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Welcome



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Driving Urban
Transitions

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Call Information Webinar

Driving Urban Transitions Partnership
2024

3rd October 2024



Economic
and Social
Research Council



Arts and
Humanities
Research Council



Engineering and
Physical Sciences
Research Council

How the webinar will work

- This webinar will be recorded. The recording will be published on the UKRI Funding Finder webpage alongside the slides.
- Today we will respond to questions that have been submitted in advance.
- Please use the Q&A facility to raise additional questions. We will circulate answers to all questions after the webinar.
- The chat facility is available.



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Agenda

1 Welcome

Emily Bultitude – Senior Investment Manager, AHRC (UKRI)

2 Overview of DSIT support to UK Horizon Europe applicants

Patrick Walsh - Team lead Boosting Participation, Department for Science, Innovation and Technology (DSIT)

3 Overview of DUT and transnational rules

Kim Fuggle – Senior Research Portfolio Manager, ESRC (UKRI)

4 UK specific eligibility requirements

Ben Miller – Research Portfolio Manager, ESRC (UKRI)

5 Collaboration and partnership opportunities

Peter Teuten – Research Portfolio Officer, ESRC (UKRI)

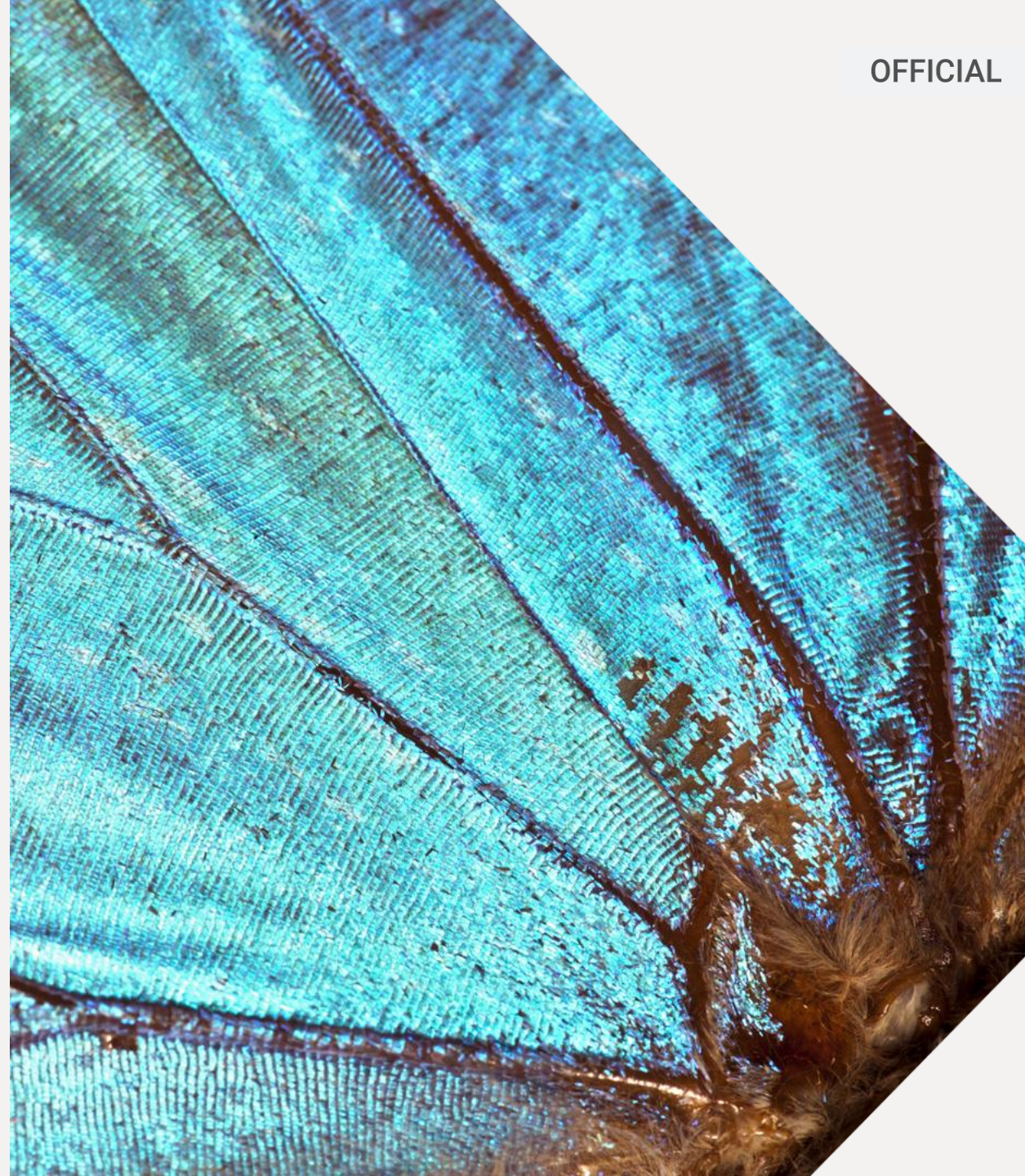
6 Questions and Answers



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Overview of DSIT support to UK Horizon Europe applicants

Patrick Walsh – Department for Science,
Innovation and Technology



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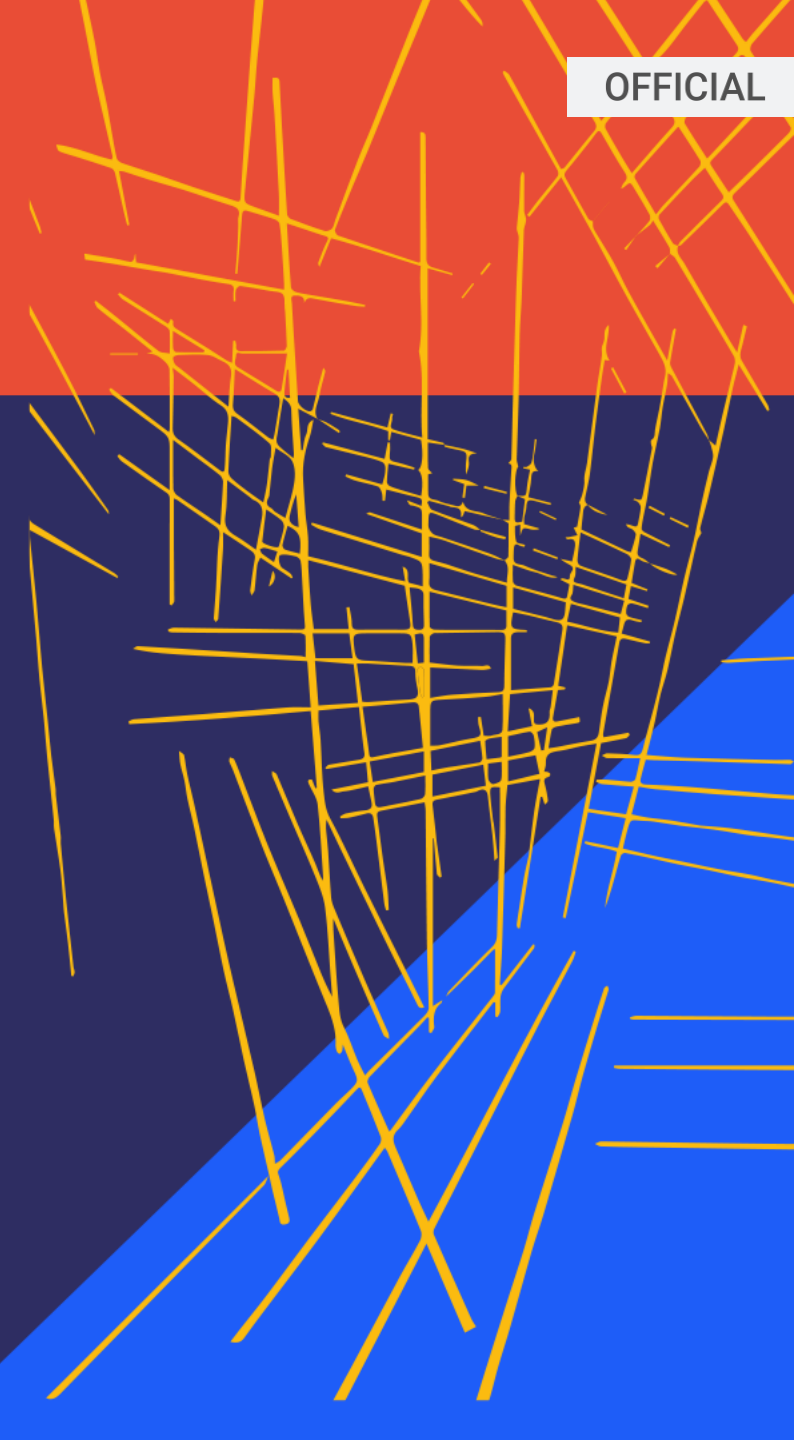


