such further acts and things and execute all such other documents as UKRI may from time to time require for the purpose of securing for UKRI the full benefit of the Contract, including

all rights, title and interest in and to the Intellectual Property Rights assigned to UKRI in accordance with clause 17.1.

17.4 All Intellectual Property Rights in any materials provided by UKRI to the Supplier shall remain the property of UKRI. UKRI hereby grants the Supplier a royalty-free, non-exclusive and non-transferable licence to use:

- (a) any Intellectual Property Rights in the materials provided by UKRI to the Supplier;
- (b) any Intellectual Property Rights in the materials created or developed by the Supplier pursuant to this Contract and any Intellectual Property Rights arising as a result of the provision of the Goods and/or Services,

as required until termination or expiry of this Contract for the sole purpose of enabling the Supplier to perform its obligations under the Contract.

17.5 Without prejudice to clause 17.1, the Supplier hereby grants UKRI a perpetual, royalty-free, irrevocable and non-exclusive licence (with a right to sub-license) to use:

- (a) any Intellectual Property Rights vested in or licensed to the Supplier on the date of this Contract to the extent not falling within clause 17.1; and

- (b) any Intellectual Property Rights created during the Term to the extent not falling within clause 17.1,

including any modifications to or derivative versions of any such Intellectual Property Rights, which UKRI reasonably requires in order to exercise its rights and take the benefit of the Contract including the Goods and/or Services provided.

18 INDEMNITY

18.1 The Supplier shall indemnify, and shall keep indemnified, UKRI in full against all costs, claims, expenses, damages and losses (whether direct or indirect to include loss of profits, loss of business, depletion of good will and similar losses), including any interest, penalties, fines, legal and other professional fees and expenses awarded against or incurred or paid by UKRI as a result of or in connection with:

- (a) the Supplier's breach or negligent performance or non-performance of this Contract;
- (b) any claim brought against UKRI for actual or alleged infringement of a third party's Intellectual Property Rights arising out of, or in connection with, the manufacture, receipt, use or supply of the Goods and/or Services, to the extent that the claim is attributable to the acts or omissions of the Supplier or any Staff;
- (c) any claim made against UKRI by a third party for death, personal injury or damage to property arising out of, or in connection with, defects in Goods and/or Services, to the extent that the defect in the Goods and/or Services is attributable to the acts or omissions of the Supplier and the Staff; and
- (d) any claim whether in tort, contract, statutory or otherwise, demands, actions, proceedings and any awards arising from a breach by the Supplier of clause 15 of the Contract.

18.2 This clause 18 shall survive termination or expiry of the Contract.

19 INSURANCE

19.1 Unless otherwise specified in the Award Letter, during the Term of the Contract and for a period of 6 years thereafter, the Supplier shall maintain in force the following insurance policies with reputable insurance companies to insure the Supplier against all manner of risks that might arise out of the acts or omissions of the Supplier or otherwise in connection with the Supplier's performance of its obligations under this Contract.

- (a) Professional indemnity insurance for not less than £2 million per claim;
- (b) loss, damage or destruction of any of UKRI's property under the custody and control of the Supplier, with a minimum sum insured of £5 million per claim;
- (c) public liability insurance for not less than £5 million per claim;
- (d) employer liability insurance for not less than £5 million per claim; and
- (e) product liability insurance for not less than £5 million for claims arising from any single event.

The Supplier shall ensure that UKRI's interest is noted on each insurance policy, or that a generic interest clause has been included.

19.2 On request from UKRI, the Supplier shall provide UKRI with copies of the insurance policy certificates and details of the cover provided.

19.3 From the Commencement Date, the Supplier shall notify UKRI in writing of any employer's liability or public liability incident arising out of or in connection with this Contract which:

- (a) has the potential to exceed £25,000 (twenty-five thousand pounds sterling) (excluding costs); and/or
- (b) irrespective of the claim's value, which may reasonably be considered to have the potential to adversely affect the reputation of UKRI, within five (5) days of such an incident occurring.

19.4 The Supplier shall keep UKRI informed and up-to-date on the progress of any incident referred to in clause 19.3 and related claims, decisions taken in respect of liability and any movement of reserves with respect thereto.

19.5 The Supplier shall ensure that any subcontractors also maintain adequate insurance having regard to the obligations under the Contract which they are contracted to fulfil.

19.6 The Supplier shall:

- (a) do nothing to invalidate any insurance policy or to prejudice UKRI's entitlement under it; and

- (b) notify UKRI if any policy is (or will be) cancelled or its terms are (or will be) subject to any material change.

19.7 The Supplier's liabilities under the Contract shall not be deemed to be released or limited by the Supplier taking out the insurance policies referred to in clause 19.1.

19.8 If the Supplier fails or is unable to maintain insurance in accordance with clause 19.1, UKRI may, so far as it is able, purchase such alternative insurance cover as it deems to be reasonably necessary and shall be entitled to recover all reasonable costs and expenses it incurs in doing so from the Supplier.

20 LIABILITY

20.1 UKRI shall not be responsible for any injury, loss, damage, cost or expense suffered by the Supplier if and to the extent that it is caused by the negligence or wilful misconduct of the Supplier or the Staff or breach by the Supplier of its obligations under the Contract. The Supplier shall not be responsible for any injury, loss, damage, cost or expense suffered by

UKRI if and to the extent that it is caused by the negligence or wilful misconduct of UKRI or by breach by UKRI of its obligations under the Contract.

20.2 Subject to clause 20.6, UKRI shall not have any liability for:

- (a) any indirect or consequential loss or damage;
- (b) any loss of business, rent, profit or anticipated savings;
- (c) any damage to goodwill or reputation;
- (d) loss, theft, damage or destruction to any equipment, tools, machinery, vehicles or other equipment brought onto UKRI's premises by or on behalf of the Supplier; or
- (e) any loss, damage, costs or expenses suffered or incurred by any third party.

20.3 Subject to clause 20.6, the aggregate liability of UKRI in respect of all defaults, claims, losses or damages howsoever caused, whether arising from breach of the Contract, misrepresentation (whether tortious or statutory), tort (including negligence), breach of statutory duty or otherwise shall in no event exceed 100% of the Charges paid or payable to the Supplier.

- 20.4 Subject always to clause 20.5 and 20.6, the Supplier's aggregate liability in respect of all defaults, claims, losses or damages howsoever caused, whether arising from breach of the Contract, the supply or failure to supply of the Goods and/or Services, misrepresentation (whether tortious or statutory), tort (including negligence), breach of statutory duty or otherwise shall in no event exceed the Limit of Liability.
- 20.5 The Supplier's liability under the indemnity in clause 18.1(b), 29.1 and 27.7 shall be unlimited.
- 20.6 Nothing in the Contract restricts either Party's liability for:
- (a) death or personal injury resulting from its negligence or that of its Staff; or
 - (b) its fraud (including fraudulent misrepresentation) by it or that of its Staff; or
 - (c) breach of any obligations as to title implied by Section 12 of the Sale of Goods Act 1979 or Section 2 of the Supply of Goods and Services Act 1982; or
 - (d) any other matter which, by law, may not be excluded or limited.

21 TERMINATION

21.1 UKRI may terminate the Contract in whole or in part at any time before the Goods and/or Services are provided with immediate effect by giving the Supplier written notice, whereupon the Supplier shall discontinue the provision of the Goods and/or Services (in whole or in part as applicable). UKRI shall pay to the Supplier:

- (a) such Charges or that part of the Charges for Goods which have been Delivered to UKRI or, on the deemed date of service of the notice of cancellation, are already in transit and the costs of materials which the Supplier has purchased to fulfil the order for the Goods and which cannot be used for other orders or be returned to the supplier of those materials for a refund; and/or
- (b) such Charges or that part of the Charges for Services provided and a fair and reasonable portion of the Charges for work-in-progress in performing the Services at the time of termination,

but UKRI shall not be liable for any loss of anticipated profits or any consequential loss and the Supplier shall have a duty to mitigate its costs and shall on request provide proof of work-in-progress claimed.

21.2 UKRI may terminate the Contract at any time by notice in writing to the Supplier to take effect on any date falling at least 3 months (or, if the Contract is less than 3 months in duration, at least 10 Working Days) later than the date of service of the relevant notice.

21.3 UKRI may terminate the Contract with immediate effect by giving written notice to the Supplier if:

- (a) the circumstances set out in clauses 8.2, 8.4 or 29.1 apply; or
- (b) the Supplier is in material breach of any obligation under the Contract which is not capable of remedy; or
- (c) the Supplier breaches any term of the Contract and (if such breach is remediable) fails to remedy that breach within 30 days of being notified in writing of the breach; or
- (d) the Supplier repeatedly breaches any of the terms and conditions of this Contract in such a manner as to reasonably justify the opinion that its conduct is inconsistent with it having the intention or ability to give effect to the terms and conditions of this Contract; or
- (e) the Supplier suspends, or threatens to suspend, payment of its debts or is unable to pay its debts as they fall due or admits inability to pay its debts or (being a company) is deemed unable to pay its debts within the meaning of section 123 of the Insolvency Act 1986, or (being an individual) is deemed either unable to pay its debts or as having no reasonable prospect of so doing, in either case, within the meaning of section 268 of the Insolvency Act 1986, or (being a partnership) has any partner to whom any of the foregoing apply; or
- (f) the Supplier commences negotiations with all or any class of its creditors with a view to rescheduling any of its debts, or makes a proposal for or enters into any compromise or arrangement with its creditors; or
- (g) (being a company) a petition is filed, a notice is given, a resolution is passed, or an order is made, for or in connection with the winding up of the Supplier; or
- (h) (being an individual) the Supplier is the subject of a bankruptcy petition or order; or
- (i) a creditor or encumbrancer of the Supplier attaches or takes possession of, or a distress, execution, sequestration or other such process is levied or enforced on or

sued against, the whole or any part of its assets and such attachment or process is not discharged within 14 days; or

