

United-Kingdom – United-Kingdom Research and Innovation (UKRI)

a) National/Regional information and eligibility criteria

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| <p>Contact Point</p> | <p>For all general enquiries: jpiurbaneurope@esrc.ukri.org</p> <p>Please note that ESRC are coordinating on behalf of UKRI for this funding call.</p> <p>For research or innovation queries within the remit of a single UK funder please contact:</p> <p>AHRC: Dawn Greenberg, heh@ahrc.ukri.org EPSRC: Ajinkya Rao, Ajinkya.rao@epsrc.ukri.org; Maria Calderon Munoz, maria.calderonmunoz@epsrc.ukri.org ESRC: Kim Fuggle; Ben Miller, jpiurbaneurope@esrc.ukri.org Innovate UK: James Taplin, james.taplin@iuk.ukri.org; Nic Wallet, nicolas.wallet@iuk.ukri.org</p> |
| <p>Funding commitment</p> | <p>£3M (approximately €3.5M)</p> <p>Please note that funding from the UK comes from AHRC, EPSRC, ESRC and Innovate UK, all of which are part of UKRI. AHRC, EPSRC, and ESRC will fund the research elements of UK projects. Innovate UK will fund the business and non-academic collaborators of UK projects.</p> |
| <p>Anticipated number of projects to be funded by the funding partner</p> | <p>8-10</p> |
| <p>Maximum funding per awarded project / per partner</p> | <p>£415,000 (at 100% FEC) per project for all UK partners involved. This is approx. 500,000 EUR.</p> <p>This is a collaborative competition requiring at least one academic partner and at least one business, although we encourage collaborations with additional partners, including end users of the solution.</p> <p>At least 40% of the UK overall budget per project must be spent by academic partners (i.e. those eligible for funding by AHRC/ESRC/EPSRC). At least 30% of the UK overall budget per project must be spent by business partners. In addition, up to 30% can be spent by additional eligible collaborators including other research entities such as not for profit, or public sector organisations. See here for more details: https://www.ukri.org/councils/innovate-uk/guidance-for-applicants/general-guidance/funding-rules/#contents-list</p> |
| <p>Eligibility of a partner as a beneficiary institution</p> | <p>Either an academic partner, or a business may lead a UK consortium (i.e. be national contact point, as defined earlier in the call text in section 3.1).</p> <p>For the research element, UK applicants must be based at an eligible UK Higher Education Institution or Independent Research Organisation. For further information, please see</p> |

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| | <p>https://www.ukri.org/funding/how-to-apply/eligibility/. Additionally, co-applicants from research organisations <u>outside</u> of the participating countries involved in this funding call may be funded in adherence to the ESRC 'Inclusion of international coinvestigators on proposals policy' if there is already an eligible UK based research organisation as part of the project consortium. Please see the following link for further information, including cost restrictions:</p> <p>https://www.ukri.org/publications/international-co-investigator-policy-guidance/.</p> <p>For the collaboration partners seeking Innovate UK funding to develop or demonstrate innovation solutions, including the mandatory business component of any project, please check Innovate UK eligibility criteria here: https://www.ukri.org/councils/innovate-uk/guidance-for-applicants/.</p> |
| Eligible topics | 15-Minute Cities: all topics (1-3) |
| Eligible type of research and TRL | Strategic Research; Applied Research; Innovation [Please note that definitions of these terms can be found earlier in the call text in Section 2.2] |
| Submission of the (pre)proposal at the national level | <p>Successful projects with UK components will be invited to submit via the UK's national funding systems.</p> <p>Research elements of UK projects will need to submit to the Research Council's Joint Electronic Submissions system (Je-S) so that ESRC can administer the UK research costs on behalf of ESRC/AHRC/EPSRC. Further cost checking will be conducted, as per standard ESRC process, and to confirm that the costs are in line with the original costings.</p> <p>Businesses and non-academic collaborators of UK projects will need to submit to the Innovation Funding Service (IFS) so that Innovate UK can administer the UK business and other UK stakeholder costs. Please check the Innovate UK website for information on what further checks will be required at that stage. See: https://www.ukri.org/councils/innovate-uk/guidance-for-applicants/general-guidance/what-happens-after-you-have-submitted-your-application/#contents-list and https://www.ukri.org/councils/innovate-uk/guidance-for-applicants/general-guidance/what-happens-if-you-are-successful/#contents-list.</p> <p>This is a formality, and any such projects will not be subject to further peer review. Please note for organisations funded by Innovate UK the business and financial checks may lead to an organisation not being funded if their eligibility criteria are not met.</p> |
| Additional eligibility criteria for the funding agency | <p>Open data: UKRI is strongly committed to opening up research data for scrutiny and reuse, to enable high-quality research, drive innovation and increase public trust in research. See our open data policy here: https://www.ukri.org/publications/ukri-open-access-</p> |

