

**ESRC, AHRC, Department for Environment, Food and Rural Affairs (Defra),
NERC, UK Research and Innovation - outline call: resilient UK coastal
communities and seas**

Je-S guidance for applicants

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Introduction

This guidance is created to assist applicants in the completion of their outline application. It is specific to this outline scheme and should be used in conjunction with the following information:

- [ESRC Research Funding Guide](#)
- [ESRC guidance on 'How to write a good research grant application'](#)
- **Je-S help text** is available in every page of your Je-S form, simply click on the question mark against any section (or on the word 'Help' in the top right hand corner of each page)
- **Je-S helpdesk** (for all Je-S system enquiries) jeshelp@je-s.ukri.org Telephone: 01793 444164

If you experience difficulties using Je-S or have questions regarding its use, helpdesk staff can be contacted between Monday to Thursday 8.30am - 5.00pm, Fridays 8.30am - 4.30 pm (excluding bank holidays and other holidays). Out of hours: Please leave a voice message.

When reporting problems by email or telephone, please give your name, organisation and userid, the date and time, what part of the form or system you were working on, and the nature of the problem.

Joint Electronic Submissions (Je-S)

All applications under this scheme must be completed and submitted through UKRI's Joint Electronic Submissions system (Je-S). To be able to do this the organisation must be registered for Je-S, and the applicants must hold Je-S accounts.

Proposals can be submitted by UK higher education institutions and some other independent UK research organisations which are already **recognised institutions** on Je-S (including any recognised Independent Research Organisation*). A list of these organisations is available from the Je-S login page. The organisation will have set up the Je-S submission process and will therefore be available within the Je-S searches.

***IRO eligibility policy now includes Public Sector Research Establishments (PSREs). Such organisations that have been awarded eligibility status are treated as normal Research Organisations from an eligibility point of view. They can apply as the lead RO and will be funded under fEC rules (i.e. 80%) whether relevant applicant is PI or Co-I status*
*Also, please note that applicants based in UK NHS Trusts, Hospitals, Boards or GP Practices that are considering applying as the lead applicant to this call should contact the Je-S Helpdesk (jeshelp@je-s.ukri.org or 01793 444164) to ensure that their organisation is correctly registered on the Je-S system. If the organisation is not correctly Je-S registered, an e-mail request for Je-S registration will be required from the organisation. **Please allow at least six weeks for the Je-S registration process, prior to the closing date of the call.** Additionally, please ensure that all personal Je-S accounts associated with individuals expected to be named on the proposal have been requested at least seven working days before the proposal will be submitted.*

Je-S accounts for applicants

All principal and co-investigators must have a Je-S account which has been verified by a third party before they can be found in searches within the Je-S System and added as an applicant. To get you started on creating an account please refer to the [Je-S helptext](#).

Please ensure that applicants select the 'Account Type: Research Proposal' and the option: 'An Applicant on a Standard or Outline Proposal' - see [helptext](#). Je-S accounts should be created as soon as possible once the call opens to allow sufficient time for the account(s) to be verified.

Before creating your application

Research applications may **only** be made on Joint Electronic Submission (Je-S) forms.

Please note that **the deadline for Research Organisation submission of proposals is 16:00 on 13th July 2023. You should allow sufficient time for completion of the Research Organisation submission process checks/ authorisation. It will not be possible to submit proposals after the set deadline.** You can view all Je-S registered organisations via page <https://www.ukri.org/apply-for-funding/before-you-apply/check-if-you-are-eligible-for-research-and-innovation-funding/>, to ascertain whether the proposed submitting organisation is registered. However, eligible HEIs are not listed on this page

Creating your proposal

To create your proposal:

1. Log in to [Je-S](#).
2. From the Home Screen, Select Documents to the left of the screen and then select New Document
3. On the Add new document screen: Select 'Call search' (highlighted at top of screen). When prompted type in the outline call title (*Resilient Coastal Communities and Seas 2023 Outline*) and select from the list created. The remaining selection fields will be automatically populated.
4. Select the 'Create Document' button.

Organisation internal submission structure

If your organisation is registered it is recommended that applicants forward their application to the submitter pool at least 24 hours before the call deadline to allow sufficient time for the approval and final submission process. This does not apply to self registered organisations, who submit direct to Council. The proposal must be submitted through Je-S to ESRC by the institution's nominated contacts. Once the applicant completes and submits the proposal, notification is then sent to their organisation's 'submitter' to action. The 'submitter' is the person in that organisation authorised to approve the proposal and do the final stage of submission.