- (j) (being a company) an application is made to court, or an order is made, for the appointment of an administrator or if a notice of intention to appoint an administrator is given or if an administrator is appointed over the Supplier; or
 - (k) a person becomes entitled to appoint a receiver over the Supplier's assets or a receiver is appointed over the Supplier's assets; or
 - (l) any event occurs, or proceeding is taken, with respect to the Supplier in any jurisdiction to which it is subject that has an effect equivalent or similar to any of the events mentioned in clause 21.3(e) to clause 21.3(k) inclusive; or
 - (m) there is a change of control of the Supplier (within the meaning of section 1124 of the Corporation Tax Act 2010); or
 - (n) the Supplier suspends, or threatens to suspend, or ceases or threatens to cease to carry on, all or substantially the whole of its business; or
 - (o) the Supplier's financial position deteriorates to such an extent that in UKRI's opinion the Supplier's capability to adequately fulfil its obligations under the Contract has been placed in jeopardy; or
 - (p) (being an individual) the Supplier dies or, by reason of illness or incapacity (whether mental or physical), is incapable of managing his or her own affairs or becomes a patient under any mental health legislation.
- 21.4 The Supplier shall notify UKRI as soon as practicable of any change of control as referred to in clause 21.3(m) or any potential such change of control.
- 21.5 The Supplier may terminate the Contract by written notice to UKRI if UKRI has not paid any undisputed invoice within 90 days of it falling due.
- 21.6 Termination or expiry of the Contract shall be without prejudice to the rights of either Party accrued prior to termination or expiry and shall not affect the continuing rights of the Parties under this clause and clauses 4, 5, 6, 7, 11, 12, 15, 17, 18, 19, 20, 24, 25, 26, 27, 28, 29, 34, 36, 37 or any other provision of the Contract that either expressly or by implication has effect after termination.
- 21.7 Upon termination or expiry of the Contract, the Supplier shall immediately:

- (a) cease all work on the Contract;
- (b) deliver to UKRI all Deliverables and all work-in-progress whether or not then complete. If the Supplier fails to do so, UKRI and/or its representatives shall have the right to enter the Supplier's premises (which the Supplier shall not refuse) in order to take possession of all Deliverables and all work-in-progress. The Supplier shall allow UKRI and its representatives such access and assistance as required by UKRI and its representatives to take possession of the Deliverables and the work-in-progress. Until the Deliverables and the work-in-progress have been returned to UKRI, the Supplier shall be solely responsible for their safe keeping and will not use them for any purpose not connected with this Contract;
- (c) cease use of and return (or, at UKRI's election, destroy) all of UKRI's Materials in the Supplier's possession or control; and
- (d) give all reasonable assistance to UKRI and any incoming supplier of the Goods and/or Services (as applicable); and
- (e) return or destroy UKRI's Confidential Information in accordance with clause 24.3.

22 DECLARATION OF INEFFECTIVENESS AND PUBLIC PROCUREMENT TERMINATION EVENT

22.1 In the event that a Court makes a Declaration of Ineffectiveness, UKRI will promptly notify the Supplier in writing. The Parties agree that the provisions of clause 21.7 and this clause 22 will continue to apply as from the time when the Declaration of Ineffectiveness is made.

22.2 The Declaration of Ineffectiveness will not prejudice or affect any right, liability or remedy which has accrued or will accrue to either Party prior to or after such Declaration of Ineffectiveness in respect of the period prior to the Declaration of Ineffectiveness.

22.3 Consistent with UKRI's rights of termination implied into the Contract by Public Contracts Regulations 2015 (as amended), in the event of a Public Procurement Termination Event, UKRI shall promptly notify the Supplier and the provisions of clause 21.7 and this clause 22 shall apply as from the date of receipt by the Supplier of the notification of the Public Procurement Termination Event.

22.4 The Public Procurement Termination Event shall not prejudice or affect any right, liability or remedy which has accrued or shall accrue to either Party prior to or after such Public Procurement Termination Event in respect of the period prior to the Public Procurement Termination Event.

22.5 During any Court proceedings seeking a Declaration of Ineffectiveness or following notification of a Public Procurement Termination Event, UKRI may require the Supplier to prepare a contingency plan with the effect of achieving:

- (a) An orderly and efficient cessation of the Contract or a transition of the provisions of the Goods and/or Services to UKRI or such other entity as UKRI may specify; and
- (b) Minimal disruption or inconvenience to UKRI or to UKRI's supported organisations or clients,

and the Parties agree that this shall have effect in the event a Declaration of Ineffectiveness is made or a Public Procurement Termination Event occurs.

22.6 Where there is any conflict between the provisions of clause 21.7 and this clause 22 and the contingency plan then the clauses of this Contract shall take precedence.

22.7 The Parties will comply with their respective obligations under any contingency plan (as agreed by the Parties, or where agreement cannot be reached, as reasonably determined by UKRI) in the event that a Declaration of Ineffectiveness is made or a Public Procurement Termination Event occurs.

23 GOVERNANCE AND RECORDS

23.1 The Supplier shall:

- (a) attend progress meetings with UKRI at the frequency and times specified by UKRI and shall ensure that its representatives are suitably qualified to attend such meetings; and
- (b) submit progress reports to UKRI at the times and in the format specified by UKRI .

23.2 The Supplier shall keep and maintain until 6 years after the expiry or termination of the Contract, or as long a period as may be agreed between the Parties, full and accurate records of the Contract including the Goods and/or Services supplied under it and all payments made by UKRI. The Supplier shall on request afford UKRI and its representatives such access to those records as may be reasonably requested by UKRI in connection with the Contract.

23.3 UKRI may from time to time require the Supplier to complete the Cyber Essentials Questionnaire. The Supplier shall submit a completed Cyber Essentials Questionnaire to UKRI within 10 Working Days of a request from UKRI. UKRI shall not be liable for the Supplier's or the Staff's costs in complying with this clause 23.3.

23.4 The Supplier shall keep and maintain records of sub-contractors it uses to supply the Goods and/or Services, including whether the sub-contractor is an SME and the payments it has made to the sub-contractor as a result of the sub-contractor's work under this Contract. The Supplier shall provide such records to UKRI within 10 Working Days of a request from UKRI.

23.5 Where the estimated annual Charges are above £5 million, the Supplier shall:

- (a) advertise on the UK Government's Contracts Finder website all sub-contractor opportunities above £25,000 arising from and in connection with this Contract. Each advert shall provide a full and detailed description of the sub-contract opportunity with each of the mandatory fields on Contracts Finder being completed.
- (b) within 90 days of awarding a sub-contract, update the notice on Contracts Finder with details of the successful sub-contractor;
- (c) monitor the number, type and value of the sub-contract opportunities placed on Contracts Finder in its supply chain during the Term;
- (d) provide reports on the information at clause 23.5(c) to UKRI in the format and frequency reasonably requested by UKRI; and
- (e) promote Contracts Finder to its suppliers and encourage those organisations to register on Contracts Finder.

23.6 Clause 23.5 shall only apply to sub-contractor opportunities arising after the Commencement Date and UKRI may by giving its prior written approval decide to waive the obligations under Clause 23.5 in respect of any sub-contractor opportunity.

24 CONFIDENTIAL INFORMATION

24.1 Subject to clause 24.2, each Party shall:

- (a) treat all Confidential Information it receives as confidential, safeguard it accordingly and not disclose it to any other person without the prior written permission of the Disclosing Party; and
- (b) not use or exploit the Disclosing Party's Confidential Information in any way except for the purposes anticipated under the Contract.

24.2 Notwithstanding clause 24.1, a Receiving Party may disclose Confidential Information:

- (a) where disclosure is required by applicable law or by a court of competent jurisdiction;
- (b) to its auditors or for the purposes of regulatory requirements;

- (c) on a confidential basis, to its professional advisers;
- (d) to the Serious Fraud Office where the Receiving Party has reasonable grounds to believe that the Disclosing Party is involved in activity that may constitute a criminal offence under the Bribery Act 2010;
- (e) where the Receiving Party is the Supplier, to the Staff on a need to know basis to enable performance of the Supplier's obligations under the Contract provided that the Supplier shall procure that any Staff to whom it discloses Confidential Information pursuant to this clause (e) shall observe the Supplier's confidentiality obligations under the Contract; and
- (f) where the Receiving Party is UKRI:
 - (i) on a confidential basis to the employees, agents, consultants and contractors of UKRI;
 - (ii) on a confidential basis to any other Central Government Body, any successor body to a Central Government Body or any company to which UKRI transfers or proposes to transfer all or any part of its business;
 - (iii) to the extent that UKRI (acting reasonably) deems disclosure necessary or appropriate in the course of carrying out its public functions; or
 - (iv) in accordance with clause 28;
 - (v) and for the purposes of the foregoing, references to disclosure on a confidential basis shall mean disclosure subject to a confidentiality agreement or arrangement containing terms no less stringent than those placed on UKRI under this clause 24.

24.3 All documents and other records (in whatever form) containing Confidential Information supplied to or acquired by the Receiving Party from the Disclosing Party or its representatives shall be returned promptly to the Disclosing Party (or, at the election of the Disclosing Party, destroyed promptly) on expiry or termination of the Contract, and no copies shall be kept.

25 TRANSPARENCY

25.1 The Parties acknowledge that, except for any information which is exempt from disclosure in accordance with the provisions of the FOIA or EIR, the content of the Contract is not Confidential Information and the Supplier hereby gives its consent for UKRI to publish this Contract in its entirety to the general public (but with any information that is exempt from disclosure in accordance with the FOIA or EIR (as applicable) redacted) including any

changes to the Contract agreed from time to time. UKRI may consult with the Supplier to inform its decision regarding any redactions but shall have the final decision in its absolute discretion whether any of the content of the Contract is exempt from disclosure in accordance with the provisions of the FOIA or EIR.