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Overview of DUT and transnational rules

Kim Fuggle – Economic and Social
Research Council



This is DUT video

- <https://www.youtube.com/watch?v=ljvR1VciThU>



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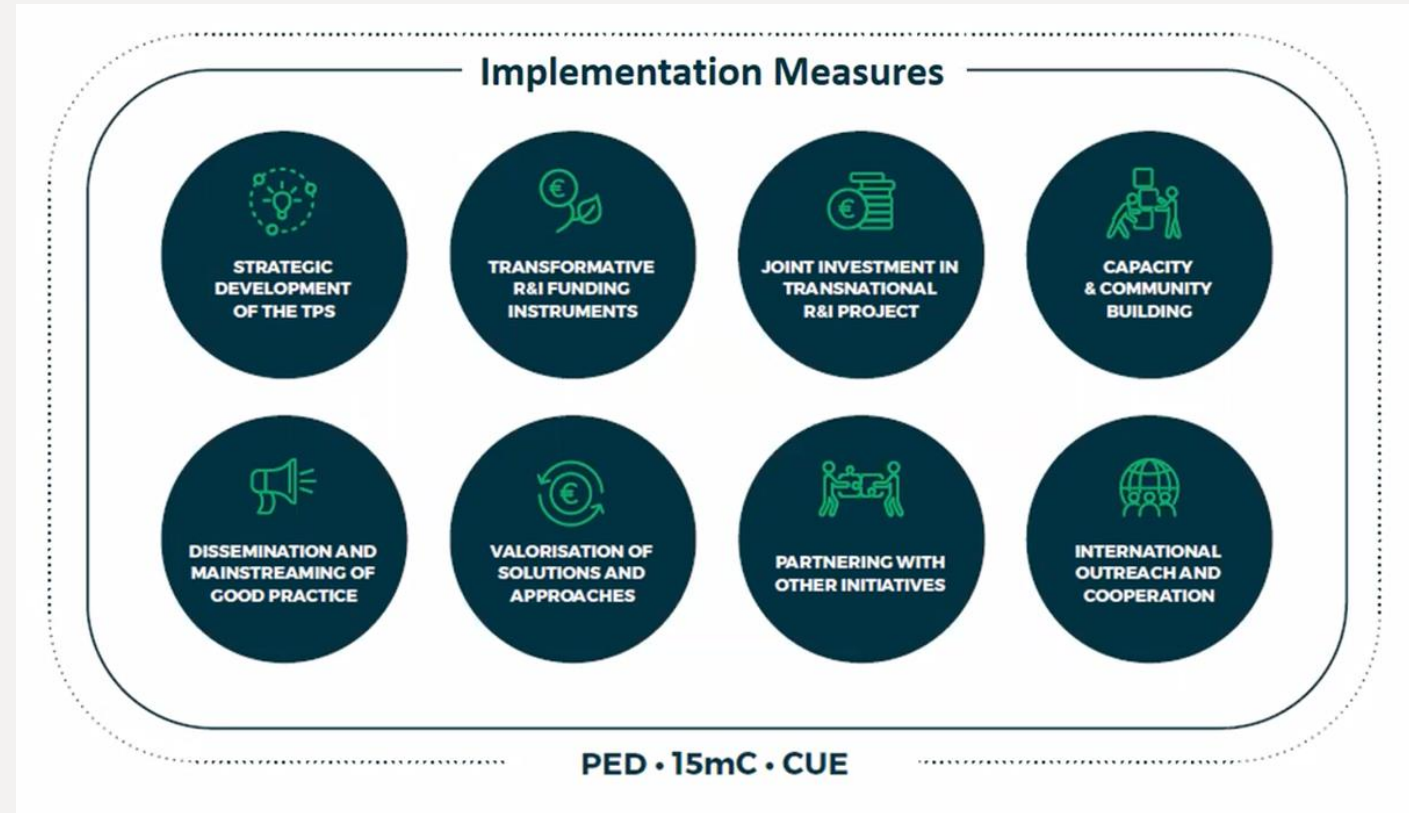