The applicant will receive an email confirming that the proposal has been submitted to the Submitter Pool – this means the proposal is still with the organisation but is **not** yet submitted to the research council. The final submission process is the responsibility of the host institution, and the ESRC cannot accept responsibility for any delay which may occur at this stage. We strongly advise applicants check that they receive an email confirmation from the Je-S System confirming that the proposal has been submitted to the research council.

Applicants may be involved in no more than 2 proposals submitted to this funding opportunity. Only 1 of these may be as the lead principal investigator. Only those applicants successful at outline stage will be invited to submit full proposals.

Use of your personal information – UK Research and Innovation capture and process personal information in line with current data protection legislation; General Data Protection Regulation (GDPR) and any amendments by the UK Data Protection Bill and/or relevant acts of parliament.

COVID-19 Guidance for Applicants

- **Accounting for the unknown impacts of COVID-19**

UKRI acknowledges that it is a challenge for applicants to determine the future impacts of COVID-19 while the pandemic continues to evolve. Applications should be based on the information available at the point of submission and, if applicable, the known application specific impacts of COVID-19 should be accounted for. Where known impacts have occurred, these should be highlighted in the application, including the assumptions/information at the point of submission. There is no need to include contingency plans for the potential impacts of COVID-19. Requests for travel both domestically and internationally can be included in accordance to the relevant scheme guidelines, noting the above advice.

(Reviewers will receive instructions to assume that changes that arise from the COVID-19 pandemic, post-submission, will be resolved and complications related to COVID-19 should not affect their scores.

Where an application is successful, any changes in circumstances that affect the proposal will be managed as a post-award issue.)

Completing your proposal

The initial Je-S document instructions page will give you general guidance on the navigation layout, specifically icon descriptions.

The left hand column 'Document Menu' lists all the sections associated with this call and clearly identifies which ones are mandatory (green tick).

The details below are not an exhaustive step-by-step guidance, and we recommend that you refer to the Je-S helptext for additional information.

Please note you may return to edit saved documents at any time.

Project details

- Select organisation and department from drop-down lists
- 'Your Reference' should be supplied by your research organisation (consult your Research Office). If your administration cannot provide a reference, a suitable reference of your own choice will be acceptable.
- Enter Project Title (maximum limit of 150 characters)
- For Proposal Call, select *Resilient Coastal Communities and Seas 2023 Outline*. Please note that the option will only be available once the call is live (this may have already pre-populated into the form).
- Proposals must start on 1 April 2024, please enter this date and the desired duration of the research.

Investigators

Enter the name of the principal investigator (PI) and any co-investigators if applicable. Investigators may be from more than one research organisation, but the PI must be from the organisation that will administer the grant. The PI will take intellectual leadership of the project and manage the research; this individual will be the contact person for ESRC correspondence. All named investigators are responsible for ensuring that successful applications are undertaken and completed in the manner specified.

Post will outlast project

Select Yes or No. Please note, there is an expectation that the PI's post will be in place for the duration of a funded project. This means if this question is answered with "No" then a pop-up message will display, confirming that if the proposal is successful at a subsequent full call stage, it is the RO responsibility to ensure the PI's post will be extended to match the project end date. By submitting the proposal, the RO is taking collective responsibility to comply with this request.

Third party data protection - Applicants must ensure that they have obtained the permission of any other person named on the proposal form (for example any Co-Investigators or Project Partners) for the provision of their personal information to UKRI and the processing of their data by UKRI for the purpose of assessing the application and management of any funding awarded

UK co-investigators in business, civil society or government bodies

Please note that any researcher from an established business, civil society or government body based in the UK will be eligible to be listed as a **co-investigator** under this call. The ESRC will fund 100% of justified costs, however, the combined project costs associated with these types of Co-I contributions, together with international co-investigator costs must not exceed 30% of the overall cost of the grant (at 100% fEC). Please note that costs cannot be claimed from government bodies.

The lead research organisations of proposals which include co-investigators from the UK business sector or from third sector organisations that engage in economic activity¹ must ensure that the involvement of these organisations complies with any legislation applicable in the United Kingdom on or after 1 January 2021 that regulates the granting by a public sector body of any advantage which threatens to or actually distorts competition in the United Kingdom and/or any other country or countries ("UK Subsidy Control Framework"). Under UKRI grants terms and conditions RGC 2.3.1 it is the responsibility of the Research Organisation to ensure their use of the grant complies with such legislation and any breach of the UK Subsidy Control framework (or EU State Aid law if applicable) may require UKRI to recover some or all Grant funding, together with interest. For further information please refer to the BEIS guidance for Subsidy Control.