26 PUBLICITY

26.1 The Supplier shall not make any press announcements or publicise this Contract in any way without prior written consent from UKRI.

26.2 UKRI shall be entitled to publicise this Contract in accordance with any legal obligation upon UKRI, including any examination of this Contract by the National Audit Office pursuant to the National Audit Act 1983 or otherwise.

26.3 The Supplier shall not do anything or cause anything to be done, which may damage the reputation of UKRI.

27 DATA PROTECTION

27.1 In this clause 27, the terms, "processes", "data controller" and "data processor" shall have the same meanings given to them under Data Protection Legislation.

27.2 The Parties acknowledge that for the purposes of Data Protection Legislation, UKRI is the data controller and the Supplier is the data processor of any UKRI Personal Data.

27.3 The Supplier shall itself, and shall procure that the Staff, comply with all Data Protection Legislation in relation to any Personal Data processed.

27.4 Without limiting clauses 27.2 and 27.3, the Supplier shall at all times (and shall ensure that at all times its Staff):

- (a) process Personal Data only in accordance with the documented instructions received from UKRI and during the Term of this Contract the Supplier shall immediately inform UKRI if, in the Supplier's opinion, an instruction from UKRI infringes the Data Protection Legislation or any other applicable Law;
- (b) ensure that any person to whom it provides the Personal Data is subject to appropriate confidentiality obligations;
- (c) have in place a suitably qualified data protection representative to manage the Personal Data;

- (d) disclose any Personal Data only on a need to know basis to Staff directly concerned with the provision of the Goods and/or Services;
- (e) not transfer or direct the transfer of any Personal Data to any third party or process or direct the processing of Personal Data outside of the European Economic Area in each case without UKRI's prior written consent (which consent may be subject to conditions as directed by UKRI);
- (f) keep all Personal Data confidential, and have in place now and shall on a continuing basis take all reasonable appropriate technical and organisational measures to keep all Personal Data confidential and secure and to protect against unauthorised or unlawful processing, accidental loss, destruction, damage, alteration, disclosure or access;
- (g) keep records of their data processing activities performed under this Contract in order to be able to provide information included in those records to the data protection authorities, upon request, including but not limited to the Information Commissioner. Records should include:
 - (i) details of the data controller and data processor and their representatives;
 - (ii) the categories of processing activities that are performed;
 - (iii) information regarding cross-border data transfers; and
 - (iv) a general description of the security measures that are implemented;
- (h) upon request by UKRI, promptly do such other acts in relation to the Personal Data, or any part thereof, as UKRI shall request to enable UKRI to comply with its obligations under the Data Protection Legislation;
- (i) notify UKRI promptly (and at least within 24 hours) if it receives a request from a Data Subject or a complaint relating to a Data Subject and promptly provide UKRI with all such data, information, cooperation and assistance as is required by UKRI in order to respond to and resolve the request or complaint within any applicable time frames;
- (j) provide such information and allow for and contribute to audits, including inspections, conducted by UKRI or an auditor mandated by UKRI, as is reasonably necessary to enable UKRI to satisfy itself of the Supplier's compliance with this clause 27 and the Data Protection Legislation;

- (k) on termination or expiry of this Contract, and at any other time on UKRI's request, either return or destroy (as elected by UKRI) the Personal Data (including all copies of it) and confirm in writing that it has complied with this obligation; and
 - (l) notify UKRI without undue delay on becoming aware of any Personal Data Breach and promptly following notification, provide such data, information and assistance as is required by UKRI in order for UKRI to notify the Personal Data Breach to the Information Commissioner and/or Data Subject(s) and otherwise fulfil its obligations under Data Protection Legislation.
- 27.5 The Supplier shall only use a sub-processor with UKRI's formal written consent (specific or general, although where general consent is obtained processors must notify all and any changes to UKRI, giving them an opportunity to object).
- 27.6 To the extent that UKRI provides its consent pursuant to clause 27.5, the Supplier shall flow down the contractual obligations contained in clause 27.4 to sub-processors.
- 27.7 Notwithstanding any other remedies available to UKRI, fully indemnify UKRI as a result of any such breach of the GDPR, by the Supplier or any other party used by the Supplier in its performance of the Contract that results in UKRI suffering fines, loss or damages.

28 FREEDOM OF INFORMATION

- 28.1 The Supplier acknowledges that UKRI is subject to the requirements of FOIA and EIR and shall:
- (a) provide all necessary assistance and co-operation as reasonably requested by UKRI to enable UKRI to comply with its obligations under FOIA and EIR in relation to any Requests for Information relating to this Contract;
 - (b) transfer to UKRI all Requests for Information relating to this Contract that it receives as soon as practicable and in any event within 2 Working Days of receipt;
 - (c) provide UKRI with a copy of all Information belonging to UKRI requested in the Request for Information which is in its possession or control in the form that UKRI requires within 5 Working Days (or such other period as UKRI may reasonably specify) of UKRI 's request for such Information; and
 - (d) not respond directly to a Request for Information unless authorised in writing to do so by UKRI.

28.2 UKRI shall be responsible for determining (in its absolute discretion) whether any Information:

- (a) is exempt from disclosure in accordance with the provisions of FOIA or EIR;
- (b) is to be disclosed in response to a Request for Information,

28.3 The Supplier acknowledges that UKRI may be obliged under the FOIA or EIR to disclose Information, in some cases even where that Information is commercially sensitive:

- (a) without consulting with the Supplier, or
- (b) following consultation with the Supplier and having taken its views into account.

28.4 Where clause 28.3(a) applies UKRI shall, in accordance with any recommendations issued under any code of practice issued under section 45 of FOIA, take reasonable steps, where appropriate, to give the Supplier advanced notice, or failing that, to draw the disclosure to the Supplier's attention as soon as practicable after any such disclosure.

28.5 Where the Supplier is subject to the requirements of the FOIA and EIR, UKRI shall assist and co-operate with the Supplier to enable the Supplier to comply with its obligations under the FOIA and EIR in relation to any Requests for Information received by the Supplier relating to this Contract.

29 CORRUPTION

29.1 Without prejudice to any other rights or remedies available to UKRI, UKRI shall be entitled to terminate the Contract immediately and to recover from the Supplier the amount of any loss resulting from such termination if the Supplier or the Supplier's Associate:

- (a) offers or agrees to give any person working for or engaged by UKRI, UKRI's staff and agents, or any Public Body any favour, gift or other consideration, which could act as an inducement or a reward for any act or failure to act connected to the Contract, or any other agreement with UKRI or any Public Body;
- (b) has entered into the Contract if it has knowledge that, in connection with it, any money has been, or will be, paid to any person working for or engaged by UKRI, or any Public Body by or for the Supplier, or that an agreement has been reached to that effect, unless details of any such arrangement have been disclosed in writing to UKRI before the Contract is entered into;

- (c) breaches the provisions of the Prevention of Corruption Acts 1889 to 1916, or the Bribery Act 2010; or
- (d) gives any fee or reward the receipt of which is an offence under Section 117(2) of the Local Government Act 1972.

29.2 The Supplier shall take all reasonable steps, in accordance with Good Industry Practice, to prevent fraud by the Supplier and the Supplier's Associates in connection with the Contract and shall notify UKRI immediately if it has reason to suspect that any fraud has occurred or is occurring or is likely to occur.

29.3 For the purposes of clause 29.1, "loss" shall include, but shall not be limited to:

- (a) UKRI's costs in finding a replacement supplier;
- (b) direct, indirect and consequential losses; and
- (c) any loss suffered by UKRI as a result of a delay in the performance of the Services or its receipt of the Goods (as applicable).

30 MODERN SLAVERY ACT 2015

30.1 In performing its obligations under this Contract, the Supplier shall and shall ensure that any permitted sub-contractors shall comply with:

- (a) all applicable laws, statutes and regulations from time to time in force, including but not limited to the Modern Slavery Act 2015; and
- (b) Any anti-slavery policy adopted by UKRI from time to time.

30.2 UKRI may from time to time require the Supplier to provide information and evidence to demonstrate its and its sub-contractor's compliance with clause 30.1. The Supplier shall provide such information with 10 Working Days of a request from UKRI for the same. A breach of this clause 30.1 shall be deemed a material breach for the purpose of clause 21.3(b).

31 FORCE MAJEURE

31.1 Neither Party to this Contract shall in any circumstances be liable to the other for any delay or non-performance of its obligations under this Contract to the extent that such delay or non-performance is due to a Force Majeure Event. Subject to Clause 31.3, the date for

performance of any affected obligations will be suspended for a period equal to the delay caused by the Force Majeure Event.

31.2 If a Party is delayed in or prevented from performing its obligations under this Contract by a Force Majeure Event, such Party shall:

- (a) give notice in writing of such delay or prevention to the other Party specifying the nature and extent of the Force Majeure Event immediately on becoming aware of it; and
- (b) use all reasonable endeavours to mitigate the effects of the Force Majeure Event on the performance of its obligations.

31.3 If the Force Majeure Event continues for a period of 30 (thirty) days or more following notification, then either Party may terminate this Contract by giving not less than 10 (ten) days' prior written notice to the other Party.

31.4 UKRI shall not be liable to pay the Charges in relation to any Goods and/or Services that are not provided by the Supplier due to a Force Majeure Event.

32 DISPUTE RESOLUTION

32.1 The Parties agree to co-operate with each other in an amicable manner with a view to achieving the successful implementation of this Contract.