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DUT activities

The DUT Partnership contributes substantially to the European Union Mission on Climate-neutral and Smart Cities Mission of Climate-neutral Cities, the European Green Deal, the Urban Agenda for the EU and SDG 11.

The work undertaken by the partnership includes several different activities including events; capacity building; as well as the annual research and innovation funding calls.



UKRI's involvement in DUT

UKRI are the UK participant in the DUT partnership, with ESRC leading the engagement.

AHRC, EPSRC, ESRC and IUK provided funding to the inaugural 2022 call, with 5 transnational projects with UK involvement funded.

In 2024 UKRI became a beneficiary of the partnership, following UK association to Horizon Europe.

AHRC, EPSRC and ESRC are providing funding for the 2024 call.



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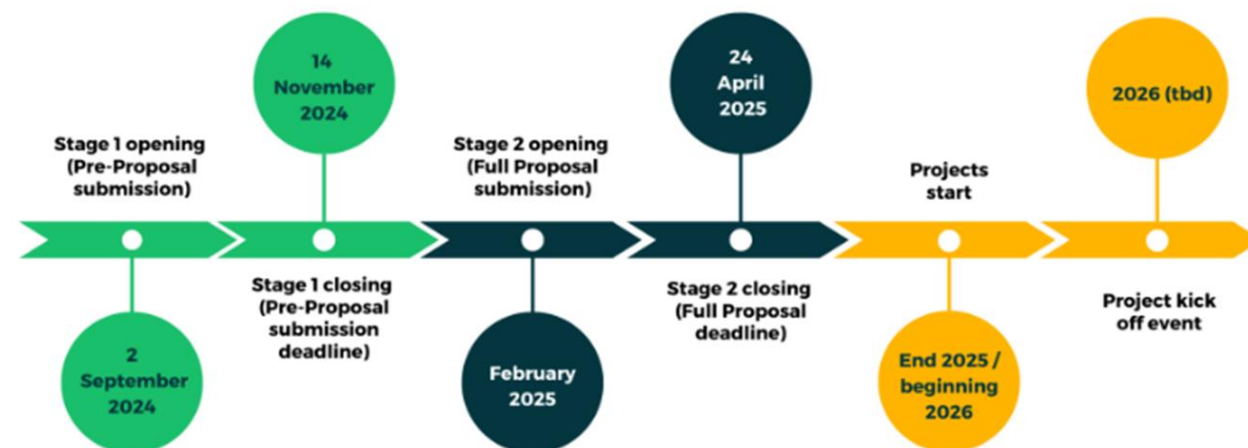
2024 Call overview and timeline

- This Call aims to support transnational research and/or innovation projects addressing urban challenges to help cities in their transition towards a more sustainable economy and functioning.
- The total available budget for this Call is approximately EUR 47 M of budget committed by the participating Funding Agencies. Additionally, the EC will support this Call w cofund of up to 30% of the eligible Funding Agency budget.

