# RED Fund

# Annex: Trusted research and innovation

Please complete this annex and include in your draft business case.

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| **Lead Higher Education Provider (HEP)**  |   |
| **Project title**  |   |

Applicants must undertake appropriate due diligence on collaborative partner(s) before any collaboration begins, in line with ‘UKRI’s Principles on Trusted Research and Innovation’[[1]](#footnote-2).

Appropriate due diligence should include consideration of ‘The UK Sanctions List’[[2]](#footnote-3) and may also include, though is not limited to, consulting your institution’s Research Collaboration Advice Team (RCAT) advisor[[3]](#footnote-4) and reviewing relevant legislation, such as the ‘National Security and Investment Act 2021’[[4]](#footnote-5).

Research England may undertake a risk assessment in collaboration with the relevant UK Government department(s) relating to the proposed project.

1. **Describe the steps taken to consider national security when developing your bid and engaging potential partners and co-investors.**

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1. **In light of the steps taken described above, are there any national security considerations?**

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1. **What controls are in place or have been introduced to manage the risks adequately pre-award and post-award?**

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1. **How has the lead provider ensured sufficient assurance that:**
	1. **There are no national security considerations?**
	2. **The risks can be adequately managed?**

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1. <https://www.ukri.org/about-us/policies-standards-and-data/good-research-resource-hub/trusted-research-and-innovation/> [↑](#footnote-ref-2)
2. [https://www.gov.uk/government/publications/the-uk-sanctions-list](https://gbr01.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.gov.uk%2Fgovernment%2Fpublications%2Fthe-uk-sanctions-list&data=05%7C01%7CRebecca.McCutcheon%40re.ukri.org%7C3fea14e6b58c486a04d208da7a33a387%7C8bb7e08edaa44a8e927efca38db04b7e%7C0%7C0%7C637956659446674540%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=4jdYakwRRMSTzIiDjoHq610UY8MUtii%2F0tt6BejDf%2BA%3D&reserved=0) [↑](#footnote-ref-3)
3. [https://www.gov.uk/government/groups/research-collaboration-advice-team-rcat](https://gbr01.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.gov.uk%2Fgovernment%2Fgroups%2Fresearch-collaboration-advice-team-rcat&data=05%7C01%7CRebecca.McCutcheon%40re.ukri.org%7C3fea14e6b58c486a04d208da7a33a387%7C8bb7e08edaa44a8e927efca38db04b7e%7C0%7C0%7C637956659446674540%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=K046gmi7bdDqYM7Ziqz57wuHUmqfOuPOS6H7iiwDIEE%3D&reserved=0) [↑](#footnote-ref-4)
4. [https://www.gov.uk/government/collections/national-security-and-investment-act](https://gbr01.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.gov.uk%2Fgovernment%2Fcollections%2Fnational-security-and-investment-act&data=05%7C01%7CRebecca.McCutcheon%40re.ukri.org%7C3fea14e6b58c486a04d208da7a33a387%7C8bb7e08edaa44a8e927efca38db04b7e%7C0%7C0%7C637956659446674540%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=JogNg4g8JmuPNVuNeHe%2BOdyHarjIo47K9oWVK4ssQcw%3D&reserved=0) [↑](#footnote-ref-5)