32.2 If a Dispute arises between UKRI and the Supplier during the Term in relation to any matter which cannot be resolved by local operational management either Party may refer the matter for determination in accordance with the procedure set out in Clause 32.3.

32.3 A Dispute referred for determination under clause 32.2 shall be resolved as follows:

- (a) by referral in the first instance to the decision of the individuals for each Party referred to in the Award Letter for stage 1 escalations; and
- (b) if a Dispute is not resolved within 21 days of its referral pursuant to Clause 32.3(a) such Dispute shall be referred to the individuals for each Party referred to in the Award Letter for stage 2 escalations.

32.4 If the dispute cannot be resolved by the Parties within one month of being escalated as referred to in Clause 32.3(b), the dispute may by agreement between the Parties be referred to a neutral adviser or mediator (the "**Mediator**") chosen by agreement between the Parties. All negotiations connected with the dispute shall be conducted in confidence and without prejudice to the rights of the Parties in any further proceedings.

32.5 If the Parties fail to appoint a Mediator within one month, or fail to enter into a written agreement resolving the dispute within one month of the Mediator being appointed, either Party may exercise any remedy it has under applicable law.

32.6 Neither Party shall be prevented from, or delayed in, seeking orders for specific performance or interlocutory or final injunctive relief on an ex parte basis or otherwise as a result of the terms of this Clause 32, such clause not applying in respect of any circumstances where such remedies are sought.

33 CHANGE CONTROL PROCEDURE

33.1 In the event that either party desires to change the terms of this Contract, the following procedures will apply:

- (a) the Party requesting the change will deliver a “Change Request” (in the form (or substantially in the same form) contained in Schedule 5 to this Contract) which describes:
 - (i) the nature of the change;
 - (ii) the reason for the change;
 - (iii) the effect that the requested change will have on the scope or Specification for the Services; and
 - (iv) any change to the Charges and the Term.
- (b) Upon receipt of a Change Request, the receiving Party’s authorised representative will contact his/ her counterpart within 5 working days to discuss and agree the Change Request. The parties will negotiate the proposed changes to the Contract in good faith and agree a timeline in which to finalise the Change Notice.
- (c) Neither party is obliged to agree to a Change Request, but if the parties do agree to implement such a Change Request, the appropriate authorised representatives of both parties will sign the Change Request which will be effective from the date set out in the Change Request.
- (d) If there is any conflict between the terms and conditions set out in the Contract and the Change Request, then the terms and conditions set out in the most recent fully executed Change Request will apply.

- (e) The Supplier shall neither be relieved of its obligations to supply the Goods and/or Services in accordance with the terms and conditions of this Contract nor be entitled to an increase in the Charges as the result of:
 - (i) a General Change in Law; or
 - (ii) a Specific Change in Law where the effect of that Specific Change in Law on the Goods and/or Services is reasonably foreseeable at the Commencement Date.

34 ENTIRE AGREEMENT

34.1 The Contract constitutes the entire agreement between UKRI and the Supplier in relation to the supply of the Services and/or Goods and the Contract supersedes and replaces any prior written or oral agreements, representations or understandings between them relating to that subject matter. The Parties confirm that they have not entered into the Contract on the basis of any representation that is not expressly incorporated into the Contract. Nothing in this clause shall exclude liability for fraud or fraudulent misrepresentation.

35 NOTICES

35.1 Any notice to be given under the Contract shall be in writing and may be served by personal delivery, first class or recorded post or, subject to clause 35.3, e-mail to the address of the relevant Party set out in the Award Letter, or such other address as that Party may from time to time notify to the other Party in writing.

35.2 Notices served as above shall be deemed served on the Working Day of delivery provided delivery is before 5.00pm on a Working Day. Otherwise delivery shall be deemed to occur on the next Working Day. An email shall be deemed delivered when sent unless an error message is received.

35.3 Notices under clauses 21, 22 and 31 may be served by email only if the original notice is then sent to the recipient by personal delivery or recorded delivery in the manner set out in clause 35.1.

36 GENERAL

36.1 If any court or competent authority finds that any provision of the Contract (or part of any provision) is invalid, illegal or unenforceable, that provision or part-provision shall, to the extent required, be deemed to be deleted, and the validity and enforceability of the other provisions of the Contract shall not be affected.

36.2 If any invalid, unenforceable or illegal provision of the Contract would be valid, enforceable and legal if some part of it were deleted, the provision shall apply with the minimum modification necessary to make it legal, valid and enforceable.

36.3 A waiver of any right or remedy under the Contract is only effective if given in writing and shall not be deemed a waiver of any subsequent breach or default. No failure or delay by a party to exercise any right or remedy provided under the Contract or by law shall constitute a waiver of that or any other right or remedy, nor shall it preclude or restrict the further exercise of that or any other right or remedy. No single or partial exercise of such right or remedy shall preclude or restrict the further exercise of that or any other right or remedy.

36.4 The Contract shall not constitute or imply any partnership, joint venture, agency, fiduciary relationship or other relationship between the Parties other than the contractual relationship expressly provided for in the Contract. Neither Party shall have, nor represent that it has, any authority to make any commitments on the other Party's behalf.

36.5 A person who is not a Party to this Contract shall have no right to enforce any of its provisions, which expressly or by implication, confer a benefit on him or her, without the prior written agreement of the Parties.

36.6 The Contract cannot be varied except in writing signed by a duly authorised representative of both the Parties.

37 GOVERNING LAW AND JURISDICTION.

37.1 The Contract, and any dispute or claim arising out of or in connection with it or its subject matter or formation (including non-contractual disputes or claims), shall be governed by, and construed in accordance with, English law, and the parties irrevocably submit to the exclusive jurisdiction of the courts of England and Wales.

Annex A - Schedule of Processing, Personal Data and Data Subjects

The Supplier shall only process in accordance with the instructions as advised below and comply with any further written instructions with respect to processing by the Contracting Authority. Any such further written processing instructions required by the Contracting Authority shall be incorporated into this Schedule and shall be the subject of a formal amendment to this Contract.

1. The contact details of the Contracting Authority Data Protection Officer are:
FOIA Section 40 Personal Information
2. The contact details of the Suppliers Data Protection Officer are: RAND Data Protection Officer
FOIA Section 40 Personal Information
3. The Supplier shall comply with any further written instructions with respect to processing by the Contracting Authority.

Any such further instructions shall be incorporated into this Schedule

Description	Details
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<p>Subject matter of the processing</p>	<p>This is an evaluation of the Future Leaders Fellowships (FLF) Fund. The policy scope for this evaluation covers the first six rounds of FLF.</p> <p>FLF is one of the funds established under the National Productivity Investment Fund funding package. It is a large, prestigious, unified programme which seeks to complement existing schemes by offering scale and flexibility to the most excellent early-career researchers and innovators in organisations across the UK and the world.</p> <p>The evaluation will consist of a process, impact and economic evaluation (the economic evaluation will only be conducted if the feasibility review in year two of the evaluation finds it is advisable to conduct an economic evaluation in year three).</p> <p>The research will likely include the following activities that require processing of personal data:</p> <ul style="list-style-type: none"> • Interviews with key operational and strategic stakeholders (conducted online) • Interviews and/or surveys with fellows and hosts (conducted online) • Processing of baseline data from applications (including unsuccessful applications) • Peer matched-case approach: approximating a peer researcher from outside the FLF programme for a sample of fellows, and tracking their career progression alongside the fellow through both statistical (e.g. promotions, publications etc) and
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	<p>qualitative data (e.g. awards, advisory roles etc).</p> <p>The processing of names and business contact details of staff of both Contracting Authority and Contractor will be necessary to deliver the services exchanged during the course of the Contract, and to undertake Contract and performance management.</p> <p>The Supplier will need to confirm that they are UK GDPR or GDPR (if operating in the EEA) compliant when submitting a bid. The contractor will provide interviewees with a privacy notice before participating to ensure they understand the nature of the research, how their data will be used and stored</p>
<p>Duration of the processing</p>	<p>Data collection and analysis: June 2022-June 2025</p> <p>Data shared with UKRI: both anonymised and identifiable data sets, and collected to be shared upon the expiry of the Contract</p> <p>Data deleted: all personal data will be deleted by the supplier following the expiry of the Contract (April 2025) and 12 months retention period.</p>

<p>Nature and purposes of the processing</p>	<p>Personal data will be collected for/through: interviews and/or online surveys. This will be limited to essential information only:</p> <ul style="list-style-type: none"> • Survey – name, role and contact details (email and telephone number) • Interviews – name, role and contact details (email and telephone number) <p>Interviews will be recorded (via recorded explicit consent) to allow for transcription. Transcribed interviews/focus groups will be anonymised (i.e. name removed).</p> <p>UKRI will share with the supplier the contact details of stakeholders who can be contacted by the supplier for this evaluation and will confirm whether consent needs to be obtained. The supplier will be required to engage with stakeholders and obtain their feedback and opinions. If any audio/visual recordings are processed the supplier will be required to obtain consent from the data subjects. The Evaluation report may be published externally. If any personal data is included the supplier will need to obtain the data subjects consent and will be required to share the record of this consent with UKRI. No data will be shared outside of the EEA.</p>
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	<p>Gender and ethnicity data in monitoring forms should only be used for the purposes of this research project and in particular to provide evidence for the Public Sector Equality Duty (PSED) assessment. The Contractor must ensure secure storage of all personal data collected as part of this project that includes (but is not limited to) storage in a password protected folder with the password known only to staff working on this project. The Contractor also must ensure personal data is handled as specified in the Plan for return and destruction of data section of this document.</p> <p>If possible, data will also be matched to other government/UKRI surveys to minimise research fatigue/response burden and add robustness.</p> <p>The purpose of personal data processing is limited to the evaluation of the FLF Fund (as described in the Service Specification document).</p> <p>The nature of processing will include the storage and use of names and business contact details of staff of both the Contracting Authority and the Supplier as necessary to deliver the services and to undertake the Contract and performance management. The Contract itself will include the names and business contact details of staff of both the Contracting Authority and the Supplier involved in managing the Contract.</p>
Type of Personal Data	<p>Name, gender, ethnicity, disability, email, and telephone number. Gender and ethnicity data to be processed to support the Public Equality Sector Duty (PSED) analysis. Names, business telephone numbers and email addresses, office location and position of staff of both the Contracting Authority and the Supplier as necessary to deliver the services and to undertake the Contract and performance management.</p> <p>The Contract itself will include the names and business contact details of staff of both the Contracting Authority and the Supplier involved in managing the Contract</p>

