UK Research and Innovation

EPSRC - Equality Impact Assessment

	Question	Response
1.	Name of policy/funding activity/event being assessed	Prosperity Partnerships: Early-Stage Collaborations 2023
2.	Summary of aims and objectives of the policy/funding activity/event	Aimed at relatively new industry-academic relationships, this looks to fund a set of business-led projects co-created with a main academic partner that will encourage the development of the relationship, bring benefits to the research base strategy of EPSRC and wider benefits to the UK economy. This pilot will also trial an expedited process to deliver funding in a shorter period than the main Prosperity Partnerships (PP) call.
3.	What involvement and consultation has been done in relation to this policy? (e.g., with relevant groups and stakeholders)	This is a pilot opportunity. Feedback from the community (including previous applicants) suggested the standard PP process is longer than they like (it is difficult for industry to ring-fence money for research for such a long waiting period). It was also clear from the community that the PP requirement for strong, established, strategic partnerships was a high barrier to many, particularly SMEs.
4.	Who is affected by the policy/funding activity/event?	A wide research community including business – for the changes in the process. Panel members and applicants- for their involvement in the process. EPSRC staff - running and helping in the competition.
5.	What are the arrangements for monitoring and reviewing the actual impact of the policy/funding activity/event?	An evaluation survey will be given to panel members, and they will be actively encouraged to fill these out and return them. ESPRC Business Engagement staff are running this call and will meet on a regular basis to review the various stages of the process. They will also consider the feedback from panel members. Following the completion of this pilot, we will review what we learned from the process, and make suitable adjustments before this model of PP is integrated into the PP round 6 call scheduled for early 2024.

As a funder of research, EPSRC remains committed to attracting the best potential researchers from a diverse population into research careers. The Research Councils have together developed the

ambitious <u>RCUK Equality</u>, <u>Diversity and Inclusion Action Plan</u> to outline our collective aspirations for working with the research community, and partners throughout the sector. For policy changes, funding activities and events EPSRC will aim to:

- Select venues that are accessible and where possible accommodate any specific requirement in our planning and organisation of an initiative to support wider participation. This includes for applicants, reviewers, panel members and staff. Included in the interview invitation letter is a request for any access issues to be notified.
- All participants in the process are asked to inform staff if they have any additional needs to enable attendance or participation.
- Offer support for people with caring responsibilities, further details are available here.
- Clearly communicate the timeline and key milestones for funding activities, advertise these widely to reach the largest possible audience.
- Support and encourage panel members to follow best practice in taking positive steps to safeguard funding decisions. Staff will work closely with the Panel Chair(s) to agree approaches that are designed to minimize opportunities for bias and improve transparency of the decision-making process. This includes managing environmental conditions, such as providing appropriate breaks.
- Support flexible working of stakeholders.
- Ensure diversity of peer review assessment and interview panels. Staff will adhere to a mixed panel policy and endeavour to achieve the minimum 30% for the underrepresented gender on the panel.
- Abide by the principles of peer review
- Provide EPSRC staff with tailored unconscious bias training for Peer Review processes and clear guidance for assessors.
- Handle personal sensitive information in compliance with General Data Protection Regulation 2018.

Protected	Is there a potential	Please explain	Action to address negative
Characteristic Group	for positive or	and give	impact (e.g., adjustment to
	negative impact?	examples of	the policy)
		any	. "
		evidence/data	
		used	
Disability	Possible negatives:	DST support	There will be two panel
		coordinating	stages: prioritisation (likely
	If panels are in	the process	remote), and interview (likely
	person	will request	in person).
	Manua annaihilitu.	details of any	Live were de will week alah. he
	Venue accessibility	mobility	Live panels will probably be
	issues for those with	concerns so	held in Polaris House, Swindon where there are
	limited mobility.	that provisions can be made	clear provisions for those with
	Long distances for	where	mobility concerns.
	some representatives	required, but	mosincy concerns.
	to travel.	we will also be	Make the schedule and
		aware that	expectations of the panel
	During panels, the	some	process and agenda for the
	speaker volume may	individuals	panel days well in advance to
	affect those with sight	may choose	support neurodiverse
	or hearing	not to disclose	individuals or those with
	impairments.	this	anxiety.
		information.	
			If this call involves multiple
			days of panels, ensure
			panellists have suitable
Condor reassignment	Possible Negative:		accommodation.
Gender reassignment	Possible Negative:		Ensure we provide the location of non-gender
	Availability of non-		specific bathroom facilities
	gender specific		within Polaris House.
	bathroom facilities.		
			Panel members will be
	Panel members may		provided with an unconscious
	be biased with		bias document and will be
	prejudices affecting		briefed by the panel
	their decision-making.		convenors. EPSRC members
			of staff assisting the process
			must have completed the
Marriaga or sivil	None Identified		unconscious bias training.
Marriage or civil partnership	None Identified		
Pregnancy and	Possible Negative:	DST support	Ensure there are sufficient
maternity		coordinating	breaks built into the schedule
	Some attendees may	the process	and, for in-person panels,
	have breastfeeding	will request	ensure that an adequate
	schedules.	details of any	room is available for any
		dietary	breastfeeding requirements.
	Some attendees may	requirements,	
	have parenting	but we will be	