Participating Countries

Austria	Belgium	Brazil ★	Bulgaria	Czech Republic
Denmark	Estonia	Finland ★	France	Germany
Greece	Hungary	Italy	Japan ★	Korea, Republic of ★
Latvia	Lithuania	Norway	Poland	Portugal
Romania	Slovenia	Spain	Sweden	Switzerland ★
The Netherlands	Taiwan (Chinese Taipei) ★	Türkiye	United Kingdom	

★ denotes countries which are not EU member states or associated to Horizon Europe



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2024 Call topics

The Call topics are structured according to the three Transition Pathways (TP): Circular Urban Economy, 15mC and Positive Energy Districts.

For each TP, three topics are defined as focus areas. Since urban transition issues are intrinsically interconnected, **a proposal may address several topics, from one or more TP**. While, crosscutting projects, which combine topics from more than one TP, are encouraged, **each project must choose one TP's topic that is closest to their subject** as a guide.

This funding opportunity aims to motivate efforts to extend beyond typical scenarios, encouraging projects that **enhance experimentation and practical application**. It seeks to address challenging obstacles in implementing the 15mC concept, and related fields of policy for urban mobility transitions.

- **15mC Topic 1:** advancing urban mobility: innovations for inclusive and youth-centric mobility systems
- **15mC Topic 2:** reconsidering urban mobility systems: towards system innovation and proximity policies for sustainable city regions
- **15mC Topic 3:** evidence for the urban mobility transition, data and indicators for effective decision-making



15-minute Cities
rethinking the urban
mobility system and
space



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Summary of transnational rules

1. Only transnational projects will be funded; consortia must **include at least three independent legal entities applying for funding from three different countries** that have Funding Agencies participating in the Call. In addition, at least two eligible Applicants within a consortium must be from different EU MS or AC eligible for EC co-funding in this Call.
2. The **project coordinator (Main Applicant) must be eligible to be funded** by its national/regional participating Funding Agency and request funding.
3. One person **must only participate in a maximum of two proposals as a PI**, and **only once** as the PI of a **Main Applicant**.
4. Each consortium must include **at least one urban government authority partner**, either as a Main Applicant, Co-applicant or Cooperation Partner, depending on the national/regional eligibility criteria.
5. The duration of a project **must not exceed 36 months**.
6. Pre-proposals/full proposals must be written in English and respect the template form (in terms of overall size, limit of pages and characters). The **template form must be filled in completely**.
7. Pre-proposals/full proposals must be **submitted on the UEFISCDI electronic submission system** (UDiManager) before the corresponding deadlines indicated in this document.
8. Only pre-proposals invited to submit a full proposal are eligible in the second stage.
9. No fundamental changes between the pre-proposal and full proposal, initiated by the applicants alone, will be accepted.



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Submission process

- All pre-proposals need to be submitted on the UEFISCDI UDI Manager submission system.
- Only one application is required for the whole transnational consortium.
- There may be national requirements to consider i.e. for the UK, we require you to upload the 'UK expenditure and additional information form' but do not require submission on The Funding Service.

The screenshot displays the UEFISCDI UDI Manager submission system interface. The top navigation bar includes logos for UEFISCDI, PNIV, CNCS, CCCDI, and various national funding agencies like Iceland, Liechtenstein, and Norway. The main content area is titled 'DRIVING URBAN TRANSITIONS PARTNERSHIP Call for proposals n°3 (2024)' and is co-funded by the European Commission (Grant N° 101069506). It features a 'DUT' logo and the text 'EUROPEAN PARTNERSHIP'. A sidebar on the left shows the user's login status and navigation options. The main content area includes a 'Call number: F-DUT-2024' and a 'Submission deadline: 14 November 2024 (13:00 CET)' with a countdown timer showing '49 days, 21 hours, 02 minutes, 14 seconds'. There are buttons for 'SUBMIT A PREPROPOSAL (ADD NEW PROJECT)' and 'ACCESS MY APPLICATIONS'. The bottom of the page mentions 'Details about this Call are available here.'