<p>Categories of Data Subject</p>	<p>UKRI stakeholders</p> <p>Wider stakeholders:</p> <ul style="list-style-type: none"> - Successful and unsuccessful applicants (sample of) - Academic and business hosts (sample of)
	<p>Staff of the Contracting Authority and the Supplier, including where those employees are named within the Contract itself or involved within the Contract management.</p>
<p>Plan for return and destruction of the data once the processing is complete UNLESS requirement under union or member state law to preserve that type of data</p>	<p>The Personal Data will be retained by the Supplier for a 12-month retention period, following which the Contractor will provide the Contracting Authority with a complete and uncorrupted version of the Personal Data in electronic form (or such other format as reasonably required by the Contracting Authority and erase from any computers, storage devices and storage media that are to be retained by the Supplier following the expiry of the Contract and 12 months retention period. The Supplier will certify to the Contracting Authority in writing that it has completed such deletion.</p>

Schedule 2 - Specification

- 1** The Suppliers shall provide the Goods and/or Services in accordance with this Schedule 2.

- 2** The Supplier's bid proposal dated 29th March 2022 submitted in response to tender UKRI1745 Future Leaders Fellowships Programme Evaluation will also form an integral part of the contract, including any extensions plus all responses and attachments to all of the tender questions on the Delta e-sourcing platform and any other associated documentation and communication as applicable.

Specification for UKRI-1745: Future Leaders Fellowships Programme Evaluation

Title of Request:	Future Leaders Fellowships Programme Evaluation
Duration of Engagement:	3 Years (Initial 2 years with an option to extend for a further one year)
Required Commencement Date:	13 th June 2022

1. Background

1.1. About the National Productivity Investment Fund (NPIF)

- As set out in the Industrial Strategy, the Government allocated £7bn to the National Productivity Investment Fund (NPIF) over the period 2017/18 to 2021/22. Split between themes, the NPIF funding package balances both discovery-led and challenge-based research and innovation. The Future Leaders Fellowships (FLF) is one of the funds established under the NPIF funding package.

1.2. About the Future Leaders Fellowships

- Building the capacity and capability of our workforce is vital if we wish to meet the Government's target to increase R&D investment in the economy to 2.4% of GDP by 2027. The flagship FLF is a £900 million pan-UK Research and Innovation (UKRI) fund running over 11 years (2018-2029). Up to 500 fellowships will be awarded through six funding competitions over the first three years (see 1.3 below). It has been established to develop the next generation of research and innovation leaders. It attracts, recruits, develops and retains the rising stars in research and innovation, attracting the most talented from at home and across the world.
- The UK has a diverse landscape of medium- and small-scale Fellowship and early-career researcher and innovator schemes, reflecting different traditions and career paths. However, existing schemes are already heavily oversubscribed, there is a lack of flexible career development models and no existing UK career development mechanism for the 'progression to independence' and 'transition to leadership' career stages; these create pinch-points in the research career path. Existing schemes are also discipline- or domain-specific, resulting in limited funding opportunities for early-career researchers and innovators to develop their leadership capability for interdisciplinarity, cross-sector working and new R&D areas.
- The development of FLF as a large, prestigious, unified programme seeks to complement existing schemes by offering scale and flexibility to the most excellent early-career researchers and innovators in organisations across the UK and the world. The FLF has provided up to seven years of funding, supporting careers across all subjects and sectors (public, charity, business and academic), including those that are interdisciplinary or span sectors. It has welcomed proposals with strong links to industry and supports the movement of individuals between industry, academia, and the public sector.
- The FLF programme is due to award its final round of funding (round 6) in early 2022. To date (in rounds 1 to 5) the FLF programme has awarded 399 fellowships of which 24 sit with business organisations. Details of the awards made are available on the UKRI website ([What are Future Leaders Fellowships – UKRI](#))

and demographic data on Rounds 1-4 is available in Chapter 3 of the Scoping Report attached in Appendix H.

The aims and objectives for the FLF fund are:

- High quality and impactful research and innovation in areas aligned with the Government's Industrial Strategy
- Increased engagement between industry and academia on research and innovation activities, including through collaboration and problem-solving and facilitating movement of people between sectors
- Increased multi- and interdisciplinary research and innovation ○ Develop, retain, attract and sustain highly skilled research and innovation leaders of the future, in the UK and from overseas
- A more equal, diverse and inclusive research and innovation workforce, which welcomes international talent
- Provide sustained funding and resources for the best early career researchers and innovators, to tackle difficult and novel challenges and delivers value for money

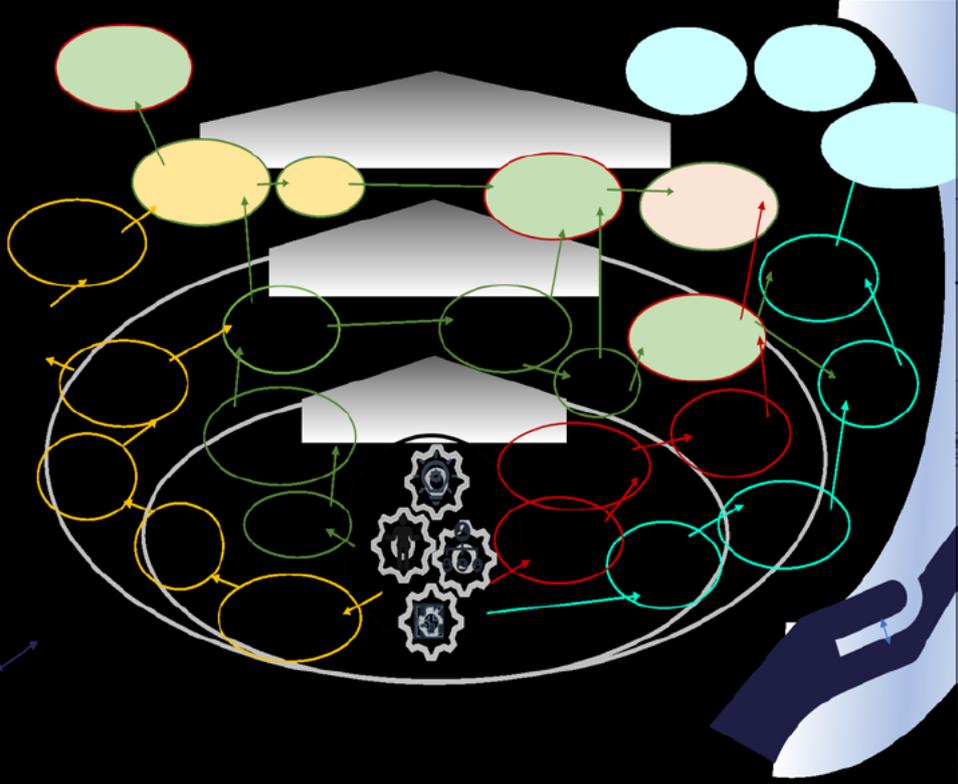
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UKRI convenes, catalyses and invests in close collaboration to build a thriving, inclusive R&I system connecting discovery to prosperity and public good.

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Unsuccessful applicants want to work in the way FLF supports



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Scoping Report attached in Appendix H. We expect the contractor to review and refine this model within the evaluation framework development phase (see section 4) to include assumptions and updates, if applicable.

Figure 1 – FLF Theory of Change

1.3. Timeline of FLF rounds

In total, up to 550 fellowships will be awarded between 2019 and 2022, through six rounds of funding calls. Each award is for four years (dark grey),

with an option for an additional three years of funding (light grey) (see timeline below).

	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029
FLF - Round 1												
FLF - Round 2												
FLF - Round 3												
FLF - Round 4												
FLF - Round 5												
FLF - Round 6												

Figure 2 – Timeline of FLF rounds. Dark grey represents initial funding period and light grey the optional three-year extension period of each round of awards.

1.4. Evaluation of the FLF

- A workshop was conducted to explore the FLF objectives and identify the challenges for an FLF evaluation (see Section 3.2 below). This helped to develop the evaluation questions (see Section 2.2) and resulted in agreement on the requirement for a scoping and feasibility study to investigate this further.
- A scoping and feasibility study was conducted by the Institute for Employment Studies to identify the appropriate methods to support answering the FLF evaluation questions. The objectives were to summarise existing knowledge and information regarding effective monitoring and evaluation of fellowship instruments; explore the challenges of the FLF evaluation and discuss appropriate methods to address them; and recommend a suitable, workable approach to monitoring and evaluating the FLF. The full report is included in Appendix H.

2. Aims and Objectives of the Project

2.1. Aims

- a. UKRI is committed to rigorous evaluation of all our key programmes, including FLF. The primary aim of the evaluation is to understand the impact of FLF; in addition, it will offer lessons learned on how the implementation of the programme did or did not enable the delivery of this impact, and support early exploration of the economic value of FLF. This will inform ongoing programme development, maximise the value of public funding and enable the Treasury, BEIS and UKRI to demonstrate accountability for public funds.
- b. The audiences for the evaluation include UKRI, BEIS and government in general, as well as the research and innovation communities of the UK and globally.
- c. The evaluation should help all audiences to understand:
 - whether and how the FLF scheme delivered its intended aims and objectives (see section 1.2);
 - to what extent the programme achieved its planned impacts, as outlined in the Theory of Change;
 - for whom and in what circumstances did it achieve this;

- what factors in the context or operation of FLF supported or inhibited the emergence of impacts; and
- whether the intervention is cost-effective and a justifiable public investment.