			Channel and the state of the
	responsibilities (see below for caring responsibilities). Pregnant attendees may have specific dietary requirements or need more breaks than those scheduled in the agenda.	aware that some individuals may choose not to disclose this information	Choose an easily accessible location to run in-person panels, and have appropriate start/finish times, so that attendees travel a reasonable distance and can return on the same day. If this call involves multiple days of panels, ensure panellists have suitable accommodation.
Race	Possible negative: Panel members can be biased by the race of applicants.		Panel members will be provided with an unconscious bias document and will be briefed by the panel convenors. EPSRC members of staff assisting the process must have completed the unconscious bias training.
Religion or belief	There are no religious festival or holiday dates that we are aware of that clash with the panel dates. Panels members: Panel members can be biased by the religious beliefs (or lack thereof) of applicants.		Unconscious bias awareness, as above.
Sexual orientation	Panels members: Panel members can be biased by the sexual orientation of applicants.		Unconscious bias awareness, as above.
Sex (gender)	Panel members: Panel members can be biased by gender of applicants.		EPSRC to aim for the panels to be 50:50 male/female. Unconscious bias awareness, as above.
Age	Panel members: Panel members can be biased by age of applicants.		Unconscious bias awareness, as above.
Additional aspects (not covered by a protected characteristic)	Possible negative: Pre-work for panel members may affect	DST support coordinating will request details of any	Ensure we are clear in what the panel is assessing, and what is required of them.

those with anxiety. dietary Aim to give panellists a Overly large requirements reasonable number of workloads, and/or a and other proposals to assess and lack of clarity from introduce, and ensure there is potential EPSRC, may affect issues, but we sufficient time for them to do decision-making. will be aware so. that some Some individuals have individuals Leave sufficient travelling may choose time at the end of the panels care-giving responsibilities that not to disclose and be aware that some may coincide with the this attendees may require leave information. panels. sooner. Some attendees may Ensure there are good have dietary provisions for those with requirements for dietary requirements. health or lifestyle reasons. All considerations above should be applied to both panel members or applicants or EPSRC member of staff.

Evaluation:

Qu	estion	Explanation	/ justification	
Is it possible the proposed change in		We think this funding opportunity has a low risk of		
policy, funding activity or event could		discriminating or unfairly disadvantaging anyone.		
	criminate or unfairly disadvantage			
pe	ople?	This is a new pilot competition which has similarities to		
		the established PP opportunity. It aims to have a lower		
		entry bar than the main PP call, so we expect it to be		
		open to a great number of applicants.		
		Panels will be held in venues with good accessibility		
		and connection. Agendas will ensure that is it possible		
			endees to return home on the same day.	
		The dates have been chosen to avoid known school or		
		bank holidays that might affect the childcare		
		responsibiliti	es of attendees. Attendees will be given	
			ce as possible.	
Fin	al Decision:	Tick the	Include any explanation / justification	
		relevant	required	
		box		
1.	No barriers identified, therefore	X		
2.	activity will proceed . You can decide to stop the policy or			
۷.	practice at some point because the			
	data shows bias towards one or more			
	groups			
3.	You can adapt or change the policy in			
	a way which you think will eliminate			
	the bias			
4.	Barriers and impact identified,			
	however having considered all			
	available options carefully, there			
	appear to be no other proportionate			
	ways to achieve the aim of the policy			
	or practice (e.g., in extreme cases or			
	where positive action is taken).			
	Therefore you are going to proceed with caution with this policy or			
	practice knowing that it may favour			
	some people less than others,			
	providing justification for this decision.			
<u> </u>	providing justification for this decision.			

Will this EIA be published* Yes/Not required (*EIAs should be published alongside relevant funding activities e.g., calls and events:	Yes
Date completed:	23/01/2023
Review date (if applicable):	

Change log

Name	Date	Version	Change