Assessment process

A two-stage process: pre-proposal / full, detailed proposal. The assessment process for each is:

1. Proposals are checked for eligibility against transnational and national rules/criteria.
2. Evaluation by an international, independent expert panel. The evaluation of the expert panel will result in ranking lists corresponding to each Transition Pathway (TP) and then split by research or innovation-oriented approach.

TP	Research-oriented approach (ROA)	Innovation-oriented approach (IOA)
PED TP	Ranking list PED + ROA	Ranking list PED + IOA
15minC TP	Ranking list 15mC + ROA	Ranking list 15mC + IOA
CUE TP	Ranking list CUE + ROA	Ranking list CUE + IOA

3. Evaluation will be against the criteria specified in the call text.
4. Final selection will be by a steering committee from the funding agencies, based on the ranking lists, the available national/regional budgets committed to the Call and achieving a balance across the TPs and R&I approaches.



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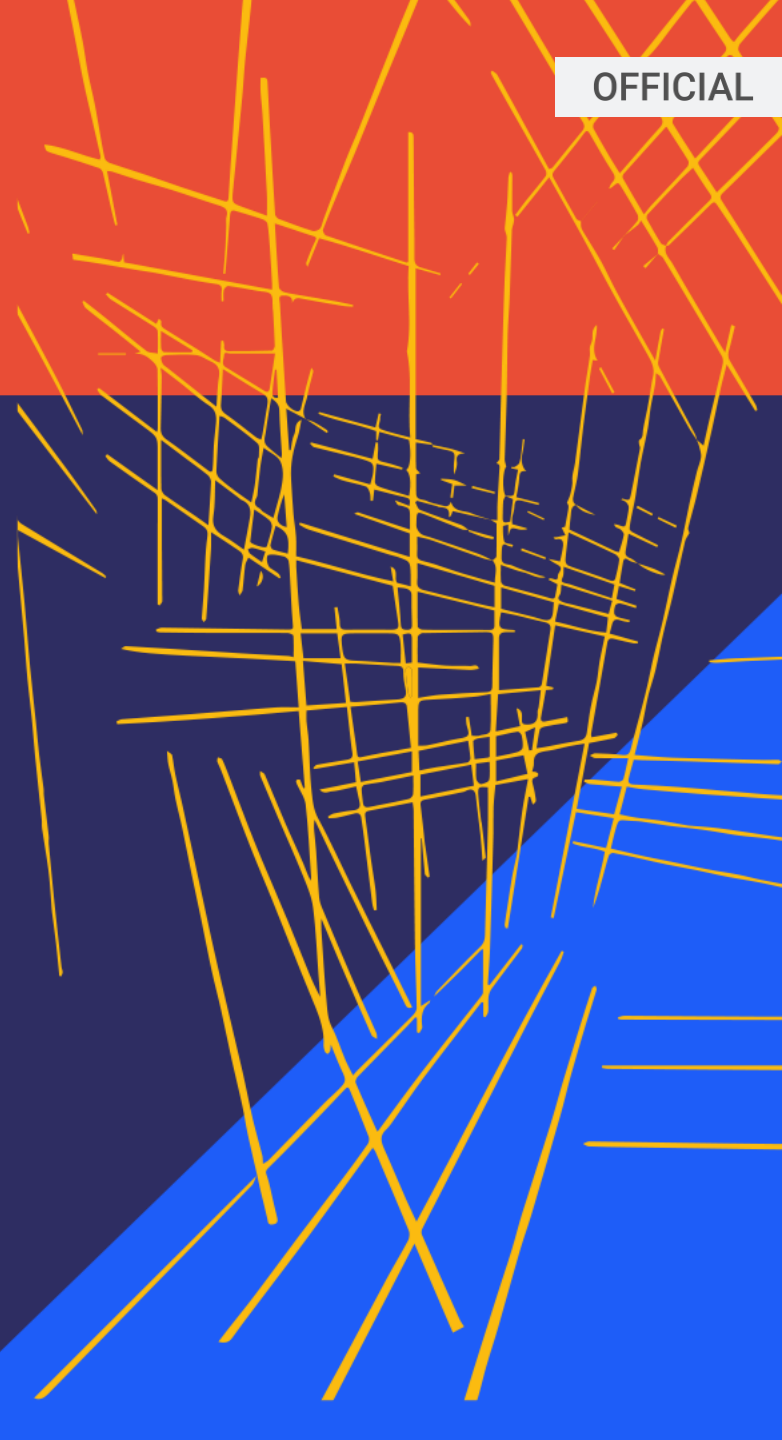