2.2. Objectives and activities

- The evaluation should assess the appropriateness (process evaluation), effectiveness (impact evaluation) and efficiency (economic evaluation) of the FLF in order to answer the following questions:

a. Appropriateness questions – Process evaluation

- To what extent and how is the FLF programme working and being delivered as intended?
 - (To what extent) are targets for FLF inputs and outputs being met¹?
 - (To what extent) has FLF stimulated multi- and interdisciplinary research and innovation (MIDRI) and cross-sector fellowships?
 - (To what extent) are FLF fellowships providing something unique and additional to other fellowship schemes supported by UKRI and other UK / international funders?
 - (To what extent) has FLF delivered effective post award management to support the professional development of the fellows?
 - What, if any, are the unexpected barriers or facilitators to the FLF processes and the delivery of the FLF objectives?
 - To what extent (and why) has the funding scheme reached (or not) its target of research and innovation leaders of the future, and what may be the consequences and implications of this?
 - To what extent (and why) has the scheme successfully engaged host organisations willing to commit to the FLF requirements?
 - How did FLF affect Equality Diversity and Inclusion (EDI), including for groups protected by the Public Sector Equality Duty?
 - Overall, what lessons are there for future rounds / similar schemes?

b. Effectiveness questions – Impact evaluation. The evidence base for the impact evaluation questions will develop progressively over the near, mid and long term.

- To what extent, and how, has FLF changed the research and innovation landscape?
 - (To what extent and how) has FLF increased high quality and impactful research and innovation?
 - (To what extent and how) does the overall FLF fund support wider government objectives, especially the delivery of the Industrial Strategy (2017), in line with the aims of the NPIF programme?
 - (To what extent and how) has FLF increased multi- and interdisciplinary research and innovation and cross-sector working, for the Fellow, Fellow’s team and / or collaborators?
 - Has FLF created any spill-over effects for the existing fellowships/funding that exist in the market?

¹ The term ‘targets’ refers in this context to targets for number of fellowships to be funded per round

- To what extent, and how, has FLF delivered highly skilled research and innovation leaders of the future?
 - (To what extent and how) has FLF developed R&I in ways that would not have been possible through other fellowships (or similar) schemes?
 - (To what extent and how) has FLF fostered an equal, diverse and inclusive research and business environment?
- To what extent, and how, does FLF make the UK an attractive place for future R&I leaders?
 - (To what extent and how) has FLF developed attracted and retained talent (fellows and associated teams) to the UK?
 - (To what extent and how) has FLF influenced the reputation of the UK as a place to pursue a career in research or innovation?
- To what extent, and how, has FLF led to a change in behaviour for early career researchers, innovators and hosts?
 - (To what extent and how) has FLF increased engagement between industry and academia on research and innovation activities?
 - (To what extent and how) has FLF developed a more equal, diverse and inclusive research and innovation workforce?
 - (To what extent and how) has FLF influenced the appetite of early career researchers and innovators, panel members and hosts to pursue or support novel and / or risky R&I?
 - (To what extent and how) have host organisations delivered against the expectations of the scheme?
 - (To what extent and how) has FLF increased careers in research and innovation within new and novel areas?
 - (To what extent and how) has FLF contributed to new investment into research and innovation from outside government?
 - (To what extent and how) has FLF influenced, or set precedents for, improvements in host organisations' support for early career researchers or innovators, EDI or related UKRI policy goals?
- To what extent, and how, has FLF delivered wider knowledge, economic and societal impacts?
 - What has been the wider impact of FLF on UK research and innovation expertise and on other parts of UKRI practice?
 - What has been the wider economic impact of FLF, including the economic value of non-market impacts?
 - What has been the wider societal impact of FLF, including the impact on the number of high-quality jobs, wages, and wellbeing, and societal benefits from new products and services?

- c. **Efficiency questions – Economic evaluation.** It is acknowledged that it may be difficult to derive a robust assessment of value for money based on an estimate of the value of impacts. A decision will be made, based on data obtained through the interim impact evaluation, whether a full economic evaluation will be feasible within the timeframe of this project. Given the limitations of time to measure the value for money that will arise from this initiative and that, while some comparison fellowship schemes exist, they do not cover the full scope of FLF, we understand that relative value for money will be harder to establish.
- Based on the overall, estimated impact of FLF – considering those impacts which can be given market and non-market values – compared to the overall cost of delivering FLF, to what extent does FLF represent value for money?

- To what extent does FLF represent value for money in absolute terms?
- To what extent does FLF represent value for money compared to other possible alternative ways of achieving the same impacts?

2.3. Scope of the evaluation

- a. The evaluation will be at the fund level and cover rounds 1-6 of the FLF programme.
- b. The timeline of evaluation currently ends before the completion of the programme. Some impacts of the programme are long term in nature and may not occur until after the evaluation has concluded. Therefore, the evaluation should highlight the evidence of outcomes and impacts realised to date and, crucially, the prospect of future impact occurring based on progress to date and relevant (evidenced) trajectories.

2.4. Evaluation Budget

- a. The total budget for this project shall not exceed £250,000 (excluding VAT). The bidder is invited to propose the budget breakdown by deliverable identified in section 4.1.
- b. The budget breakdown must include all staffing costs for the project and any other costs that are incurred as a result of the work outlined in your approach including (but not limited to):
 - Travel and subsistence for your staff relating to the delivery of the contract
 - Incentives to participate in surveys and interviews (to individuals not obliged to do so)
 - General administration costs relating to the delivery of the contract

2.5. Oversight and management of the research

- a. The evaluation will be overseen within UKRI by the Head of the FLF. The day-to-day point of contact for the contractor will be a member of the UKRI FLF team, supported by a member of the UKRI Evaluation team (to be specified at a later date).
- b. The governance of the FLF Evaluation is as follows: The FLF Project Board (or its successor) will have oversight of the evaluation, with support from the National Productivity Investment Fund (NPIF) Evaluation Oversight Board. Advice will also be provided by an FLF Evaluation Advisory Board.
- c. **Your team.** It is the responsibility of the bidder to assign / recruit and manage sufficient staff to deliver against the contract, whilst remaining in the budget indicated as available for the contract throughout the contract period. Bidders must clearly explain how they propose to utilise the budget with respect to staff time to deliver the objectives of the contract as articulated in their approach.
 - The bidder should nominate an **FLF Evaluation Lead** to hold primary responsibility to UKRI for the delivery of the evaluation against the terms of the contract.
- d. The bidder may also choose to appoint an FLF Evaluation Primary Contact to act as the day-to-day contact with UKRI with respect to all matters pertaining to the execution of the contract, such as updates on progress against KPIs and deliverables, and requests for raw data or information that UKRI may require from

time to time. If no such individual is appointed the responsibilities of the FLF Evaluation Primary Contact will revert to the FLF Evaluation Lead.

2.6 Key stakeholders

- a. **UKRI FLF Team.** The FLF team as part of the UKRI Talent team are responsible for the management of the FLF programme which includes: management of the ongoing FLF awards; management of the award extension process (3.3.a); the collection and management of routine data and information relating to the monitoring and running of the FLF programme and the management of relationships with the funded fellows and communities from which they are drawn.
- b. **UKRI Evaluation Team.** The UKRI Evaluation team has responsibility, through UKRI senior management to BEIS, for the evaluation of NPIF funding including the funding allocated to the FLF programme.
- c. The contractor will need to regularly report to the **FLF Evaluation Advisory Board**, the **FLF Project Board** and the **NPIF Evaluation Oversight Board** as per the governance arrangements in 2.5.
- d. **The UKRI Data Assurance Team.** The UKRI data assurance team will act as the data controller for all information and data collected in regard to the execution of the contract. Data protection compliance is seen as being the main area where UKRI policy will be relevant in the execution of the contract. To ensure that UKRI retains the right to process and retain data relating to the contract it will be the primary registrant.
- e. **Other elements of UKRI and their employees.** It may from time to time be necessary for us to arrange for you to meet, communicate or work with other parts of UKRI. If this becomes necessary, the UKRI nominated Evaluation Lead will act as an initial point of contact and will also be the contact point for any issues relating to the agreed interaction.
- f. **The external FLF community.** The community are defined as the funded fellows and any participant in the funding process, including panel members, members of our peer review college and host organisations. You will need to communicate and obtain information and data from many of these groups during the execution of the contract. The extent to which we can use and provide data relating to these people depends on our agreements with them under data protection legislation and varies depending on our relationship with them and which round of funding they have engaged with.

2.7. Data policy and compliance

- a. The bidder is invited to outline how the evaluation will be conducted in accordance with relevant data protection and security standards, including how they will safely store, use and destroy contact details of stakeholders, document sources shared about the investments during the evaluation and the other information collected as part of the evaluation.

2.8 Ethics and governance

- a. The bidder is invited to explain how the research will be conducted in accordance with high ethical standards.

3. Suggested Methodology

The number of interviews, case studies and sampling strategy should be justified according to the approach taken by the bidder to provide answers to the evaluation questions. Note that the total number of Fellows (following round 6) is expected to be 550.