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| | <p>policy/</p> <p>UK applicants must submit the ‘UK expenditure and additional information form’ alongside the other documents required for the preproposal submission process.</p> |
| <p>Eligible costs</p> | <p>AHRC/EPSRC/ESRC use the Full Economic Cost (FEC) model of funding and typically fund 80% of the total cost of research projects. This means that for the majority of eligible costs, AHRC/EPSRC/ESRC will provide 80% of the total cost of the research that will be funded through this call.</p> <p>Please see the ESRC research funding guide for further information on what costs are eligible to request for the research element of the project as well as the FEC model (https://www.ukri.org/publications/esrc-research-funding-guide/). Examples of the type of costs we fund include applicant time, research costs, travel and subsistence, dissemination and communication activities.</p> <p>Depending upon the size of the business, or the nature of the organisation (third sector, local authority, etc.), and the closeness to market of the activity, the percentage of total costs eligible for Innovate UK funding will vary. Typically, an SME undertaking early-stage research and development would be eligible for up to 70% of their total project costs (see table below). More information about types of eligible costs can be found here: https://www.ukri.org/councils/innovate-uk/guidance-for-applicants/costs-we-fund/costs-guidance-for-non-academic-organisations/</p> <p>All figures in the DUT call template should be provided at 100% of the Full Economic Cost for the UK budget, except the ‘Requested funding in EUR’ amount which should state the amount UKRI will be contributing to the project costs. Because of the funders’ cost eligibility regulations the total cost of a project will be greater than the amount of funding allocated.</p> |
| <p>Website with additional information</p> | <p>For general guidance for applicants please see https://www.ukri.org/publications/esrc-research-funding-guide/ and https://www.ukri.org/councils/innovate-uk/guidance-for-applicants/general-guidance/. Information about the UK involvement in this call will also be available here: https://www.ukri.org/opportunity/</p> |
| <p>Additional information</p> | <p>The UK component of any project must include both a research element and an innovation element. Projects that only include one of those elements will be deemed ineligible by the UK.</p> <p>The UK research element as noted before will be funded by AHRC, ESRC and EPSRC. Whilst acknowledging the strong interdisciplinarity of this call, it is essential that the UK research component is substantively social science led</p> |

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| | <p>(over 50% social science). In addition, we strongly encourage the involvement of UK partners from an arts and humanities and engineering and physical sciences background.</p> <p>For information on ESRC's scientific remit (as the social science funder) please refer to the following page https://www.ukri.org/councils/esrc/guidance-for-applicants/check-if-you-are-eligible-for-funding/.</p> <p>For information on AHRC and EPSRC's remit please refer to the information provided at:</p> <ul style="list-style-type: none"> - https://www.ukri.org/councils/ahrc/remit-programmes-and-priorities/ - https://www.ukri.org/councils/epsrc/guidance-for-applicants/epsrc-remit/ <p>If you are unsure if the UK research component meets the social science requirement, please contact the ESRC Contact Point listed above.</p> <p>If you are unsure about if the UK business component or non-academic collaborators meets Innovate UK requirements, please contact the Innovate UK Contact Point listed above.</p> <p>We highly recommend contacting us during the preparation of the project to ensure that your proposal is meets our eligibility requirements.</p> |
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b) Funding rates

Applicants applying for UK funding should check on the relevant webpages to ensure that they are eligible to receive funding and have used the correct funding rate for their organisation. There may be exceptions to the rates below.

| Organisation Type | Basic research | Industrial/Applied Research | Experimental development/near market innovation |
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| Large Enterprises | n/a | 50% | 25% |
| Medium Enterprises | n/a | 60% | 35% |
| Small Enterprises | n/a | 70% | 45% |
| Universities, public research organisations | 80% | 80% | n/a |
| Public authorities | n/a | 100% | 100% |
| Associations without economic activities, NGOs | n/a | 100% | 100% |