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UK specific eligibility requirements

Ben Miller – Economic and Social
Research Council



UK specific eligibility requirements

General Information

- There is £400,000 (at 100% Full Economic Cost) available per project for all UK partners involved.
- AHRC, EPSRC, and ESRC (UKRI councils) will fund the research at 80% fEC for up to three years.
- UK funding is only available for topics under the '15-minute city' (15mC) thematic area
- Unlike the previous round, there is **no requirement** to include a business element alongside the research or to split the budget between research and innovation.
- Despite there being no formal business component or requirement, we do consider Innovation-led projects (as opposed to research-led) to be eligible provided they adhere to and meet our eligibility requirements.

UK specific eligibility requirements

Eligibility Requirements

- Projects **must include** an applicant based at a UK research organisation eligible for UK Research and Innovation (UKRI) funding.
- Business, third sector or government body Co-applicants based in the UK can also be included on proposals as a **project co-lead** in adherence to the '*ESRC Project Co-Leads from UK Business, Third Sector or Government Organisations Policy*'. Co-applicants from these organisations **cannot** be the UK National Contact Point (UK Project Lead) i.e. they can only be a co-applicant on a proposal involving another UK organisation that is eligible to be a UK National Contact Point (UK Project Lead) and are unable to be a Main Applicant.
- The UKRI project co-lead (international) policy does **not** apply to this funding opportunity.
- Whilst acknowledging the strong interdisciplinarity of this call, it is essential that the UK research component is **substantively social science led** (over 50%). This will be assessed by ESRC Remit via the information you provide in both your pre-application **AND** the 'Summary of UK Activities' section in the '*UK expenditure and additional information form*'.
- In addition, we **strongly encourage** the involvement of UK partners from an arts and humanities and engineering and physical sciences background.

UK specific eligibility requirements

Funding rules

- UKRI councils use the Full Economic Cost (fEC) model of funding and typically fund **80%** of the total cost of research projects. This means that for the majority of eligible costs, UKRI councils will provide 80% of the total cost of the research that will be funded through this call.
- Examples of the type of costs eligible for funding include applicant time, research costs, travel and subsistence, dissemination and communication activities.
- All figures in the DUT call template should be provided at **100%** of the Full Economic Cost for the UK budget, except the 'Requested funding in EUR' amount which should state the amount UKRI will be contributing to the project costs (typically 80%). Because of UKRI's cost eligibility regulations, the total cost of a project will be greater than the amount of funding allocated.

UK specific eligibility requirements

ESRC Project Co-Leads from UK Business, Third Sector or Government Organisations Policy

When a Project Lead includes a Project co-Lead (PcL) from a UK business, third sector or government organisation on their application, they must ensure that all associated costs are fully justified within the Resources and Costs Justification section of your application. ESRC will fund **100% of eligible costs** (see Table 1 below), where these have been sufficiently justified. However, the project costs associated with these types of PcL contributions must not exceed 30% of the overall cost of the grant (at 100% FEC).

- Table 1 shows the eligible costs for each type of non-academic organisation. Please refer to the '*ESRC Project Co-Leads from UK Business, Third Sector or Government Organisations Policy*' on ESRC's [website](#) for a full breakdown.
- All costs associated with the PcL from UK business, third sector or government body organisations will be assessed in the context of the application as a whole and the Resources and Costs Justification section of your application.