3.1. Overview of suggested methodology

- a. An FLF Scoping and Feasibility Study (attached in Appendix H) was commissioned to propose a suitable, workable approach to monitoring and evaluating the FLF programme. Bidders should consult this study in developing a suitable evaluation methodology; however, we invite critique of the proposed approach and proposals for alternatives. Bidders will not be penalised for choosing methods different from the ones proposed in the scoping study.
- b. Bidders should clearly outline how their proposed methodology will answer the evaluation questions in section 2.2, including how it will address the counterfactual. They should be explicit about the limitations, evidence gaps and uncertainties in their proposed approach.
- c. The scoping study recommended a comprehensive, multi-component evaluation model to explain both if and how the programme had the planned impact, and to address issues of variability in the robustness of available measures. This should cover process, impact and economic evaluation of the FLF programme.
- d. **Process Evaluation:** In late 2020, both a Department of Business, Energy and Industrial Strategy (BEIS) Critical Friend Review and Government Internal Audit Agency (GIAA) audit were conducted on the FLF scheme. The BEIS Critical Friend Review focused on providing recommendations to improve the delivery of future rounds of FLF; the GIAA audit aimed to provide assurance over the effectiveness of the structure and processes in place to ensure that the high level aims of the FLF scheme are met and that risks are managed. Both reviews considered project documentation, and interviewed stakeholders, including UKRI staff, advisory board members and a small number of fellows. The aim of the process evaluation should be to build on the outputs of these two reviews (which will be made available to the successful bidder) to provide a comprehensive assessment of the effectiveness of the development and delivery of the programme in enabling the delivery of key impacts, drawing on the perspectives of multiple stakeholder groups. The successful bidder will be asked to analyse the two reviews during the inception phase to identify key gaps, and propose a comprehensive methodology in their evaluation framework to ensure that all of the process evaluation questions are answered. During the tender stage, bidders should outline how they will approach this task, and their overall approach to process evaluation. This could draw on additional management information analysis, alongside interviews with key operational and strategic stakeholders, and interviews or surveys with fellows and hosts.
- e. **Impact Evaluation:** The impact evaluation design should identify key impact metrics, and seek to understand to what extent observed changes can be attributed to FLF. The evaluation methodology might include the approaches outlined below, although we bidders may choose to propose suitable alternative approaches. Where bidders choose not to adopt a quasi-

experimental design, they should justify why this approach was not deemed to be feasible and clearly outline how they will assess the counterfactual.

- A counterfactual impact evaluation using a quasi-experimental design (QED)

This methodology should allow the impact of receipt of FLF funding over not receiving this funding to be captured. This could take the individual researcher as the unit of assessment, with unsuccessful applicants serving as a counterfactual group for grant recipients. While it focuses on the individual fellow, because of the inter-relationship with hosts and R&I ideas, there could be insights for these causal pathways too (although net impact would be established at the individual level). Two approaches could be used for identifying a counterfactual group: propensity score matching to identify matched cases in the whole applicant group; and a Regression Discontinuity Design to identify matches amongst those unsuccessful applicants who were shortlisted to interview, assessing whether FLF has an impact at the margins of treatment.

The impact evaluation could trace the career pathways of fellows and unsuccessful applicants using administrative and secondary data. This might include baseline data from applications; publication and citation data; REF impact data; and ResearchFish data on broader research outputs, including products and IP created. Suitable metrics would need to be determined for segments of Fellows in different disciplines or sectors (e.g. business / academia).

Bidders should outline the feasibility of a QED approach, including how their proposed design will take account of the diversity of Fellows, address potentially small sample sizes in some segments of Fellows and handle attrition in data availability for individuals over time.

- A theory-based impact evaluation

This approach could be used for segments of fellows where it might not be possible to establish a suitable counterfactual, and to consider impacts beyond the individual fellow, investigation of softer impacts, and comparison between FLF and other schemes. Methods could include contribution analysis and process tracing to determine impact. This might include:

- Annual career tracker survey with fellows to track their career progression, including topics such as collaborators; research funding secured; prizes, conferences, awards and recognition; and leadership roles (e.g. contribution to editorial boards)
- Surveys with hosts at baseline, at the end of the initial funded period and following the contract extension period
- Qualitative nested case studies, drawing on interviews with fellows and hosts at the end of their funded period, to provide exemplars of how the partnership creates pathways to impact
- Interviews with strategic stakeholders to identify the impact of the programme on the R&I landscape in the UK

- Peer matched-case approach: approximating a peer researcher from outside the FLF programme for a sample of fellows, and

tracking their career progression alongside the fellow through both statistical (e.g. promotions, publications etc) and qualitative data (e.g. awards, advisory roles etc).

- f. **Economic Evaluation:** Bidders should outline their proposed approach to the economic evaluation of FLF, and how they will scope the feasibility of delivering this within the final year of the evaluation. A scoping of the feasibility of the economic evaluation should be delivered in Year 2 of the evaluation, alongside the interim impact evaluation. This should outline not only methodologies, questions and timing for an economic evaluation, but also the data needed and how this would be collected as part of the ongoing impact evaluation. The continuation of this workstream will be determined by UKRI following a review in year 2 (see 2.9 and 4.1).

3.2. Challenges

- a. It is anticipated that the evaluation will have a number of challenges which include (but are not limited to):
- **Determining whether FLF has met its objectives:** FLF has a wide range of ambitions, across multiple levels of impact, many of which are intangible and hard to measure. A key challenge will be identifying relevant outcome measures and proxy measures that reflect the broad scope of FLF, and align with UKRI's position as a signatory to the Declaration on Research Assessment (DORA), e.g. looking beyond narrow bibliographic information measures.
 - **Assessing value for money:** The evaluation design will need to establish expectations around overall level of financial returns that would indicate value for money, the acceptable level of risk associated with challenging / innovative research, timeframes of expected returns, whether non-financial impacts can be monetised or considered value for money, and whether FLF can be compared with other programmes or funds in a meaningful way. Bidders should outline how they will consider these issues as part of the economic evaluation scoping exercise; the final approach will be agreed with UKRI as part of the economic evaluation review.
 - **Attribution, additionality, spillover and diffusion:** The breadth and scope of FLF, within the context of the wider funding landscape, will make it difficult to attribute impacts over a Fellow's career or on the research and innovation system directly to FLF, or to assess the additionality that FLF has offered over existing support mechanisms for researchers and innovators. In addition, it is an aim of FLF to support positive 'spillover' where benefits leak to those outside of the programme. These benefits will be hard to predict and measure.
 - **Diversity of the FLF programme:** FLF has a broad scope, including a diverse disciplinary mix, multi- and inter-disciplinary discipline mixes, and a balance of academic and business-based fellows. This makes it challenging to identify measures and information sources that would be applicable to all participants and applicants; the evaluation design needs to allow for different career trajectories and differing timelines for impacts to

emerge. Where segmentation is used to account for the diversity of Fellows, the challenge will be the development of a robust and sufficiently matched data pool for a counterfactual, potentially resulting in a collection of very small sample sizes.

- **Long-term nature of impacts:** Given the timeframe of this study, we expect the evaluation to cover a mix of short- and medium-term impacts of the FLF programme. Bidders are invited to consider how longer-term impacts that emerge beyond the lifetime of this study might be captured by UKRI on an ongoing basis (e.g. by providing a framework for tracking key impact metrics).
- **Data collection and analysis:** The evaluation design will need to consider how quality data can be collected and analysed within the time and financial constraints of this evaluation. Further details on some of the limitations of existing data sources are included in section 3.3.
- **Tracking Fellows (and counterfactuals) over long term:** It may be challenging to maintain contact with individuals over the duration of the evaluation, for example if they change role / organisation. This will be the case especially for the counterfactual group, and for those in the business sector. Bidders should consider how their methodology will account for a shrinking sample and counterfactual size.

The bidder is invited to suggest pragmatic methods to overcome these challenges, highlighting how this has influenced the approach proposed, why it is deemed to be the most robust option and what the limitations of it are.

3.3. Data and information to be used in the evaluation

- a. The bidder is invited to propose the data collection activities required to deliver the best evidence for the evaluation. The available fund level data sources provided by UKRI include:
 - Grants' system (SIEBEL) data – to fund a research project the applicant needs to fill out a Joint electronic Submission (Je-S) form which is collected in the SIEBEL grants system. All application level data for awarded fellows will be available to the successful bidder.
 - Future Leaders Industry Collaboration Agreement – FLF applicants with business involvement are required to complete a Future Leaders Industry Collaboration Agreement (FLICA). Information is provided by the host organisation in line with the following [state aid guidance](#). Agreements that we hold can be shared with the successful bidder.
 - Fellows' research and innovation outcomes records – Future Leaders Fellows record outcomes in ResearchFish on an annual basis. ResearchFish is the online portal used by UKRI to collect data from academic award holders on the outputs of their funded research; outputs are then made available through the Research Councils' 'Gateway to Research' portal. Updated records are available annually in Spring.
 - FLF annual meetings – The FLF scheme holds annual meetings with the fellows to report any achievements the fellow particularly wants to highlight.

This will supplement, but not replicate, ResearchFish and will be undertaken sensitively to minimise the reporting burden for the fellows. The successful bidder will have the opportunity to utilise these meetings to collect further data, as required.