¹ Economic activity' means putting goods or services on a market. It is not necessary to make a profit to be engaged in economic activity: if others in the market offer the same good or service, it is an economic activity. Engagement in economic activity relates to an organisation's activity rather than its legal form, therefore commercial companies, non-profit organisations, charities, public bodies and research organisations can all act as enterprises, depending on the activities they are involved in.

Proposals which include co-investigators from third sector organisations that are deemed **not** to engage in economic activity must provide evidence of this status in the Justification of Resources section of the proposal form.

On receipt of the proposal, eligibility of business, civil society or government body will not normally need to be checked if it is reasonably clear that they are appropriate to conduct the work. Where there is doubt, checks will be carried out should a positive funding decision be taken.

It is recommended that potential UK co-investigators from business or civil society should create Je-S accounts as soon as possible once the call opens to allow sufficient time for the account(s) to be verified.

The costs that can be claimed for business, third sector and government body Co-Is is dependent upon the organisational sector and whether or not the organisation is engaged in economic activity, as set out in the UK business, third sector or government body co-investigator guidance. The lead research organisation must ensure that any costs they request are compliant with UK Subsidy Control Regulations and evidence this in the Justification of Resources.

All project costs relating to UK business or civil society co-investigators must be prefixed as 'UK Co-I's business or civil society costs' and must be entered into the costs section on Je-S as follows:

Co-investigator 'time' allocation must be entered under the standard Co-I section, but the salary rate must be entered as zero. The co-investigator salary costs must then be entered under 'Other Directly Incurred Costs', as should all other claimed staff salaries and related costs associated with the UK Co-I's business or civil society body.

All costs must be specifically justified, and applicants must explain why such costs are required to achieve the aims of the research project. Applicants must also state clearly in the 'Justification for Resources' attachment of the Je-S form which costs in the proposal relate to the UK co-investigator's business, civil society or government body. Please note that UK co-investigator's business or civil society salary costs should only be claimed where clear justification is provided as to why this cost cannot be met by the UK co-investigator's business or civil society organisation.

Host/submitting institutions are reminded that for calls that encourage non-academic Co-Investigators, that a suitable support structure should be in place to assist such individuals in registering for Je-S accounts and contributing to the research case.

The ESRC 'Business, Third Sector and Government Body' Co-I policy does not apply to eligible PSRE organisations (see page 3 for eligibility ruling). Such organisations can apply under the standard fEC rules.

International co-investigators

Please note that researchers from established international research organisations which have the capacity and capability to conduct and support research will be eligible to be listed as an international co-investigator under this call.

ESRC will fund all eligible and justified costs associated with international co-investigators at 100% fEC. However, costs associated with international co-investigators and UK business, civil society or government bodies combined must not exceed 30% of the full 100% fEC cost of the grant.

It is recommended that potential overseas based co-investigators should contact the ESRC case officer in the first instance if their organisation is not selectable as part of the Je-S person account registration process.

Applicants must also state clearly in the 'Justification for Resources' section of the Je-S form which costs in the application relate to international research organisations.

Objectives

The objectives of the proposed project should be listed in order of priority, and should be those that the investigators would wish UKRI to use as the basis for evaluation of work upon completion of any project grant awarded. (4000 character limit)

Resource summary

The outline call will be subject to the full Economic Cost (fEC) funding model. If successful, ESRC will meet 80% of the full economic costs on proposals submitted and the host institution is expected to support the remaining 20%.

All costs expected to be covered by ESRC funding should be included in this section; however, it is not expected that financial details will be precise at this stage. All prices and salaries should be calculated at current rates. Costs will need to be broken down as described in the ['Resource Summary' section of the Je-S help text for outline proposals](#).

Summary

Provide a plain English summary of the research you propose to carry out in a language that could be publicised to a general, non-academic audience. (4000 character limit)

Project partners

If you have secured a commitment from another funding body or organisation to provide additional resources for this project, please give details of the organisation.

Please be aware that Defra should not be included as a project partner on applications, as they are a co-funder for this programme. Defra will not be providing letters of support to applicants.

Notes and comments

Please note that this section is purely for use in drafting the proposal. Any notes/comments added will *not* be included in data submitted with this proposal.

Attachments

It is important that applicants **only submit the supporting attachments specified in this document**. We reserve the right to reject applications that do not include the required attachments or include attachments not specified in this guidance. Attachments

should be uploaded as PDF (rather than word) to ensure automated conversion does not cause problems with maximum length. Attachments should be in font size 11 with 2cm margins.