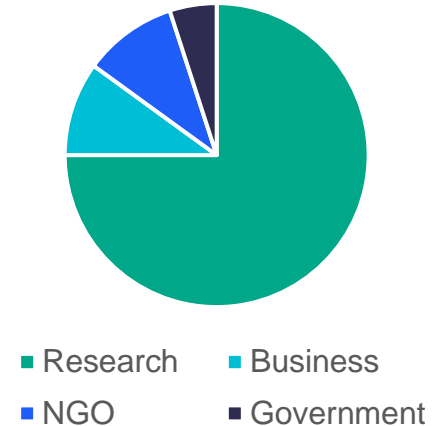
Table 1: Eligible costs for PcLs from UK business, third sector or government organisations

	Business & third sector in receipt of a Subsidy*	Third sector	Government
Staff - Salary	Yes	Yes	No
Staff - NI/Superann	No	Yes	No
Total Research Staff - FTE Limits	1 year FTE	No FTE limit	No
T&S	Yes	Yes	Yes
Other Direct Costs	No	Yes	No
Overheads	No	Yes	No
Rules/Constraints	Costs must be less than 30% of total application costs		

UK specific eligibility requirements

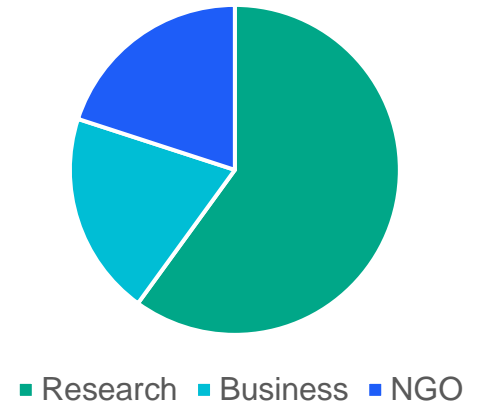
Example #1:

- A project is requesting the maximum UK budget of £400,000:
- £300,000 (75%) is requested for research.
- £40,000 (10%) is requested by UK business PcL.
- £40,000 (10%) is requested by an NGO PcL.
- £20,000 (5%) is requested by a Government PcL.
- This is **eligible** as the business, NGO and government PcLs combined add up to < 30% of the total budget.



Example #2:

- A project is requesting the maximum UK budget of £400,000:
- £240,000 (60%) is requested for research.
- £80,000 (20%) is requested by UK business PcL.
- £80,000 (20%) is requested by an NGO PcL.
- This is **ineligible** as both the business and the NGO PcLs combined add up to > 30% of the total budget.



UK specific eligibility requirements

More Information

- UK applicants must include a completed copy of '[UK expenditure and additional information form](#)' (see below) as part of their application on the UEFISCDI system. The system will not allow the application to be submitted without it.
- We strongly recommend applicants to fully read the [DUT Call guidance](#) and the [UK Annex](#) for the most comprehensive information.
- Both ESRC and UKRI's websites have detailed information regarding eligibility and funding rules.
- We highly recommend contacting us during the preparation of the project to ensure that your proposal meets our eligibility requirements - Contacts for UKRI will be provided at the end of today's presentation.

[illegible]



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Collaboration and partnership opportunities

Peter Teuten – Economic and Social
Research Council

Match making platforms

- Matchmaking Platform for DUT Call 2024 - Welcome (b2match.io). Access via the DUT 2024 pages, registration required. Enables you to browse all participants also registered, initiate matchmaking meetings, and publish cooperation opportunities.
- Konfer matchmaking platform. UKRI based matchmaking tool. Find knowledge, funding, R&D projects, businesses and experts in one of the UK's largest searchable databases. Find resources – Search Resources – areas of expertise: Experts, Collaborations, Research.
- Enterprise Europe Network. Particularly useful for small and medium-sized enterprises (SMEs). Partnering opportunities – profile, partner, partnership, target countries. Local contact points can direct you to opportunities for international partnerships.





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Questions?

Contact details and key links

General enquiries about UK eligibility should be emailed to:
dutpartnership@esrc.ukri.org

Useful links:

- [UKRI Funding Finder page for the call](#)
- [DUT call webpage](#)



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Thank you



@UKRI_news



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UKRIforResearchers