- FLF surveys – The FLF scheme conducts starting, mid-term and final surveys with the fellows. Questions asked at each survey help with the overall monitoring and evaluation of the FLF programme, and inform the programme going forward. This will include questions on the experiences of their fellowship, collaboration, achievements and career development. The successful bidder will have the opportunity to utilise these surveys to collect further data, as required.
- FLF review report – For those Fellows wishing to take up the additional three years' funding following their initial four year award (see section 1.3), a review will be carried out in year four of the fellowship to determine if the additional three years is awarded. The review will include an updated application from the Fellow, detailing the vision for the funding renewal period, covering the research and/or innovation, with a personal statement of achievements, career development, progress to date and likely contribution to the field(s)/sector(s).
- FLF monitoring reporting – FLF performance and budget monitoring reporting includes bi-monthly updates to the UKRI Executive Committee (ExCo) and quarterly updates to BEIS and the FLF project board on priorities, progress, next steps, key dates and milestones.
- FLF Development Network - A partnership of universities, non-academic partners, and expert consultants. It combines expertise in engaging, supporting, and developing emerging research leaders. The network is used to connect FLF fellows to an unrivalled network of local, national, and global leaders across the research and innovation landscape and beyond, with a leadership capability framework to help the FLFs realise their fullest potential as innovators, collaborators, and influencers. The successful bidder will have access to the FLF Development Network, the successful bidder will have the contact details to the members of the FLF Development Network, who will be able to provide additional insight into the R&I landscape.

b. It is anticipated that there may be some challenges with the fund level data, which include but are not limited to:

- The available fund level data may vary depending on the cohort and cohort size: each cohort will be at a different stage of their fellowship and therefore data sets for each cohort may vary, for example some cohorts will have very little or no data on outputs and outcomes. Due to the variations in data sizes, a statistical analysis may not be viable for all cohorts or groups.
- All fund level data will not be publicly and readily available; however we would aim to provide fund level data within 5-7 working days.

- c. In all instances of data collection, the burden on respondents must be minimised as far as possible. Data collection must build on what is already collected through existing processes, either of funding organisations or thirdparties, with any new data collection designed to fill gaps. The evaluation is expected to utilise data-linking, potentially including to proprietary third-party datasets. Access to these datasets should be considered and costed into proposals.
- d. We expect that primary data collection will be necessary to fill gaps in the available data, and increase understanding of the causal pathways. Bidders might consider where primary data collection offers scope for comparison with similar fellowship schemes, for example through the design of surveys to allow for benchmarking with other fellowship trackers.

4. Deliverables and Timescales

4.1. Overview of deliverables

- a. The primary deliverable will be in the form of written reports and the provision of raw data from the evaluation process. The following deliverables are expected (please note that each of these deliverables should be costed separately in the price schedule):

- Evaluation framework report, including a review of the Theory of Change and a complete process evaluation methodology following a gap analysis of the Critical Friend Review and GIAA audit – three months after contract commencement
- Process evaluation and baseline report – a year after contract commencement
- Interim impact evaluation and economic evaluation scoping review – 2 years after contract commencement
- Impact evaluation report – 3 years after contract commencement (Optional)
- Economic evaluation report – 3 years after contract commencement (Optional)

To clarify, the Impact evaluation report and the Economic evaluation report are optional extras; UKRI will confirm towards the end of year 2 of the contract whether it will choose to exercise its option to take up the third-year option and to confirm if either of the Impact evaluation report or the Economic evaluation report or both reports will be commissioned. **However, Suppliers are required to provide a full response for both of the above year 3 options including costings on the Price Schedule at Appendix D.**

- b. A schedule of reporting will be agreed at the start of the contract and reviewed at each year end. Scheduling and reporting will be managed between UKRI and the contract holder to minimise duplication between interim and final reporting.

c. In addition to reporting, UKRI may from time-to-time request data and information to compile its own reports both internally and for third parties. Upon such requests the contract holder will provide the data they have relating to the request within 15 working days. Data and information should be provided in a format that would allow a non-expert to understand the context and prepare the data for a report without the use of non-standard office software (MS Word and Excel).

4.2. Data collection and presentation

a. After the completion of each deliverable, all datasets provided, compiled, or used, along with all analysis and reporting relating to them, must be provided to UKRI, such that it will be possible to hand over, in full, to contracting organisations or third parties under contract to them, for the purposes of additional research and evaluation. The contractor will also need to make all the code available to use econometric and survey data analysis. Data must be collected in such a way to enable this to happen. Proposals must state how this will be achieved, including how any data protection issues will be resolved

4.3. Report writing and formatting

a. All reports are expected to be iterated with key stakeholders of UKRI. The contract holder will need to provide UKRI with drafts of reports, which will need to respond directly to comments provided by key stakeholders, including the FLF Evaluation Board and the NPIF Evaluation Oversight Board. Bidders should allow sufficient flexibility in their timetable for multiple drafts of reports.

b. All outputs are designed for public dissemination. They must be produced to a high standard of layout and presentation and written in a manner accessible to a range of stakeholder audiences. Reports should include an executive summary of no more than two pages (linking or referencing supporting data and analysis in the full report and/or annexes).

4.4. Meetings and engagement

a. The successful bidder will be expected to participate in meetings and communication which will be flexible according to the needs of the study and coordinated with the evaluation leads, as part of the ongoing oversight and delivery of the work. This might include: fortnightly catch-ups with the evaluation points of contact to update on progress and to hone and revise the evaluation approach as needed, monthly concise written progress updates to the UKRI FLF and Evaluation teams, quarterly presentations including key findings and messages to the NPIF Evaluation Oversight Board, FLF Evaluation Advisory Board and FLF Board.

b. The successful bidder will be expected to engage with external parties as necessary for the undertaking of the evaluation.

Schedule 3 - Charges

1 The Charges for the Goods and/or Services shall be as set out in this Schedule 3.

Item Number	Requirement	Quantity	Unit Price	Total Price	Notes & Comments
Deliverable	Breakdown of the Project Costs e.g. staff costs etc)				
FOIA Section 43 Commercial					
				£ 249,410.00	

All prices are firm and fixed.
 All prices are exclusive of VAT * please indicate in the Notes if VAT will applicable on each line.

Milestone	Invoice amount (ex. VAT) and date
FOIA Section 43 Commercial	[Redacted]
[Redacted]	[Redacted] FOIA Section

FOIA Section 43 Commercial [REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]
TOTAL	£249,410

Schedule 4 - Key Personnel

Key Personnel (title)	Role in the performance of this Contract
FOIA Section 40 Personal Information [REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]

Schedule 5 - Change Control Notice

Contract Reference:					
1. Change Request Number:					
2. Requested amendments to Contract (including reasons):					
2.1 Effective date:					
This change is effective from: _____					
2.2 The Contract Term is amended as follows:					
Original Expiry Date: _____ New Expiry Date: _____					
3. Cost impact					
3.1 The Charges are amended as follows:					
	Quantity	Unit cost (£)	Net cost (£)	VAT (£)	Gross cost (£)
Original Contract Value					
New contract Value					
3.2 New Contract terms:					

Both UKRI and the Supplier agree that they are bound by the terms and conditions set out in this Change Request and, except as set out in this Change Request, all terms and conditions of the Contract remain in full force and effect.

Signed on behalf of

Signed on behalf of

UK Research and Innovation

RAND Europe Community Interest Company

by:

by:

Signature of authorised officer

Signature of authorised person

Name of authorised officer (please print)

Name of authorised person (please print)

Date

Date

Schedule 6- Exit Provision

1 Exit plan

1.1 Within three (3) months of the Commencement Date the Supplier shall develop and agree an exit plan with the UKRI consistent with the exit requirements, which shall ensure continuity of the Services on expiry or earlier termination of this Contract. The Supplier shall provide UKRI with the first draft of an exit plan within one (1) month of the Commencement Date. The Parties shall review and, as appropriate, update the exit plan on each anniversary of the Commencement Date of this Contract. If the Parties cannot

agree an exit plan in accordance with the timescales set out in this Clause (such agreement not to be unreasonably withheld or delayed), such failure to agree shall be deemed a Dispute, which shall be referred to and resolved in accordance with the Dispute Resolution Procedure.

The exit plan must include information on how UKRI data will be handed back and then destroyed from the Supplier's systems

2 Consequences of expiry or early termination of this Contract

2.1 Upon expiry or earlier termination of this Contract, UKRI agrees to pay the Supplier for the Services which have been completed by the Supplier in accordance with this Contract prior to expiry or earlier termination of this Contract.

2.2 Immediately following expiry or earlier termination of this Contract and/or in accordance with any timescales as set out in the agreed exit plan:

2.2.1 the Supplier shall comply with its obligations under any agreed exit plan;

2.2.2 all data, excluding Personal Data, documents and records (whether stored electronically or otherwise) relating in whole or in part to the Services, including without limitation relating to patients or other service users, and all other items provided on loan or otherwise to the Supplier by UKRI shall be delivered by the Supplier to UKRI provided that the Supplier shall be entitled to keep copies to the extent that (a) the content does not relate solely to the Services; (b) the Supplier is required by Law and/or Guidance to keep copies; or (c) the Supplier was in possession of such data, documents and records prior to the Commencement Date; and

2.2.3 any Personal Data Processed by the Supplier on behalf of UKRI shall be returned to UKRI or destroyed in accordance with the relevant provisions of the Data Protection Protocol.

2.3 The Supplier shall retain all data relating to the provision of the Services that are not transferred or destroyed pursuant to Clause 2.2.3 for the Term and six (6) years afterwards, or such longer period as may be agreed between the Parties, full and accurate records of all matters relating to this Contract.

2.4 The Supplier shall cooperate fully with UKRI or, as the case may be, any replacement supplier during any re-procurement and handover period prior to and following the expiry or earlier termination of this Contract. This cooperation shall extend to providing access to all information relevant to the operation of this Contract as reasonably required by UKRI to achieve a fair and transparent re-procurement and/or an effective transition without disruption to routine operational requirements.

2.5 Immediately upon expiry or earlier termination of this Contract any license or lease entered into shall automatically terminate.

2.6 The expiry or earlier termination of this Contract for whatever reason shall not affect any rights or obligations of either Party which accrued prior to such expiry or earlier termination.

2.7 The expiry or earlier termination of this Contract shall not affect any obligations which expressly or by implication are intended to come into or continue in force on or after such expiry or earlier termination.