Case for support is the only mandatory Je-S attachment against outline proposals. Justification for Resources, CV (Résumé for Research and Innovation) are optional attachments, **but mandatory for this call**.

Case for support (maximum of four sides of A4)

The case for support should cover the main features of the research. Include details of any potential for linkages to other research activities (for example, those supported by other funding bodies in the UK or overseas) or for international collaboration.

It should set out the aims and objectives of the study in context and address the requirements set out in the announcement opportunity. It should briefly outline the main work on which the research will draw. The research questions to be addressed should be clearly stated.

The case for support should be a self-contained description of the proposed work with relevant background and references and should not depend on additional information such as the inclusion of external links. Peer reviewers are advised to base their assessment on the information contained within the application and are under no obligation to access such links (so they should not be used to provide critical information).

It should also compliment the Résumé for Research and Innovation by including details of the project team, and how their skills and expertise will be utilised.

Please refer to the [Je-S helptext](#) for further guidance.

Justification of resources (maximum of two sides of A4)

This statement should be used to justify the resources required to undertake the research project. Explain why the indicated resources are needed, taking account of the nature and complexity of the research proposed.

Proposals which include co-investigators from third sector organisations that are deemed **not** to engage in economic activity must provide evidence of this status within the Justification of Resources statement.

Please refer to the [Je-S helptext](#) for further guidance.

Résumé for Research and Innovation (R4RI) (maximum of four sides of A4)

UKRI committed to adopting the Résumé for Research and Innovation (R4RI), based on the Royal Society's Résumé for Researchers (R4R), for all funding opportunities requiring track record information in April 2021. R4RI is one part of a wider ambition to create a more inclusive and supportive research and innovation culture.

R4RI replaces the track record section of the Case for Support. Teams should submit a single R4RI (not individual R4RIs for each team member) when applying to a funding opportunity. Only one R4RI should be submitted even for large teams across multiple organisations.

There are 4 modules to fill out; each should be about 200 words.

The R4RI should describe only a selection of the team's past contributions that best evidence their ability to carry out the proposed project. An individual's specific achievements can be fore-fronted where appropriate, but the contributions described across the modules should demonstrate the appropriateness of the overall team.

As a general rule, we would expect that anyone who would have previously been required to submit a traditional CV should be included in the team R4RI. A table is included at the beginning of the template to allow you to refer to team members by their initials throughout the document.

R4RI should be uploaded as the CV document type in Je-S. Further R4RI guidance, and the downloadable R4RI template, is available [here](#).

Other attachments

List of publications referenced in the Case for Support (maximum of one side of A4).

Proposal classifications

The information provided in this section will be used by us to identify appropriate reviewers.

It would therefore assist us greatly if you could populate the Research Area/Qualifiers/Keyword sections to provide a comprehensive description of your area of expertise.

The Proposal classification area is a harmonised (and expanded) structure agreed with all UKRI councils. Therefore, if your area of expertise crosses the remits of more than one council you will now only need to provide the information once.

Proposals must contain transdisciplinary research which fits within the remit of **all three research councils** supporting this call (AHRC, ESRC and NERC). Proposals will not be considered appropriate if there is an imbalance of intellectual content, and some disciplines appear 'bolted' on.

Submit your proposal

Please ensure that you have completed all attachments that are mandatory requirements against this specific call, although they may not be standard mandatory attachments on Je-S. After all mandatory sections have been completed, the submit button will appear across the top menu bar. The submit button will route your finalised proposal to the authorising facility within your organisation (as explained in the Registration section above). Please note that the proposal must be formally submitted by your organisation before **16:00 on 13 July 2023**.

Commissioning timetable

- Call for proposals issued 13 April 2023

- Call webinar 2 May 2023
- Deadline for submitting proposals 16.00 on 13 July 2023
- Commissioning Panel meeting October 2023
- Decisions to applicants/full stage opens October 2023

Tracking your proposal

There are additional document maintenance actions that can be undertaken via the Document actions button. For example, the 'Show submission path' will indicate to you where in the organisation submission chain the proposal currently is located (and who holds current responsibility) - eg owner, approval pool, submitter pool, or submitted to Council.

Further enquiries

Enquiries relating to ESRC research funding rules and application procedures should be addressed to:

- ESRC environment team
Email: environment@esrc.ukri.org
- Please add 'Coastal Communities and Seas' to the subject line of your enquiry. We aim to respond within 4 working days.

Enquiries relating to technical aspects of the Je-S form should be addressed to:

- Je-S helpdesk
Email: jeshelp@je-s.ukri.org
Telephone: 01793 444164